



李政道研究所
TSUNG-DAO LEE INSTITUTE



TRIDENT
海 | 钺 | 计 | 划

The Camera System & Tau Neutrino Search in the TRIDENT Experiment

Wei Tian, Tsung-Dao Lee Institute
@ Harvard University, Boston

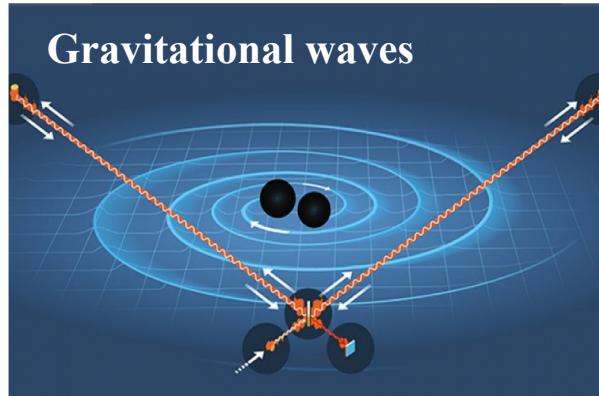
08.23.2024

- 1. Neutrino astronomy & Neutrino telescopes**
- 2. TRIDENT (海铃计划) : A proposed next-generation neutrino telescope**
 - (1) Location
 - (2) Detector layout
 - (3) hDOM design
 - (4) Pathfinder
 - (5) Physics potential
- 3. The camera system: an eye for real-time optical calibration**
 - (1) Hardware design
 - (2) Image processing methods
 - (3) Calibration process
- 4. Identifying astrophysical tau neutrinos based on hDOM waveforms**
 - (1) Simulation pipeline
 - (2) Double Pulse algorithm
 - (3) Exploration of using GNN
- 5. Summary**

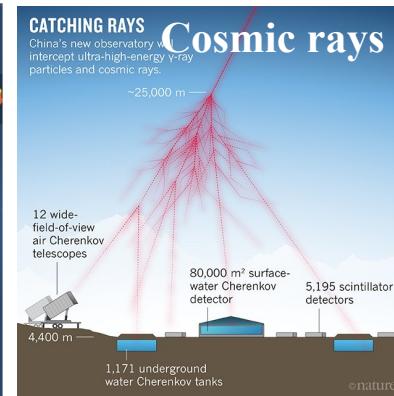
High-energy astrophysical neutrinos



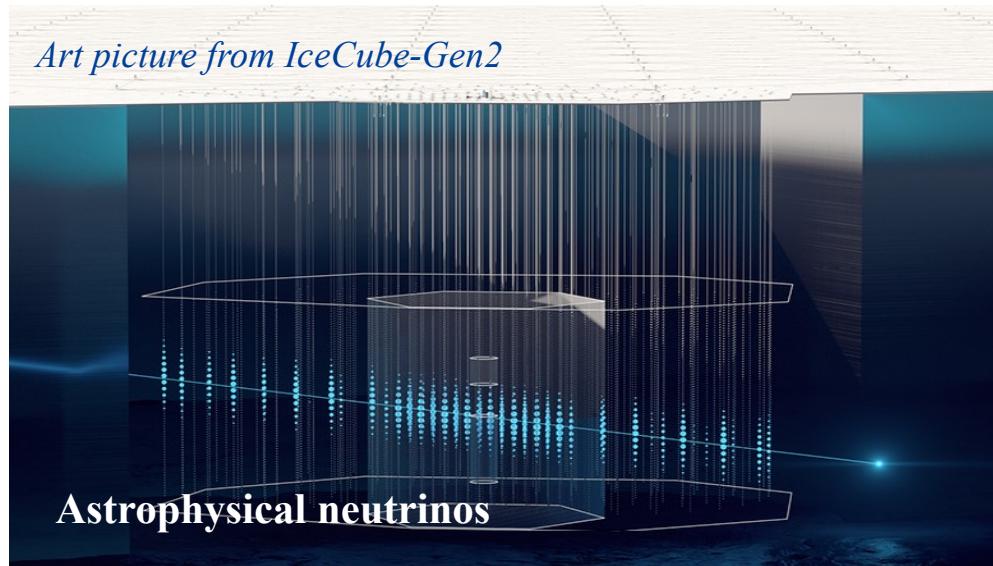
❖ Multi-messenger Astronomy Era



Art picture from LIGO



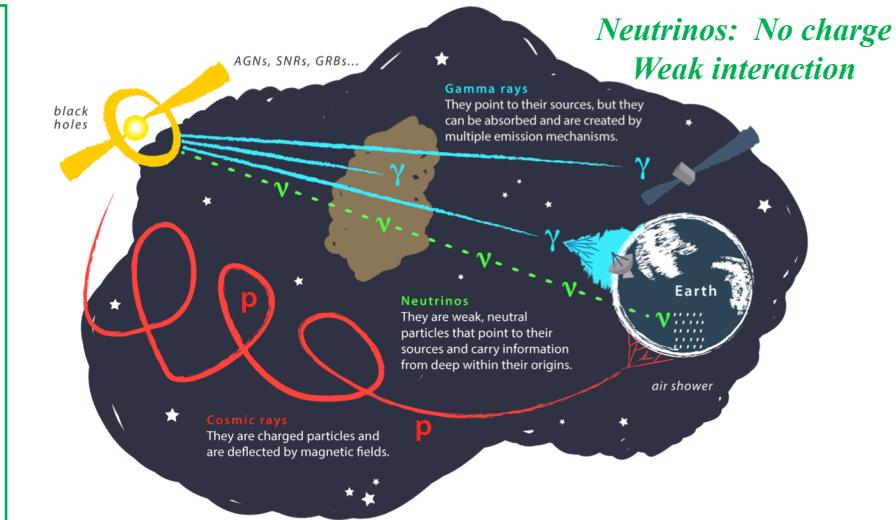
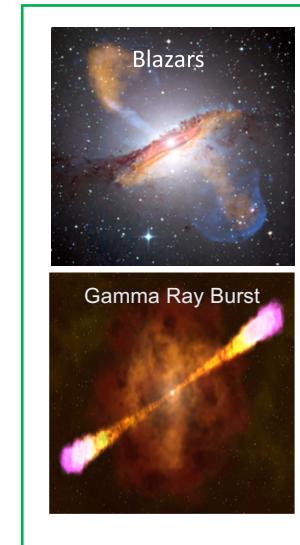
Art picture from LHAASO



Astrophysical neutrinos

Wei Tian (TDLI)

❖ Neutrino as an astrophysical messenger:

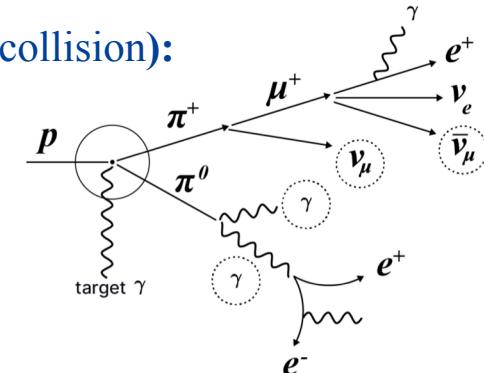


Art picture by Juan Antonio Aguilar and Jamie Yang. IceCube/WIPAC

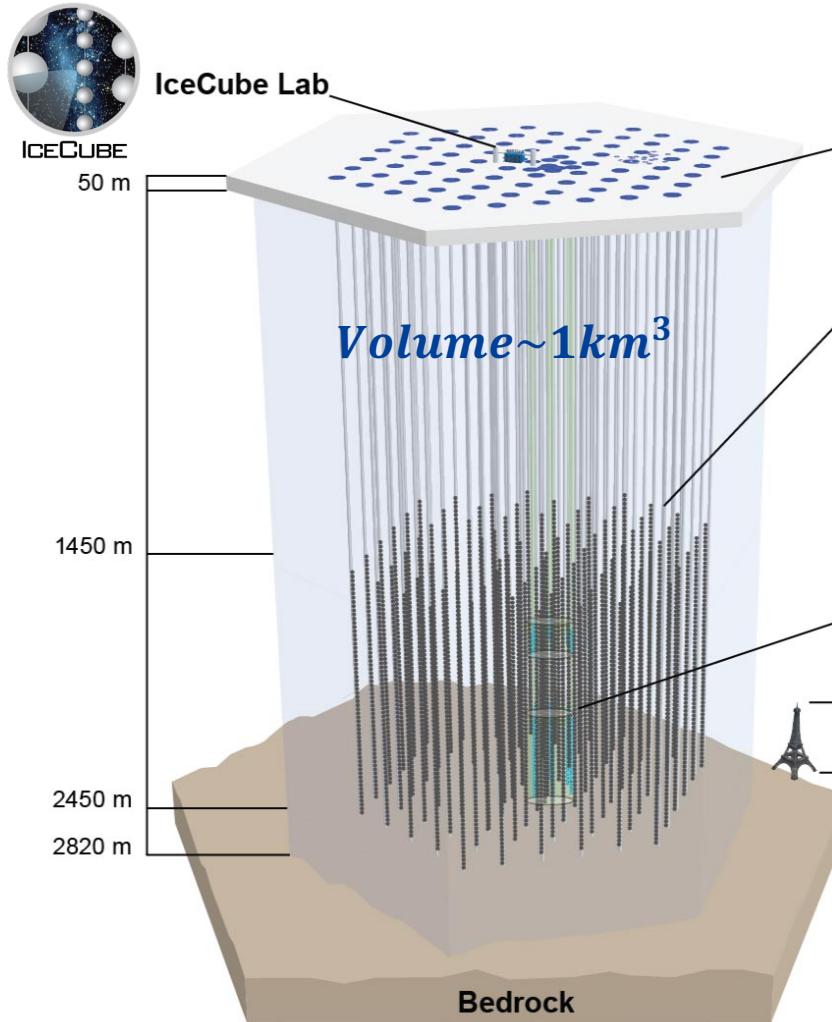
❖ Exploring the origin of cosmic rays

Hadronic processes ($p\gamma$ or pp collision):

$$\begin{aligned}\pi^+ &\rightarrow \mu^+ + \nu_\mu \\ \mu^+ &\rightarrow e^+ + \nu_e + \bar{\nu}_\mu\end{aligned}$$



Neutrino telescopes: IceCube & ANTARES



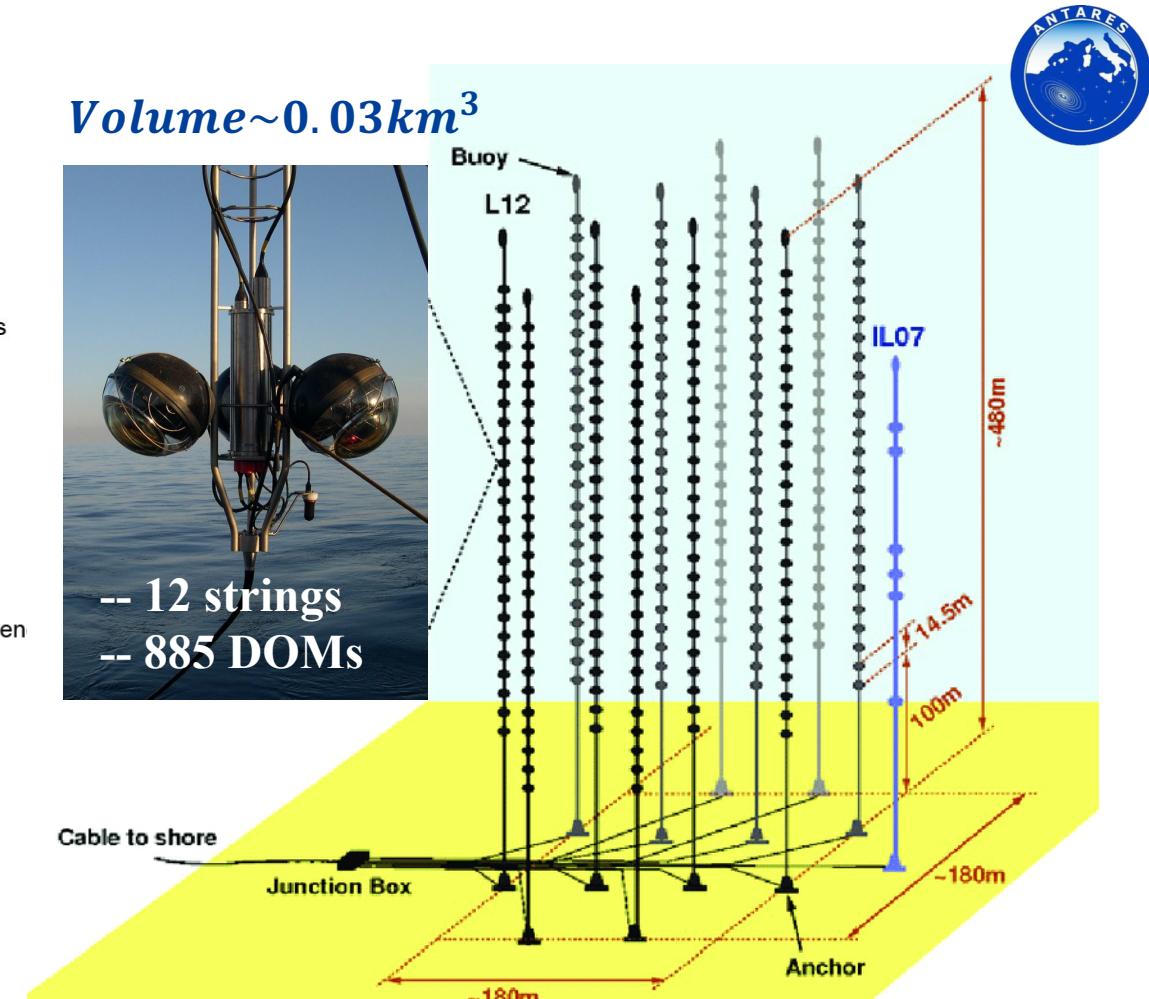
Art picture by IceCube

10-inch PMT

Volume $\sim 0.03\text{ km}^3$



**-- 12 strings
-- 885 DOMs**

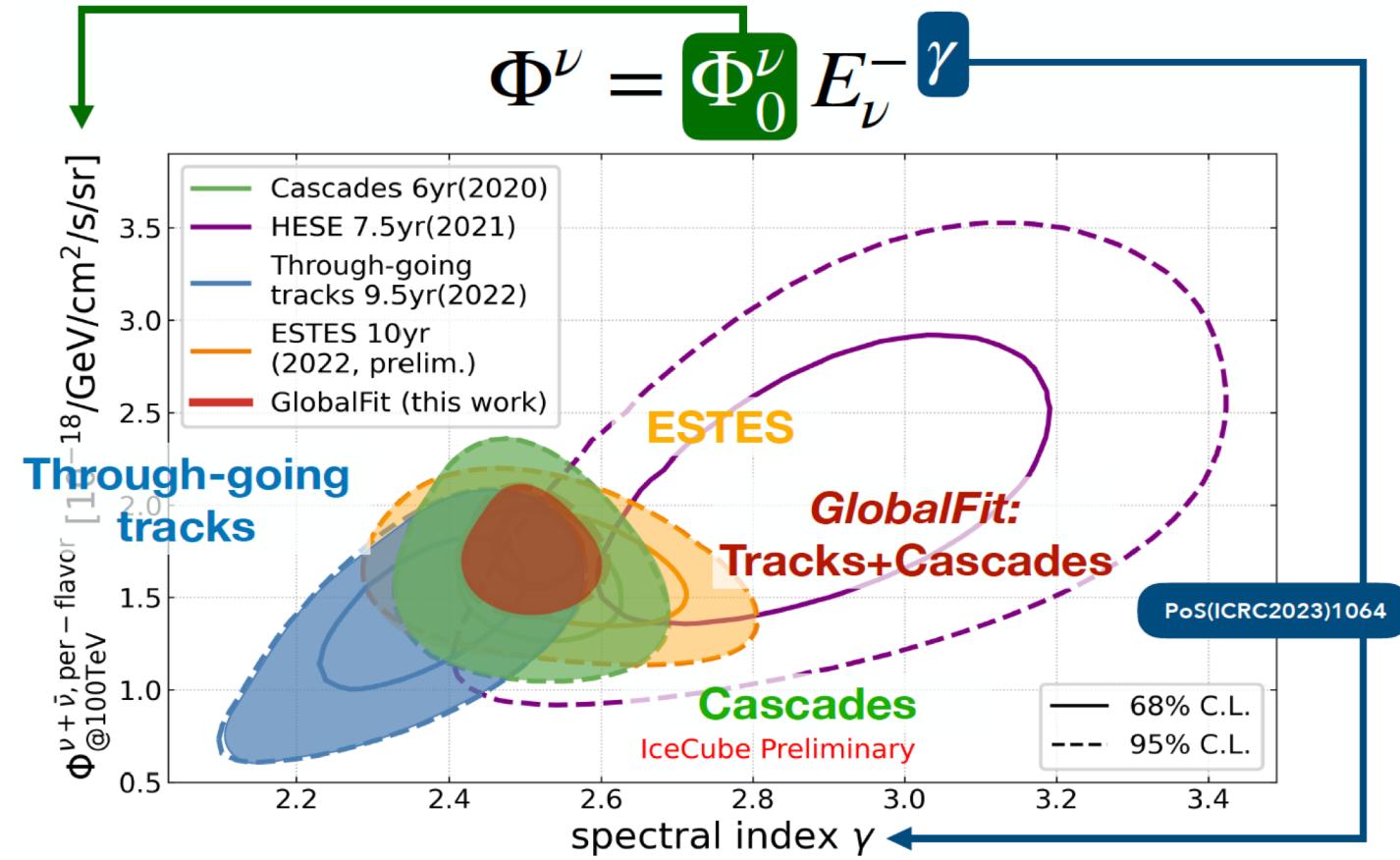
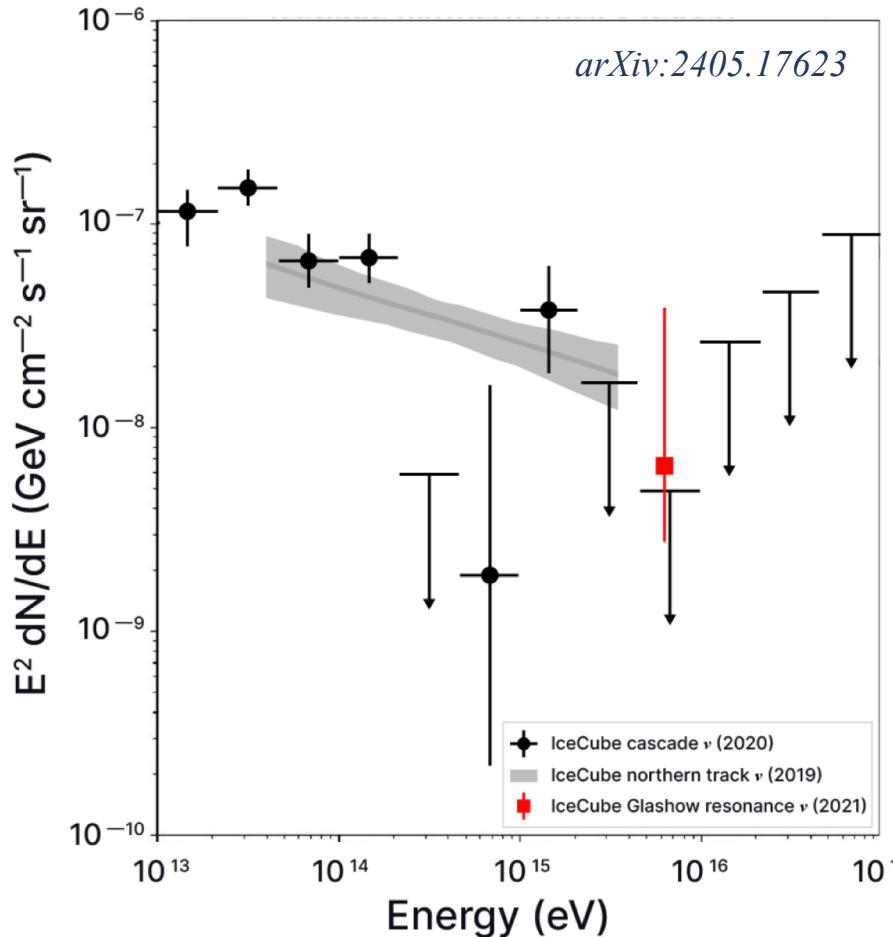


Art picture by ANTARES

Observation of astrophysical neutrino flux



- ❖ All-sky observation of neutrino flux
- ❖ A global fit of the flux by both Track and Cascade events



from J. A. Aguilar, on behalf of IceCube, Neutrino2024, Milan

Origins of astrophysical neutrinos

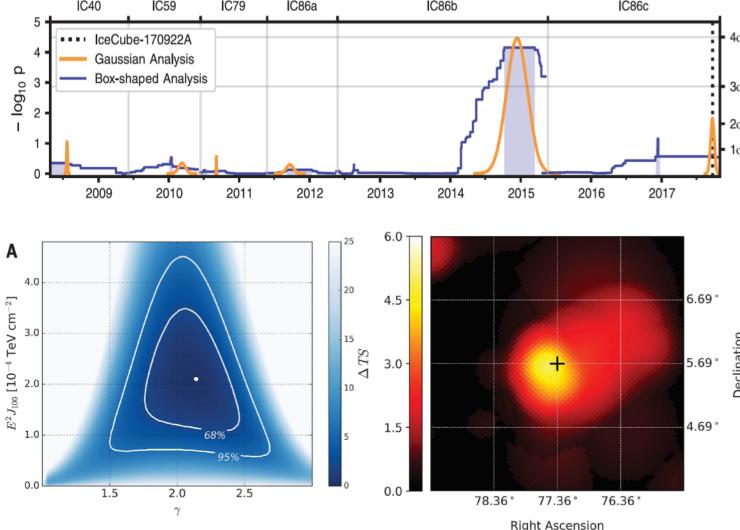


NEUTRINO ASTROPHYSICS

Neutrino emission from the direction of the blazar TXS 0506+056 prior to the IceCube-170922A alert (2017)

IceCube Collaboration*†

Science 361 (2018) 6398, 147-151



Neutrino energy: $\sim 290 \text{ TeV}$

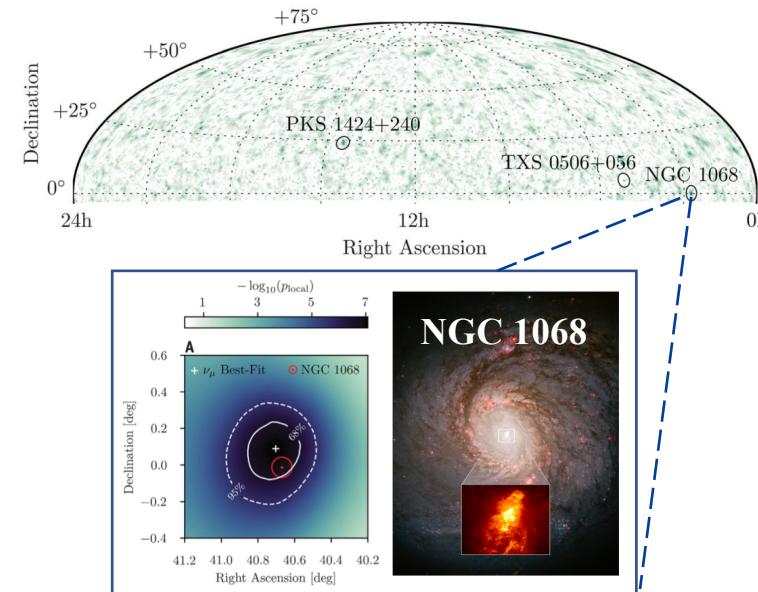
Significance: 3.5σ

NEUTRINO ASTROPHYSICS

Evidence for neutrino emission from the nearby active galaxy NGC 1068 (2022)

IceCube Collaboration*† Science 378, 538 (2022)

(2022)



Event excess: 79^{+22}_{-20} ($1.5\text{TeV} \sim 15\text{TeV}$)

Significance: 4.2σ

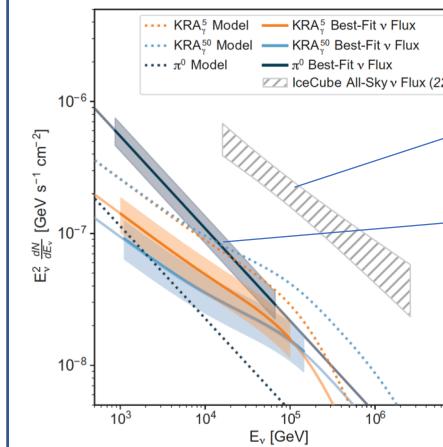
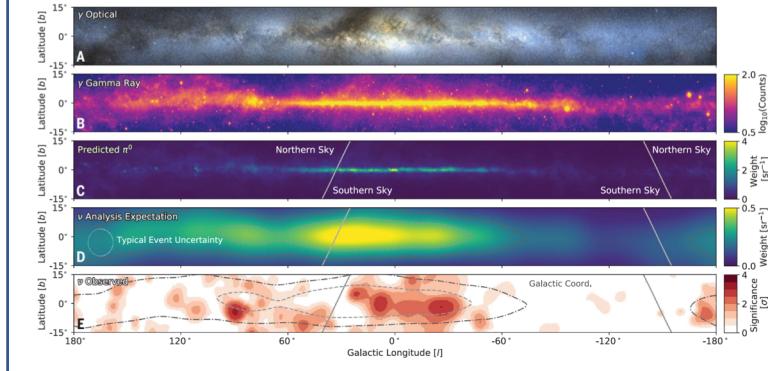
NEUTRINO ASTROPHYSICS

Observation of high-energy neutrinos from the Galactic plane (2023)

IceCube Collaboration*†

Science 380, 1338–1343 (2023)

(2023)



Diffuse flux

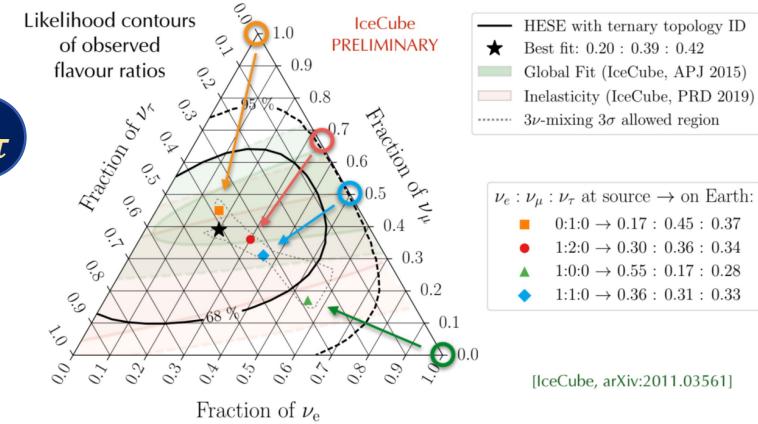
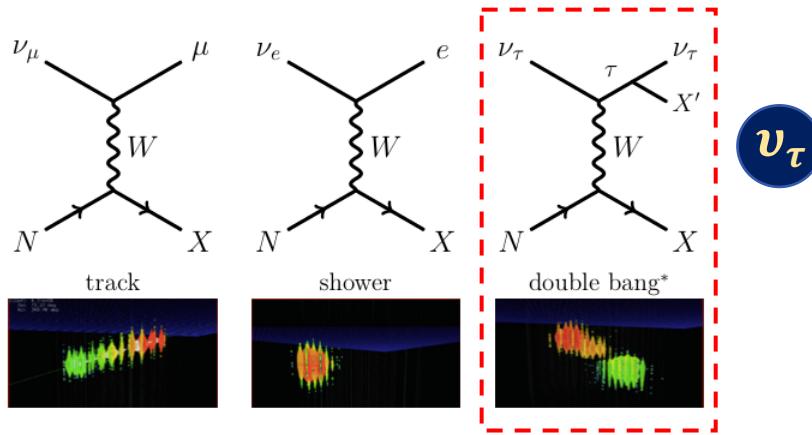
Galactic flux

($> \text{TeV}, 4.5\sigma$)

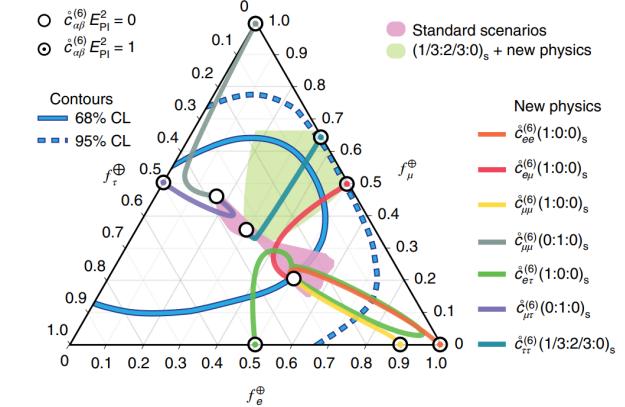
Neutrino flavor detection



❖ Flavor ratio: a powerful probe for exploring new physics:



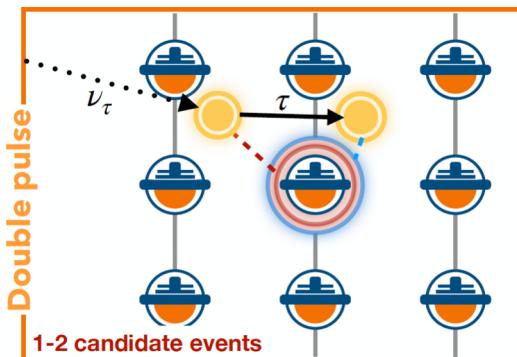
Probe quantum gravity:



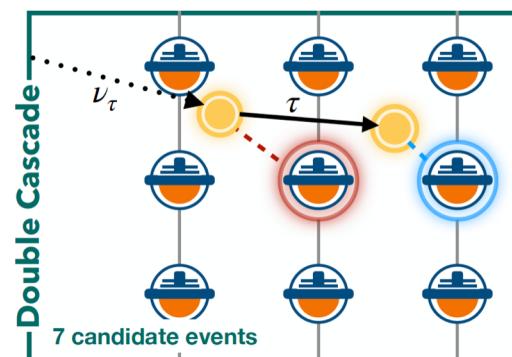
Nature Phys. 18 (2022) 11, 1287-1292

❖ Observation of astrophysical tau neutrinos with IceCube:

DOM level

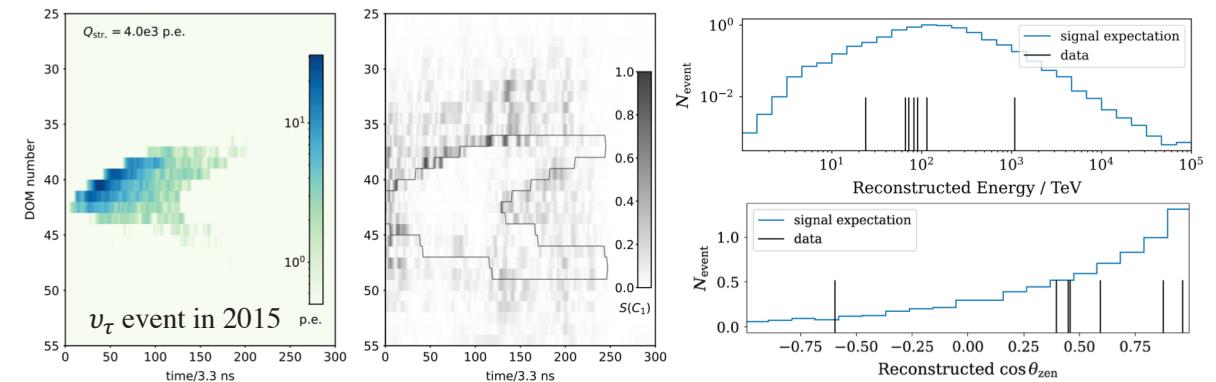


Detector level



from J. A. Aguilar, on behalf of IceCube, Neutrino 2024, Milan

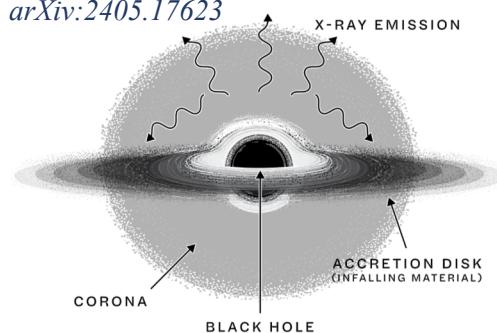
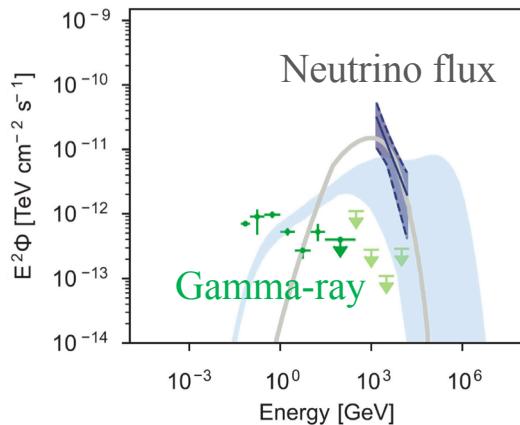
7 tau neutrino candidates by CNN with 3 strings (2024) PRL, 132, 151001 (2024)



The dawn of neutrino astronomy



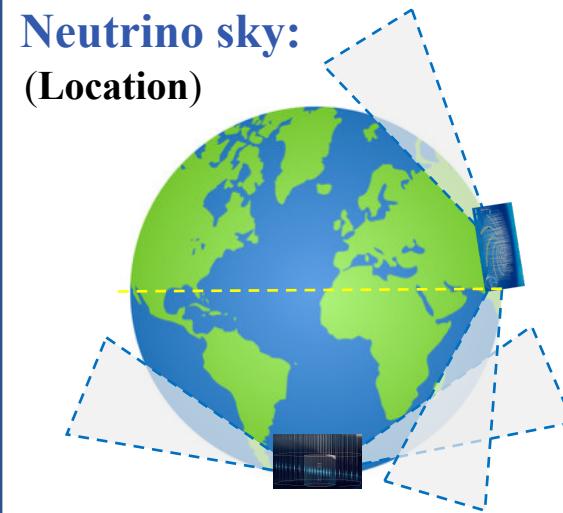
❖ Neutrino production region & mechanism



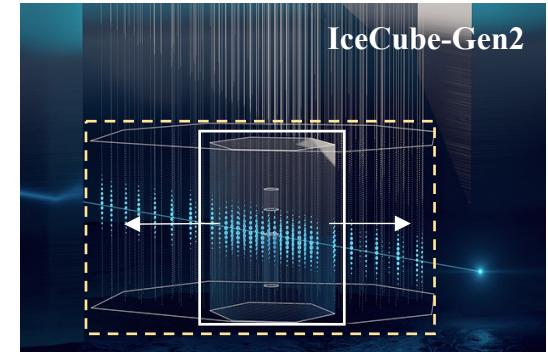
❖ Questions remained for cosmic neutrinos

1. More astrophysical neutrino sources
2. Cosmic-ray production & propagation
3. Neutrino mass/oscillation
4. Physics environment of black hole
5. Fundamental physics: Lorentz invariance, etc.

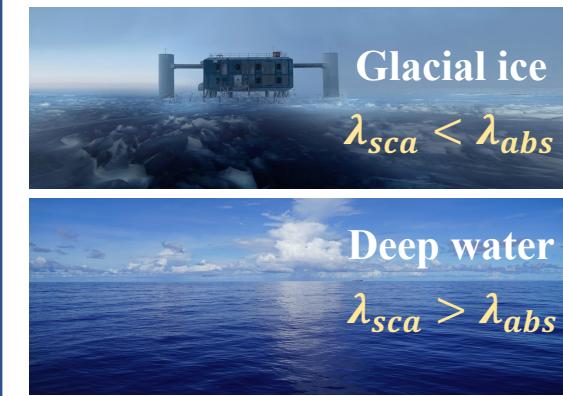
Neutrino sky: (Location)



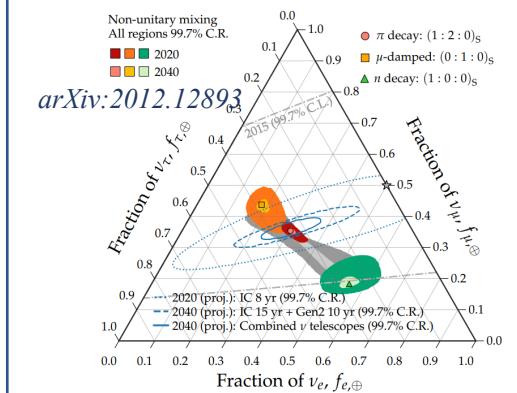
Event statistics: (Volume, Detector layout, Depth)



Angular/Energy resolution: (Optical medium, Detector layout)

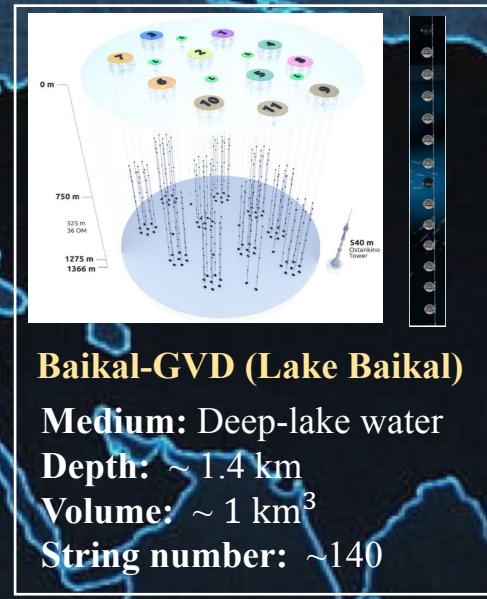
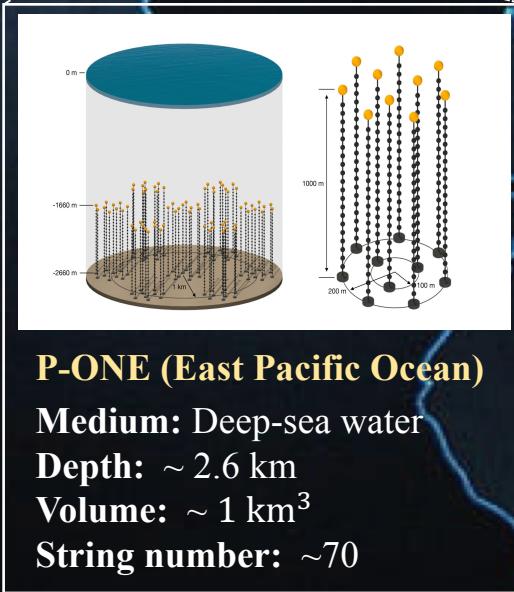


Flavor separation: ν_τ

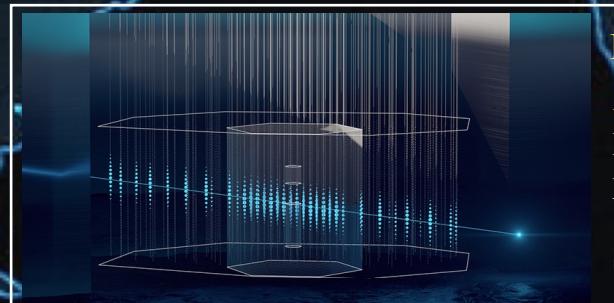


Precise flavor detection at 2040

Next-generation of neutrino telescopes



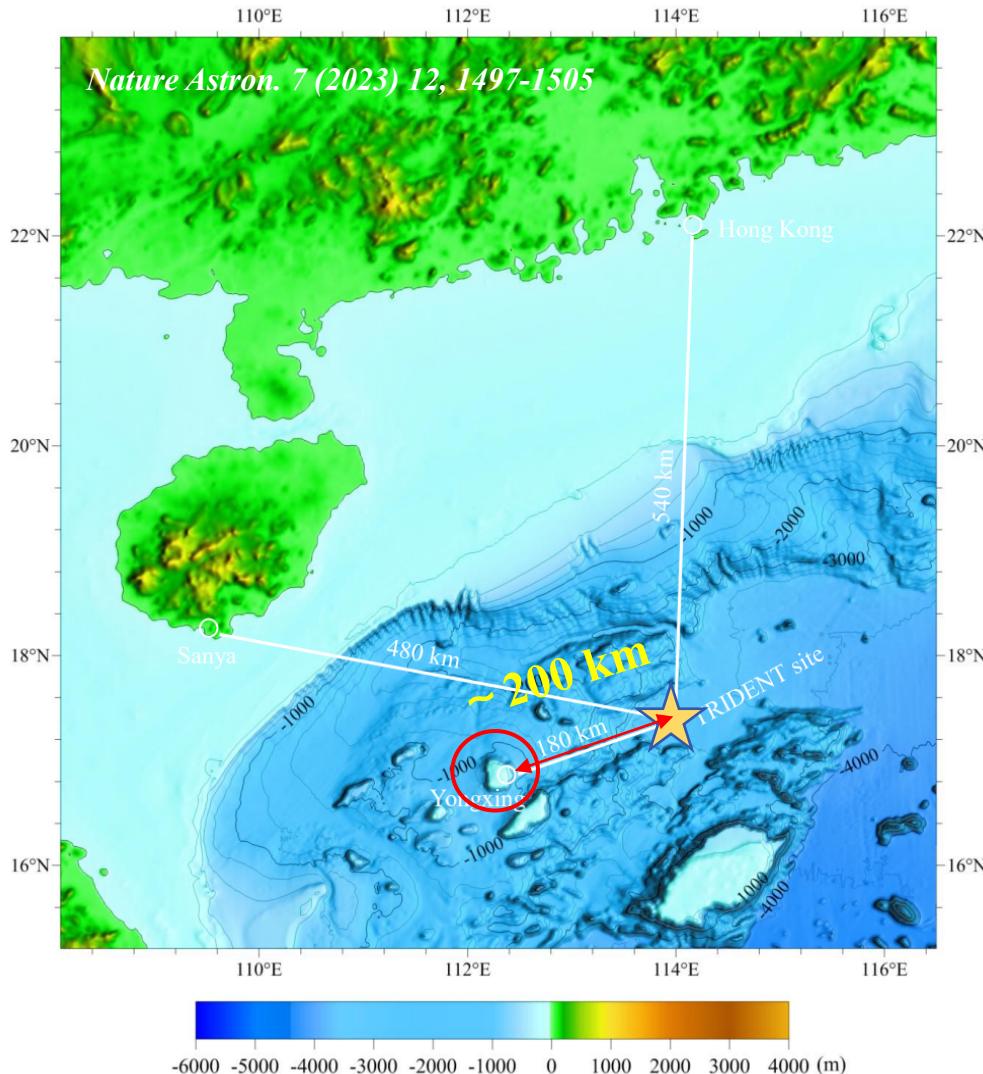
Wei Tian (TDLI)



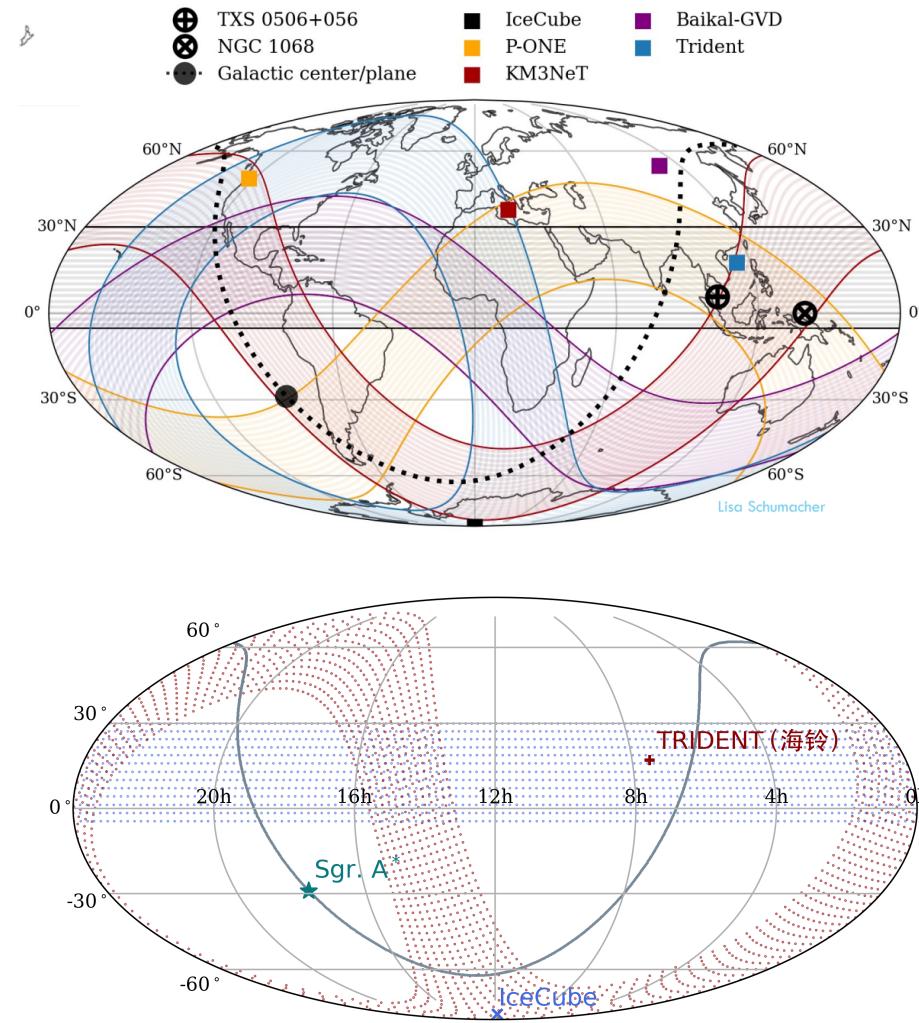
TROpIcal DEep-sea Neutrino Telescope (TRIDENT)



❖ TRIDENT location ($\sim 114.0^\circ E, 17.4^\circ N$) :



❖ All-sky scanning of astrophysical neutrinos:



Detector layout of TRIDENT

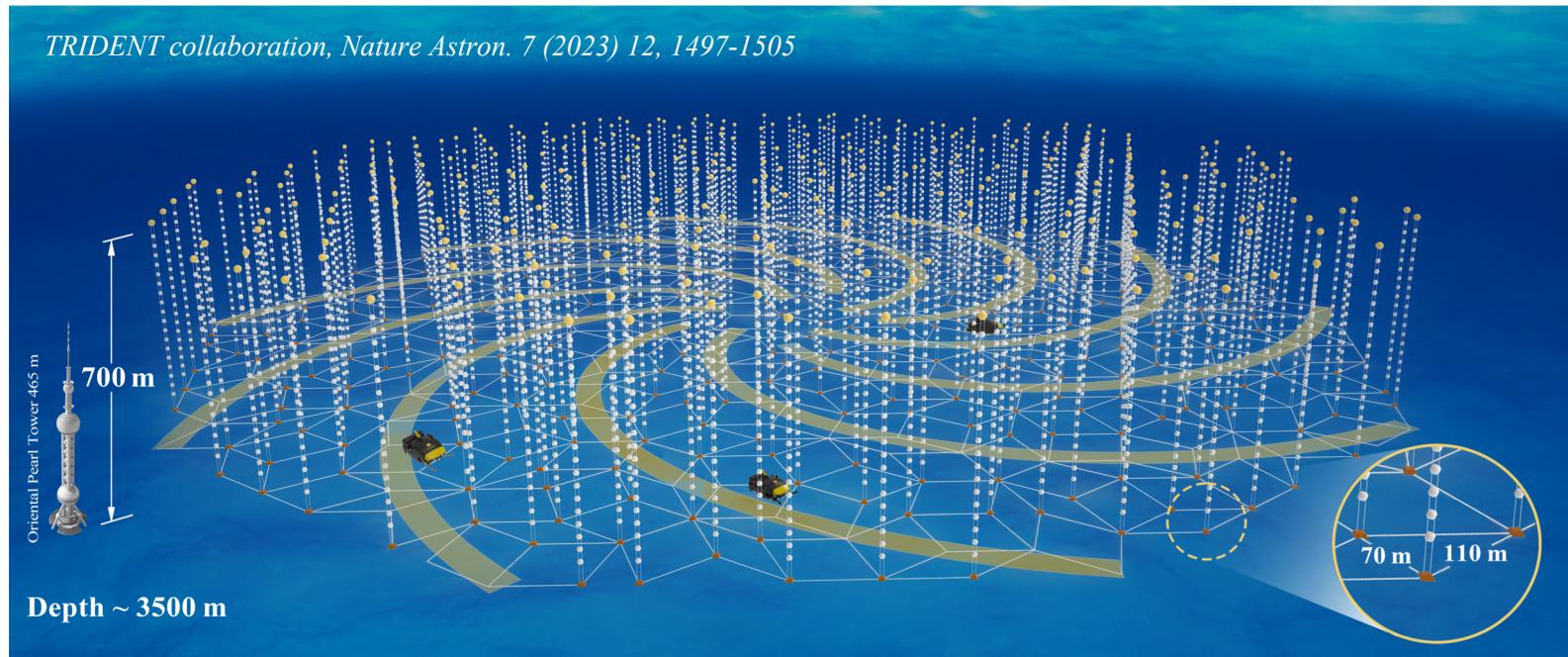


Water depth: ~3500m

Number of strings: ~1000 (20 hDOMs per string)

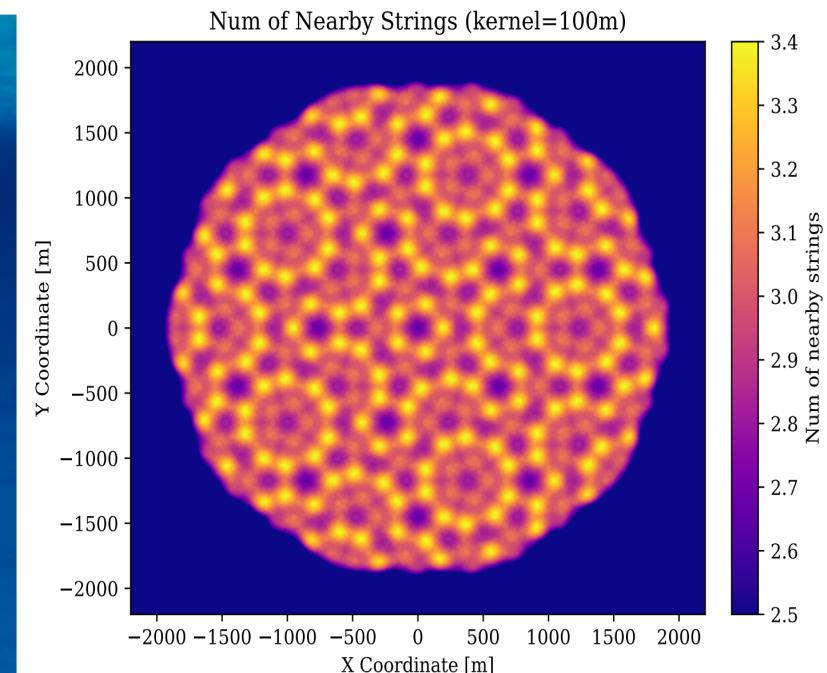
Inter-string distance: 70m/110m, **Inter-DOM distance:** 35m

Detection Volume: ~8 km³



Penrose-tiling geometry:

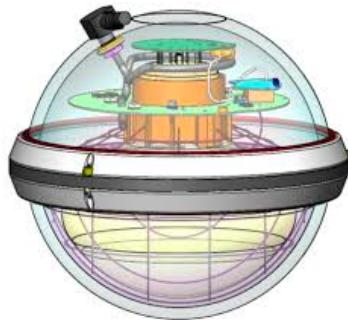
1. Avoid corridor events
2. Balance track/cascade events
3. Paths for underwater-maintenance



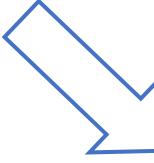
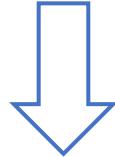
hybrid Digital Optical Module (hDOM)



DOM



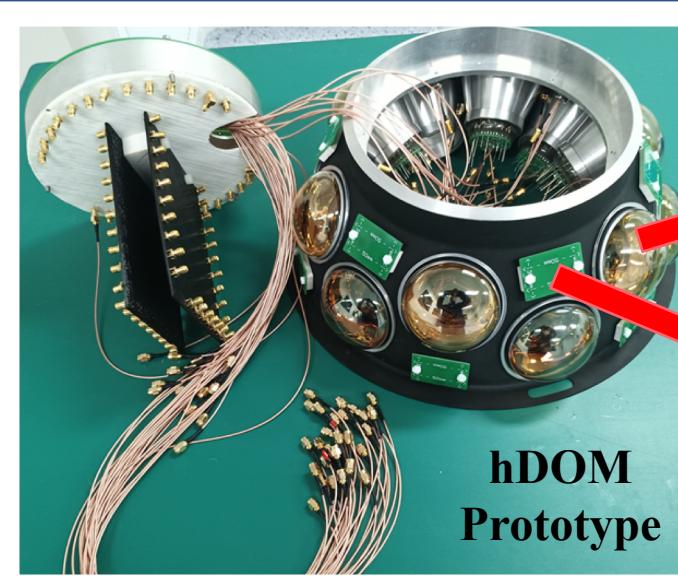
D-Egg



mDOM

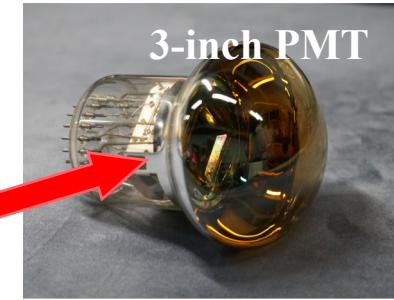


hDOM

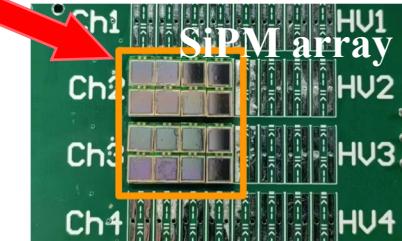


hDOM
Prototype

TRIDENT hDOM: PoS ICRC2023 (2023) 1213



3-inch PMT



SiPM array

TRIDENT SiPM: JINST 19 (2024) 06, P06011

Pixelized PMT + SiPM layout:

1. 4π photon coverage (+10% by SiPM)
2. Better SPE time resolution (without magnetic shielding)
3. PMT coincidence trigger for K40/dark noise
4. Photon distribution on hDOM surface

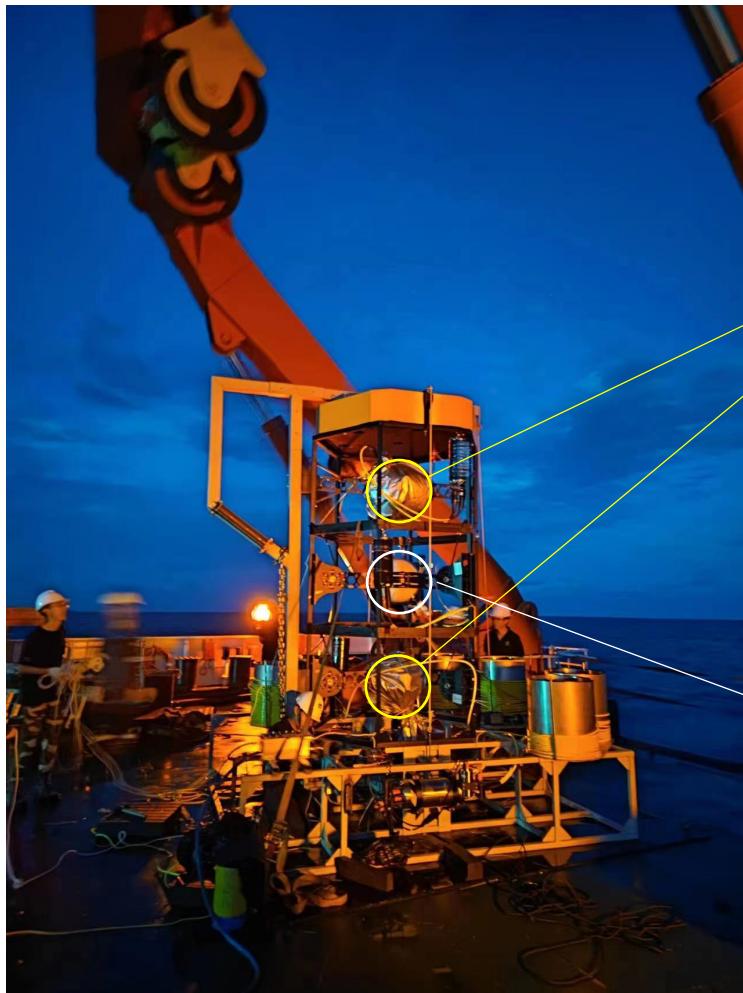
$\times 30$
 $\sim O(1 \text{ ns})$

$\times 24$
 $\sim O(300 \text{ ps})$

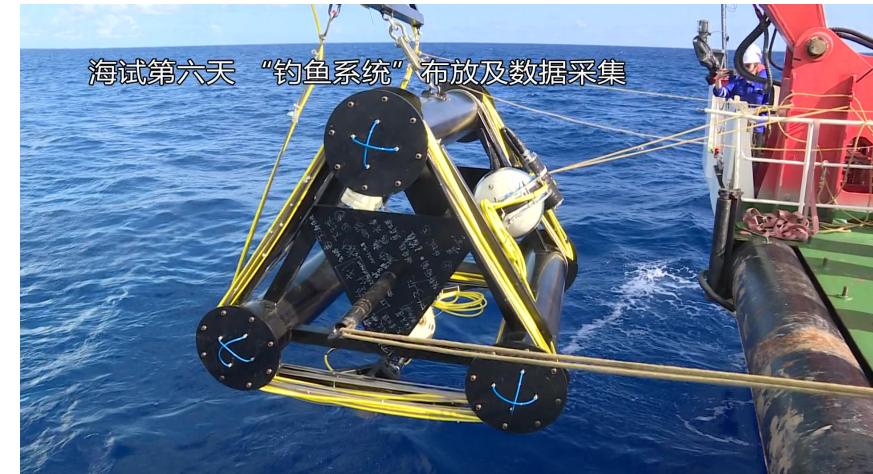
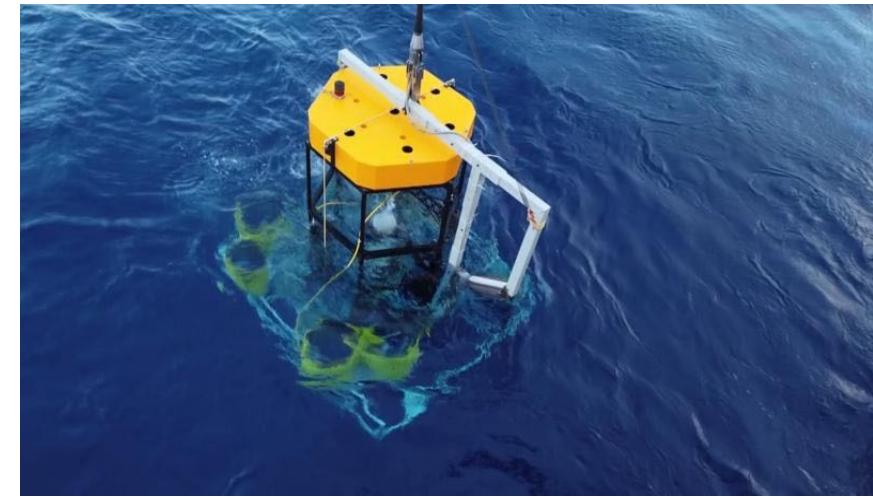
TRIDENT Pathfinder experiment (2021)



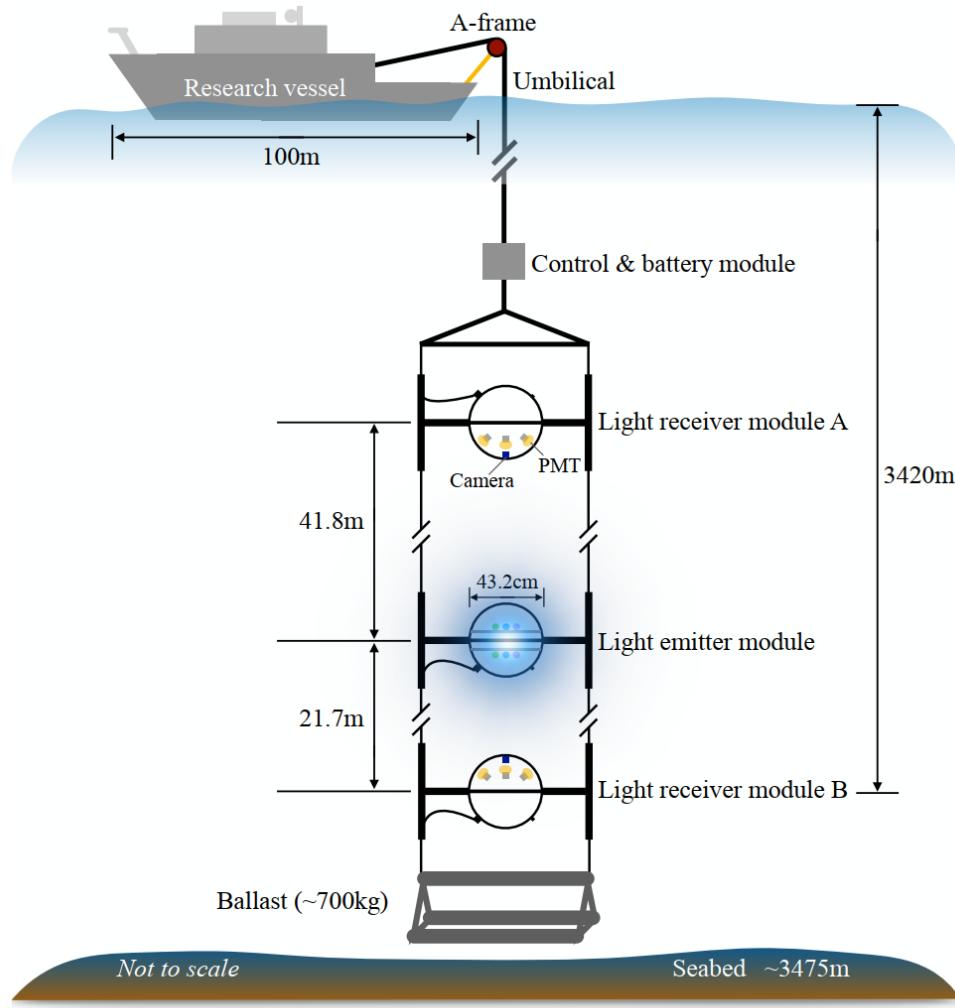
❖ TRIDENT Explorer (T-REX)



❖ T-REX deployment (depth of 3420m)



T-REX apparatus



Experiment goals:

1. Optical properties
2. Oceanographic conditions
3. Radioactivity (K40 decay)
4. Prototype test at 35MPa

Light Receiver Module A&B :

Two systems: **PMT** and **Camera** systems

Synchronization :White Rabbit (< 1ns)

(PMT: JINST 19 (2024) 05, P05040, Camera: arXiv:2407.19111)

Light Emitter Module :

Three wavelengths: **405nm**, **460nm**, **525nm**

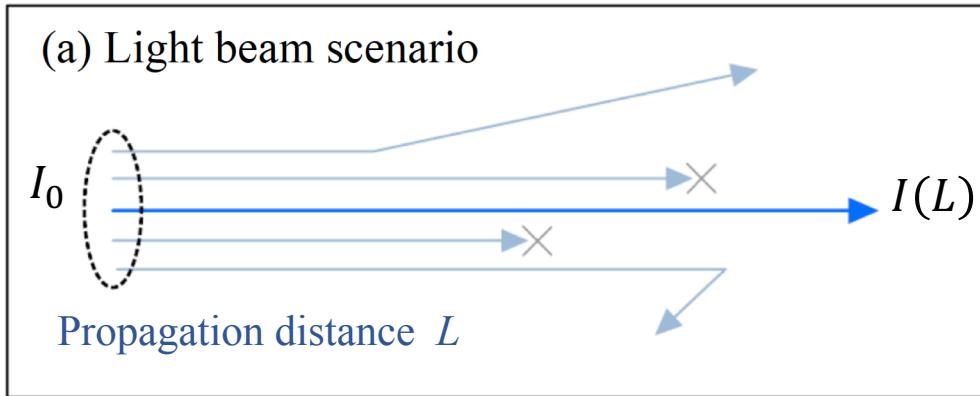
Pulsing mode (PMT) & Steady mode (Camera)

(Light source: NIM-A 1056 (2023) 168588)

Optical calibration in water-based neutrino telescopes



❖ The canonical optical parameters:

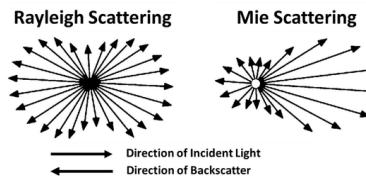


Absorption length (λ_{abs}) ~ photon loss

Scattering length (λ_{sca}) ~ photon deflection

Rayleigh scattering (λ_{Ray}):

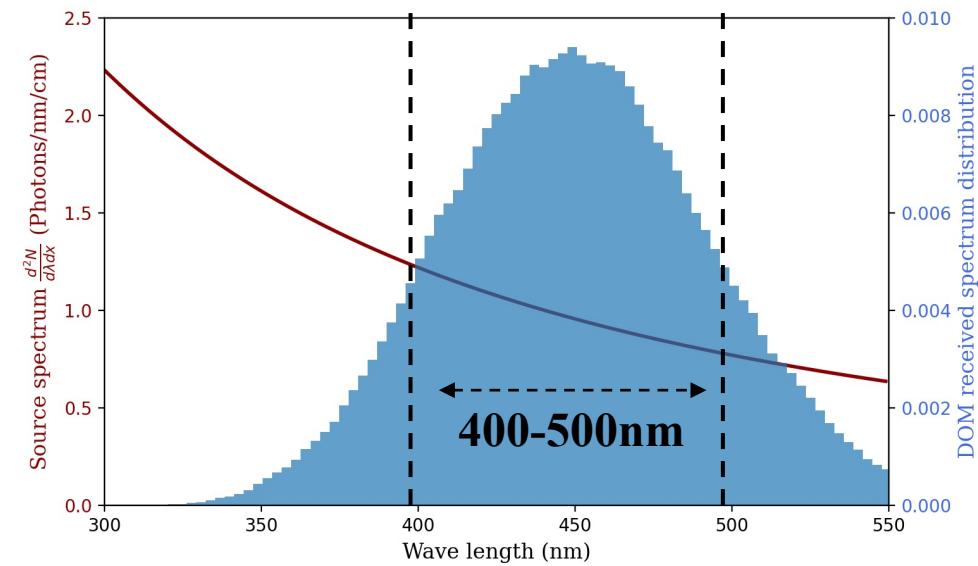
Mie scattering (λ_{Mie} , $\langle \cos\theta_{Mie} \rangle$):



Attenuation length (λ_{att}):

$$I(L) = I_0 \cdot e^{-(\frac{L}{\lambda_{abs}} + \frac{L}{\lambda_{sca}})} = I_0 \cdot e^{-\frac{L}{\lambda_{att}}}$$

❖ Cherenkov waveband in water medium



❖ Extra challenge in optical calibration:

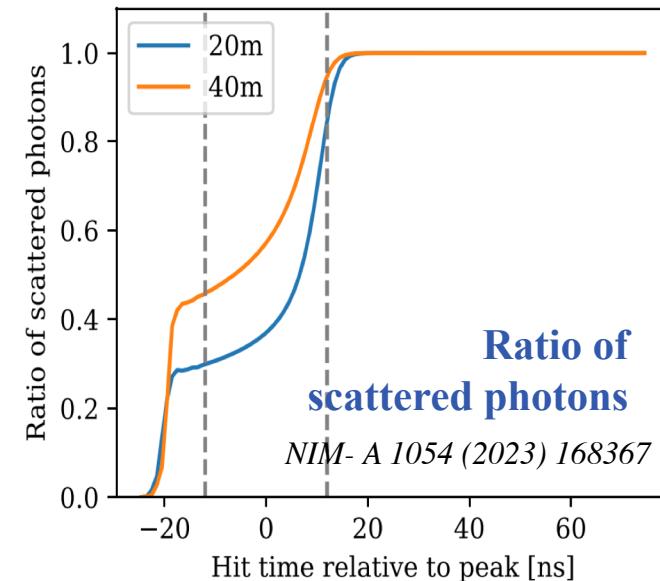
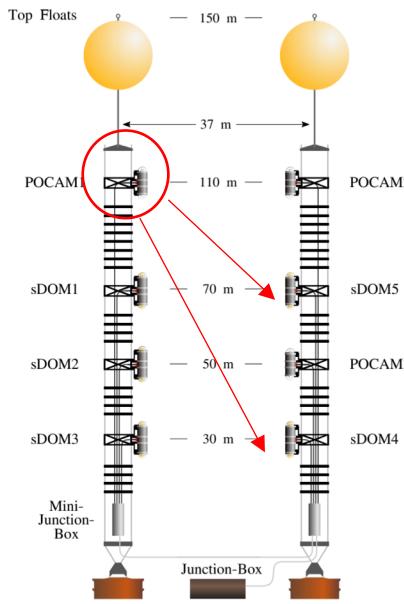
1. Dynamic water medium
2. Time-varying optical properties
3. Non-uniformity in large volume/different depths
4. Bio-activity / Sedimentation

The commonly-used optical calibration methods



PMT + Pulsing light source:

(Antares-2004, KM3NeT-LAMs, P-ONE Straw-a)

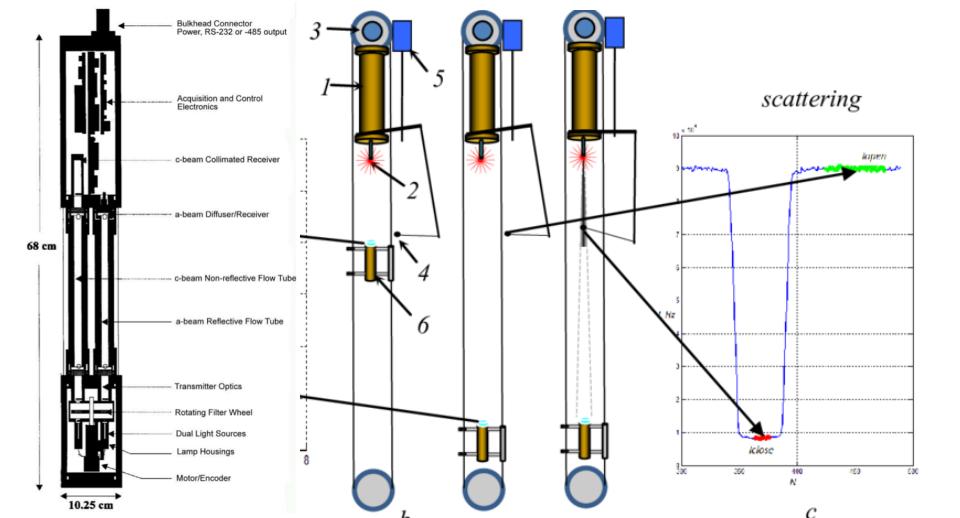


Eur.Phys.J.C 81 (2021) 12, 1071

1. Must work under single-photon mode
2. Hours-long data accumulation
3. Hard to separate the direct photons, “ $\lambda_{\text{eff,att}}$ ”

Specialized laser facility:

(KM3NeT-AC9, Baikal-5D)



WET lab, AC9

Baikal-5D, PoS ICRC2023 (2023) 977

1. Nice precision of canonical optical parameters
2. Need extra calibration/deployment
3. Localized measurement

The camera system and its control module



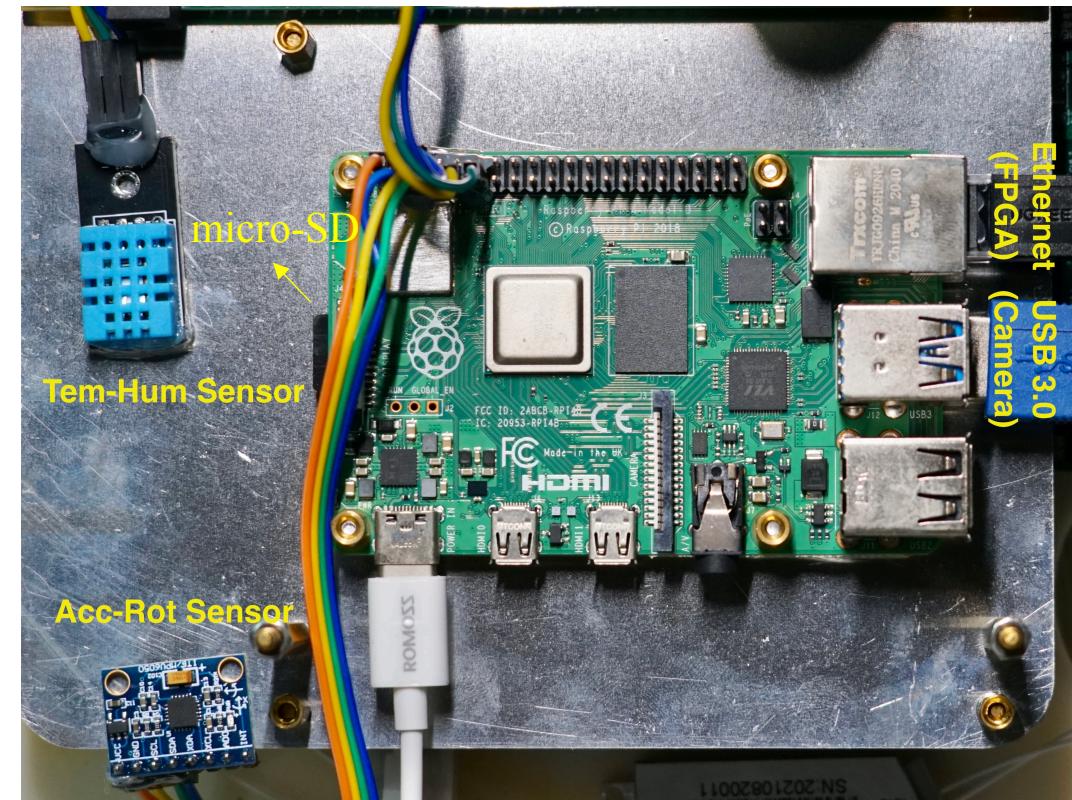
Camera + Isotopic steady light source:

1. **O(~0.05s) exposure time: Real-time calibration**
2. **~8cm size:** Integrated in DOMs, across the detector
3. **Other applications:** Environment & Self-monitoring
4. **Robustness:** no need for precise synchronization



Control & DAQ module : Raspberry 4Pi & FPGA

1. Two additional sensors for DOM monitoring
2. **Real-time data transmission/ Remote operation**



Camera settings during the data taking process



1. Exposure time scanning:

Fast mode: 0.02s

For 460nm: 0.05s

For 525nm: 0.07s

For 405nm: 0.11s

Environment mode: 0.5s, 1.0s

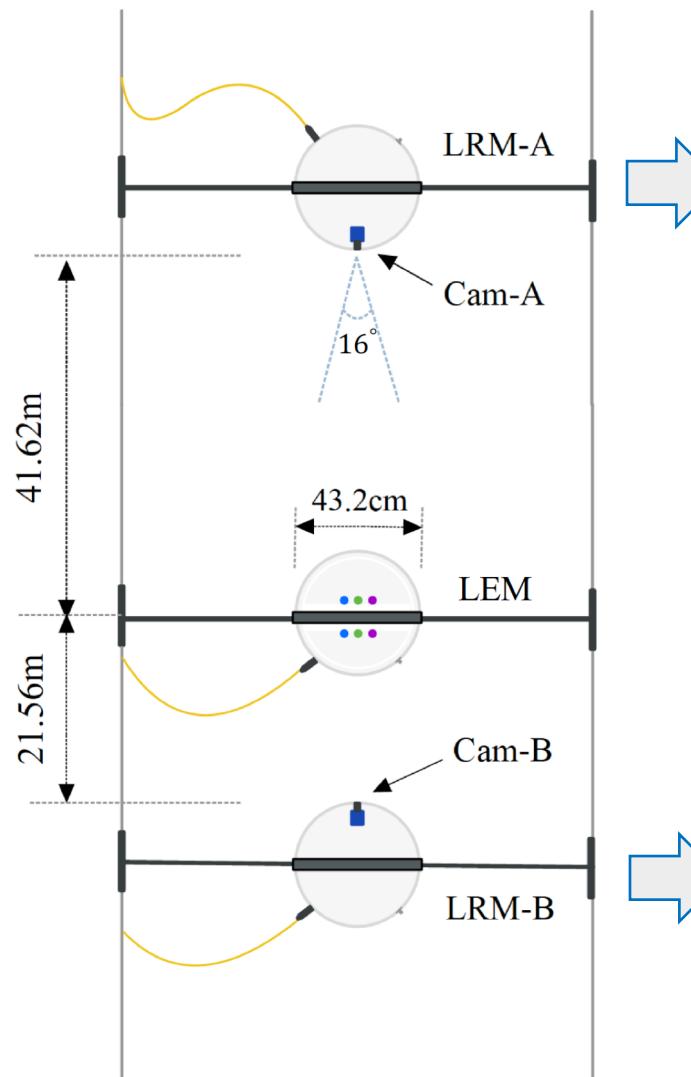
} LED brightness calibration in lab

2. Gain scanning:

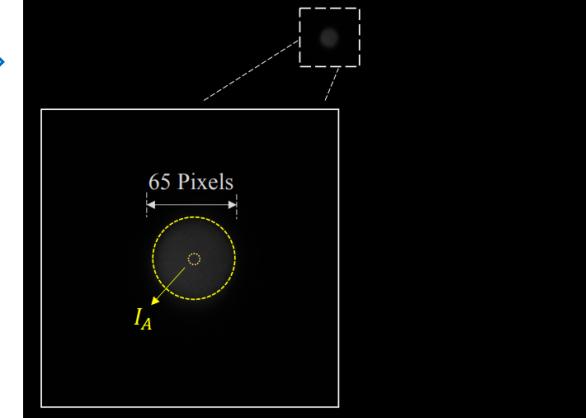
Gain = 0~20, step2

For each wavelength (< 8 min):

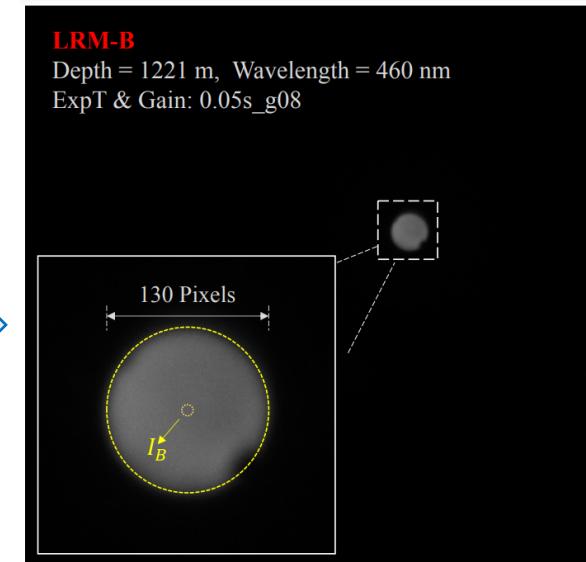
ExpT * Gain * 20 pics = 1200 pics



LRM-A
Depth = 1221 m, Wavelength = 460 nm
ExpT & Gain: 0.05s_g08



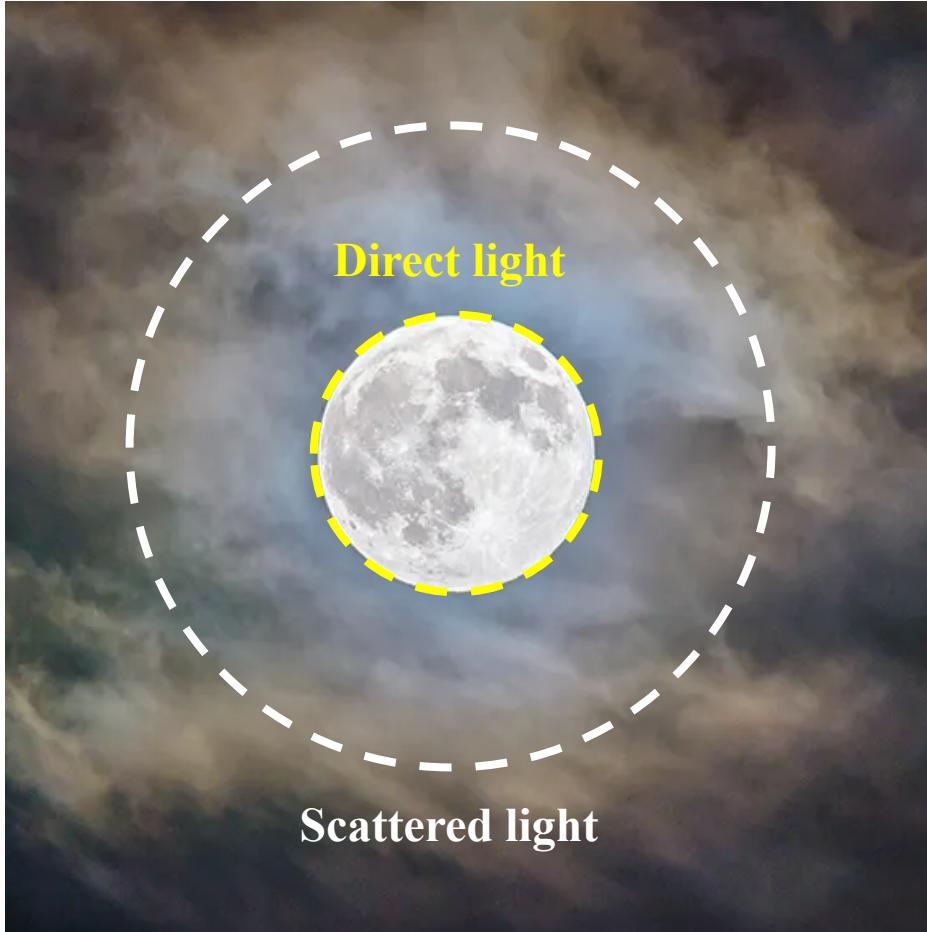
LRM-B
Depth = 1221 m, Wavelength = 460 nm
ExpT & Gain: 0.05s_g08



Optical measurement strategies of the camera system

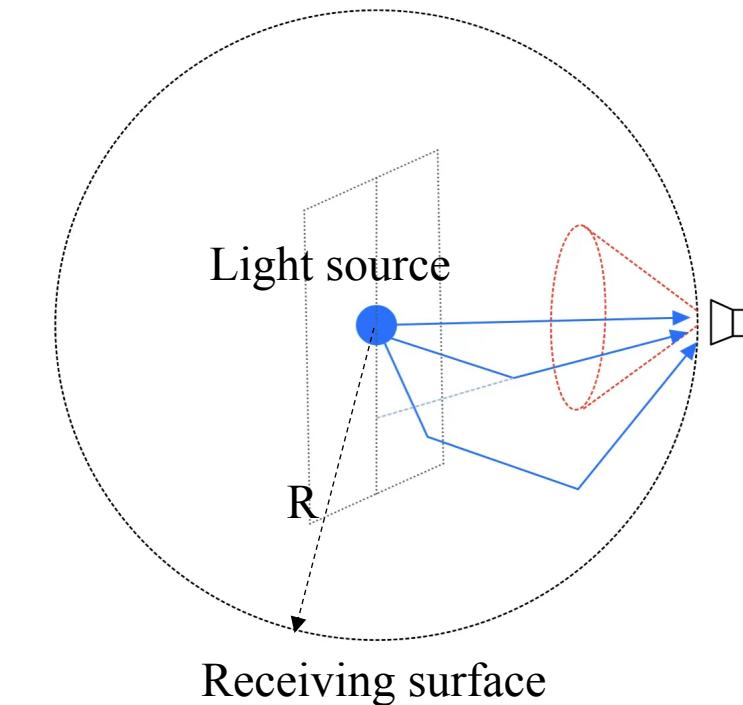


- ❖ Exclude scattered light by viewing angle:



Direct light: $I_{dir}(R) = I_0 \cdot e^{-(\frac{R}{\lambda_{abs}} + \frac{R}{\lambda_{sca}})} = I_0 \cdot e^{-\frac{R}{\lambda_{att}}}$

Separate the direct light:



Camera (direction, pixel)



PMT (time ~ ns)



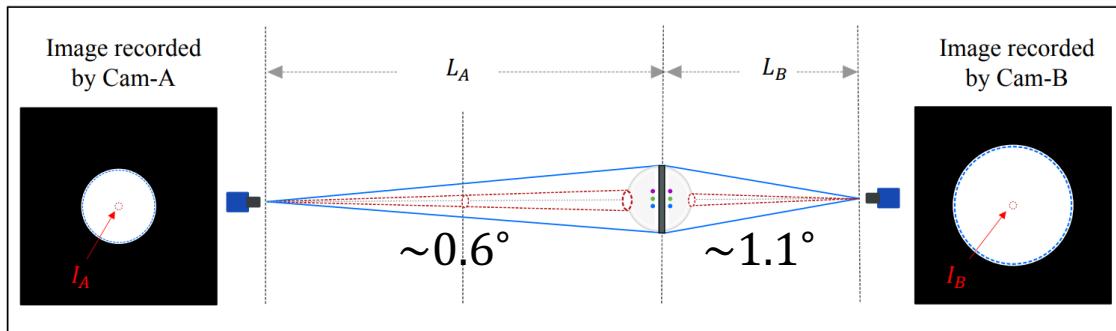
The I_{center} method for λ_{att} measurement

- ❖ Using the mean gray value of the **Centroid Pixel**:

Pixel (Exclude scattered light by a small angle)

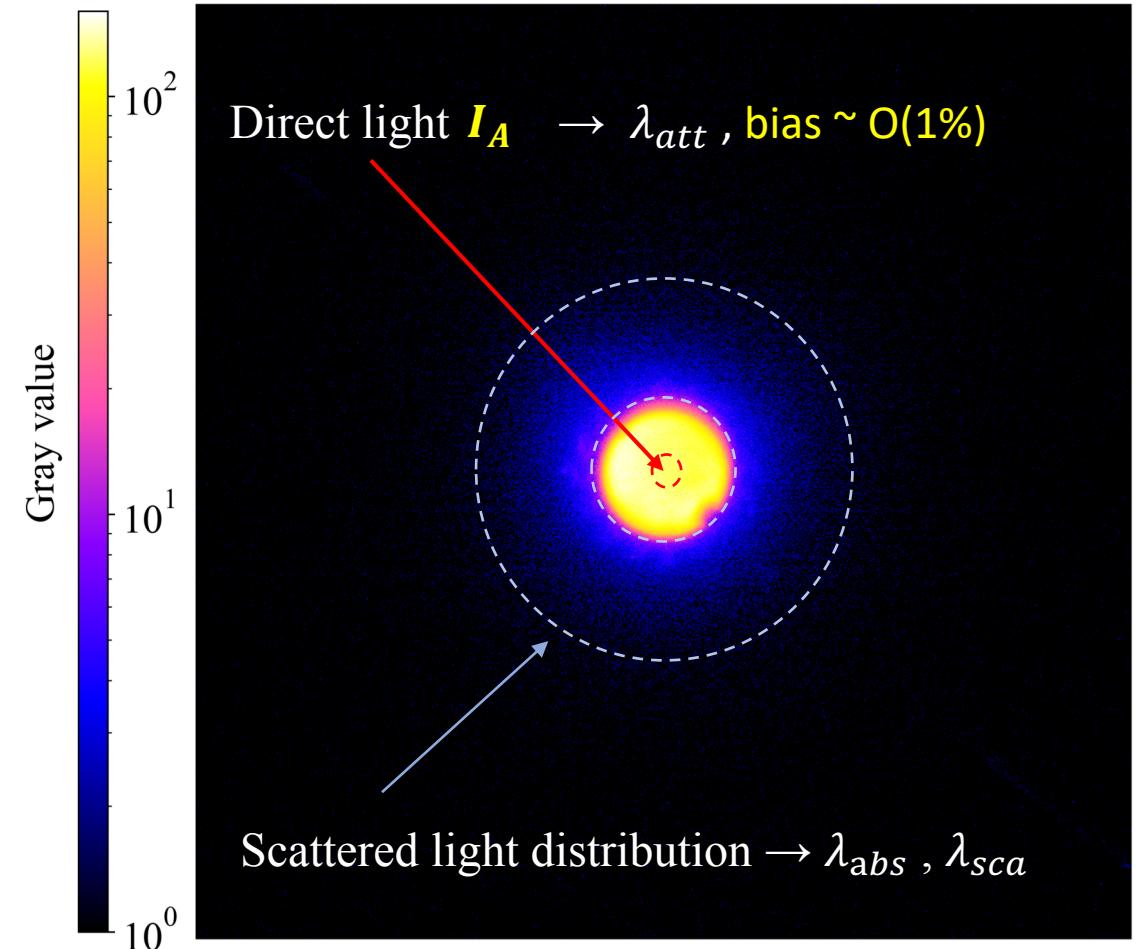


Within a unit solid angle: $I_{dir}(R) = I_0 \cdot e^{-\frac{R}{\lambda_{att}}}$



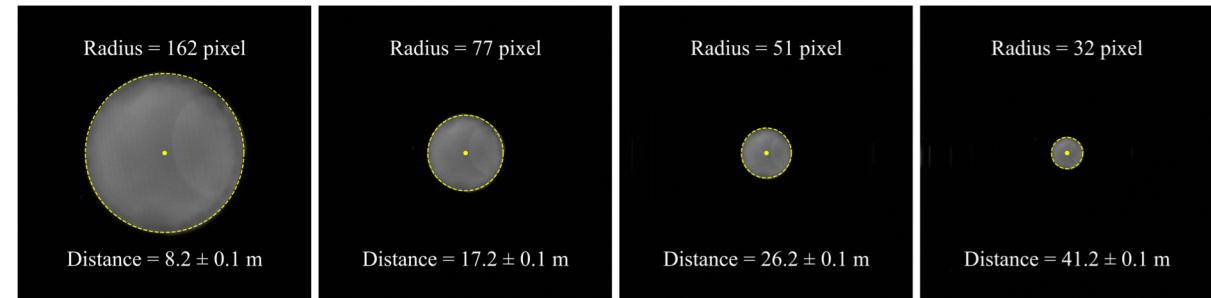
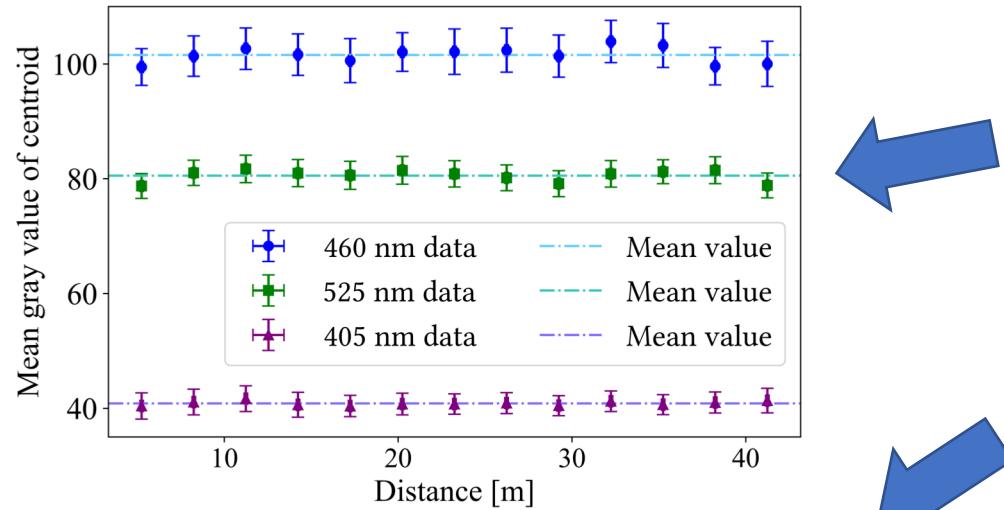
$$I_{center} \text{ method: } \lambda_{att} = -(L_A - L_B) / \ln\left(-\frac{I_A}{I_B} \cdot \frac{I'_0}{I_0}\right)$$

($\frac{I'_0}{I_0}$ indicates the non-uniformity of the light source)

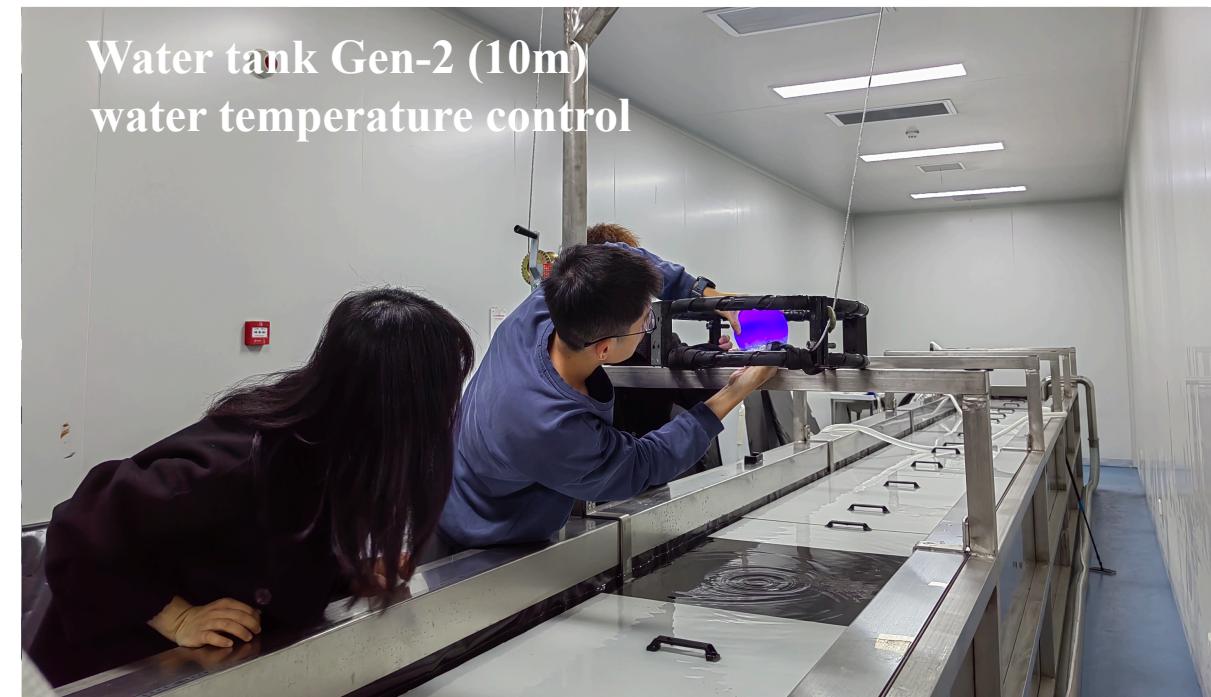
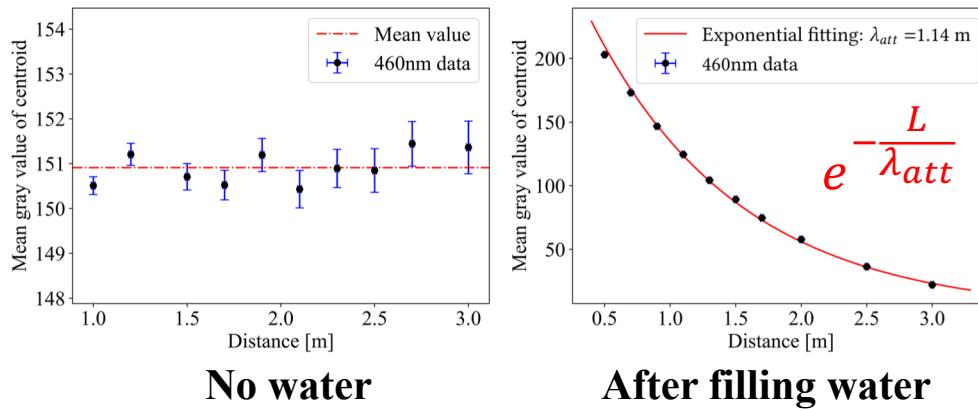


Verification of the I_{center} method

❖ Long-distance test in air [5m, 42m]

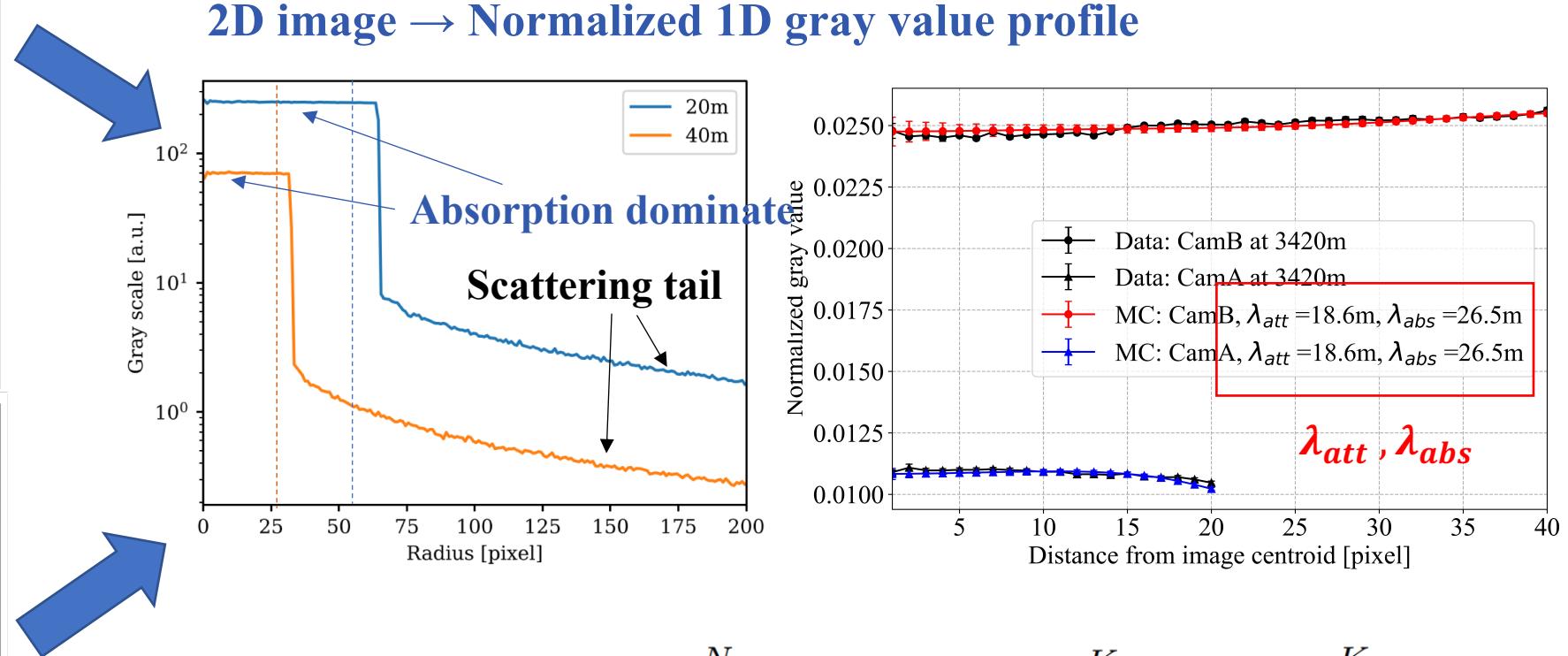
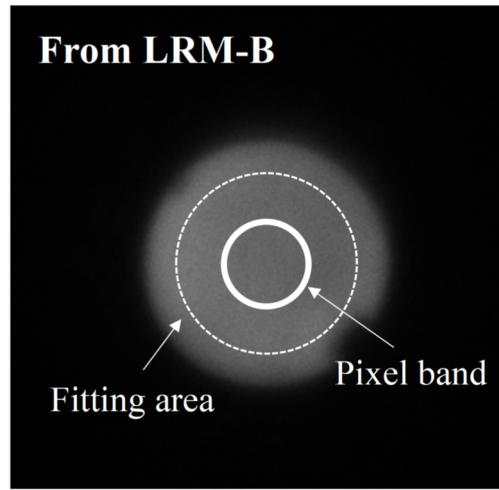
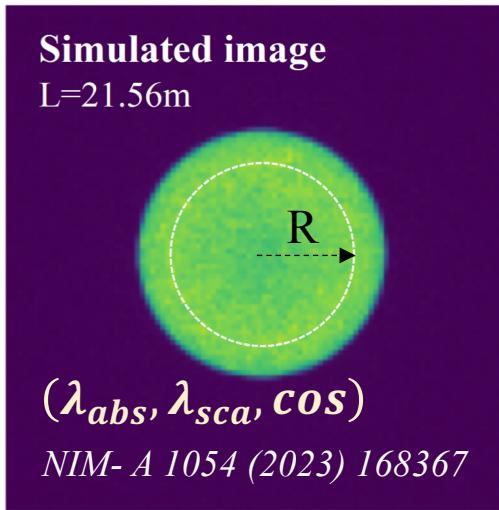


❖ Water tank experiment to test I_{center}



χ^2 fitting method for λ_{att} , λ_{abs} , λ_{sca} measurement

- ❖ Comparing the gray value distribution of Real & Geant4 Simulated images:

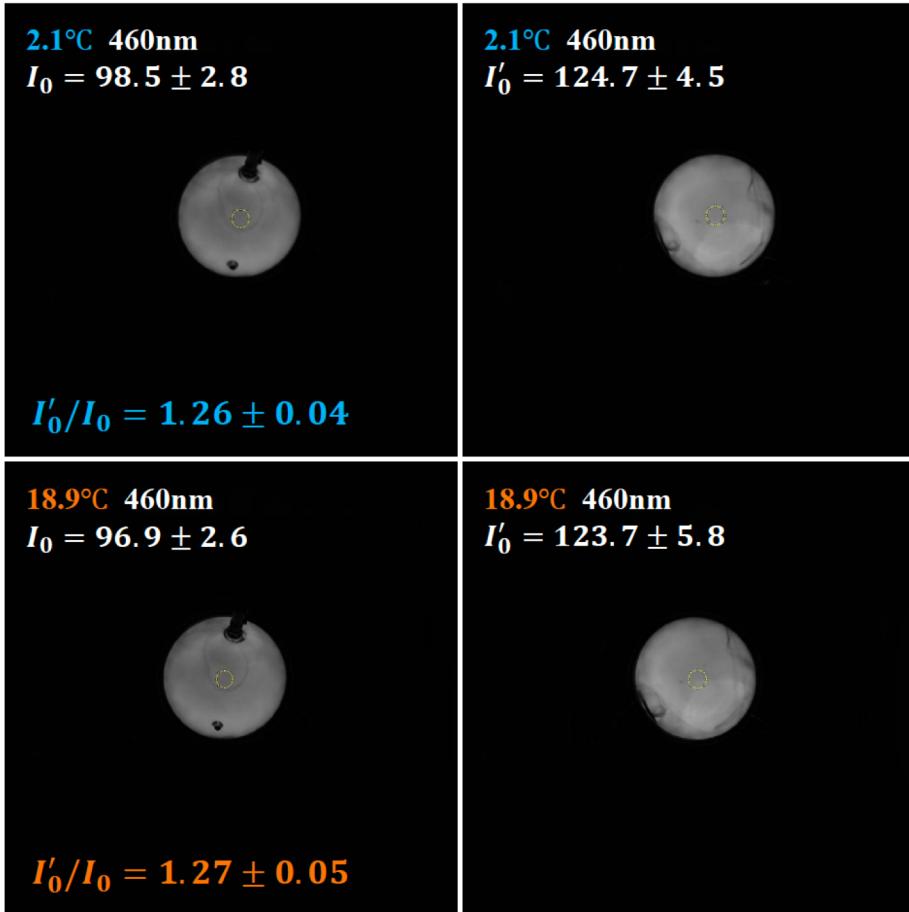


By minimizing: $\chi^2 = \sum_{i=1}^N \frac{[M_i - T_i(1 + \sum_{k=1}^K \epsilon_k)]^2}{\sigma_{M_i}^2 + \sigma_{T_i}^2} + \sum_{k=1}^K \frac{\epsilon_k^2}{\sigma_k^2}$

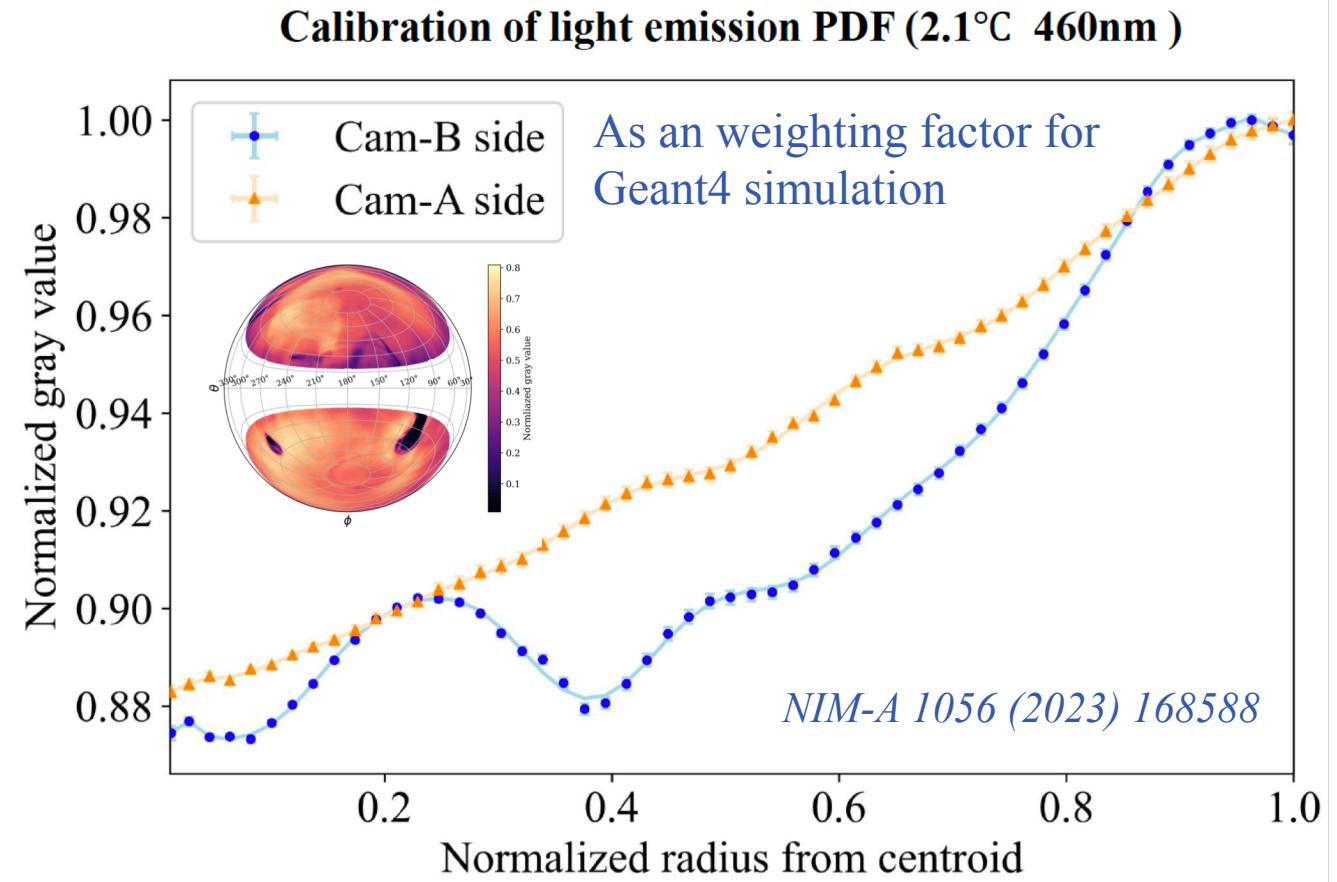
Low-temperature calibration



Calibrate the cameras in deep-sea temperature

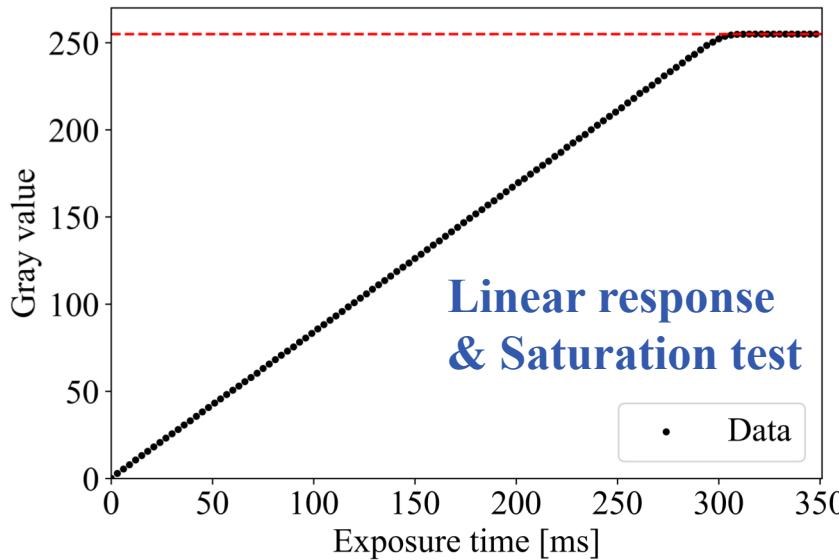
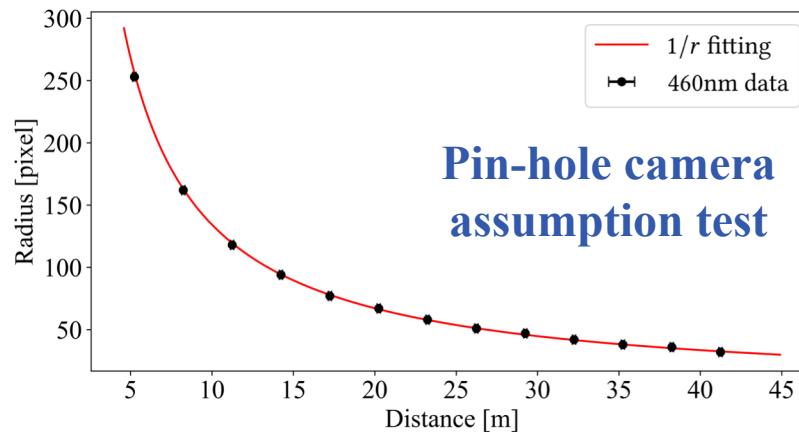


Calibrate the emission PDF of the T-REX light source



Camera response calibration

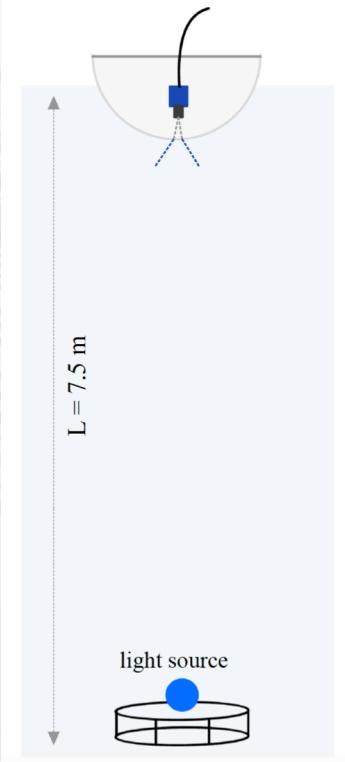
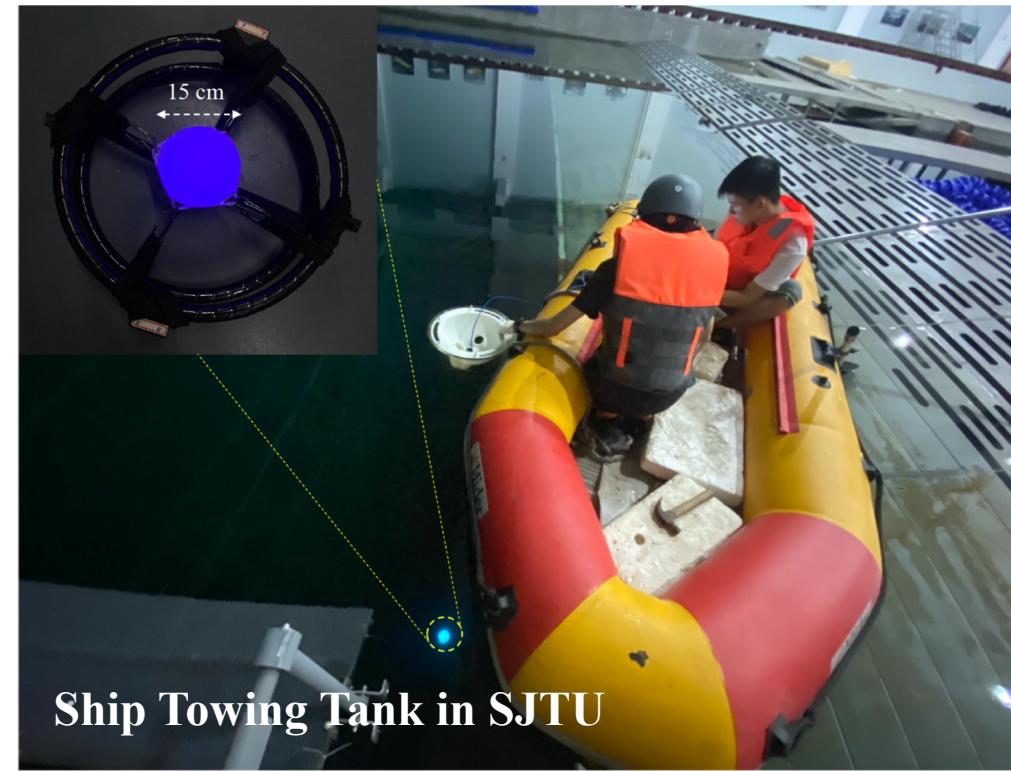
❖ Distortion test in long distance



Wei Tian (IDLI)

❖ Focal length recalibration in water

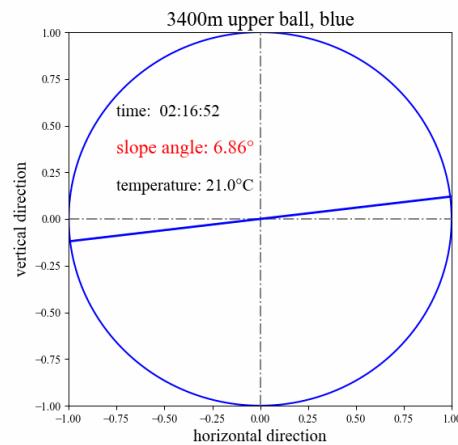
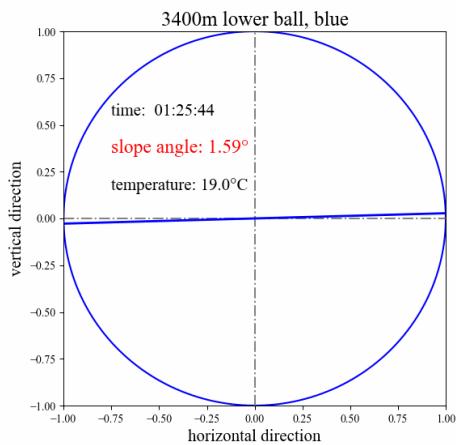
An extra ‘lens’ caused by curvature of glass vessel



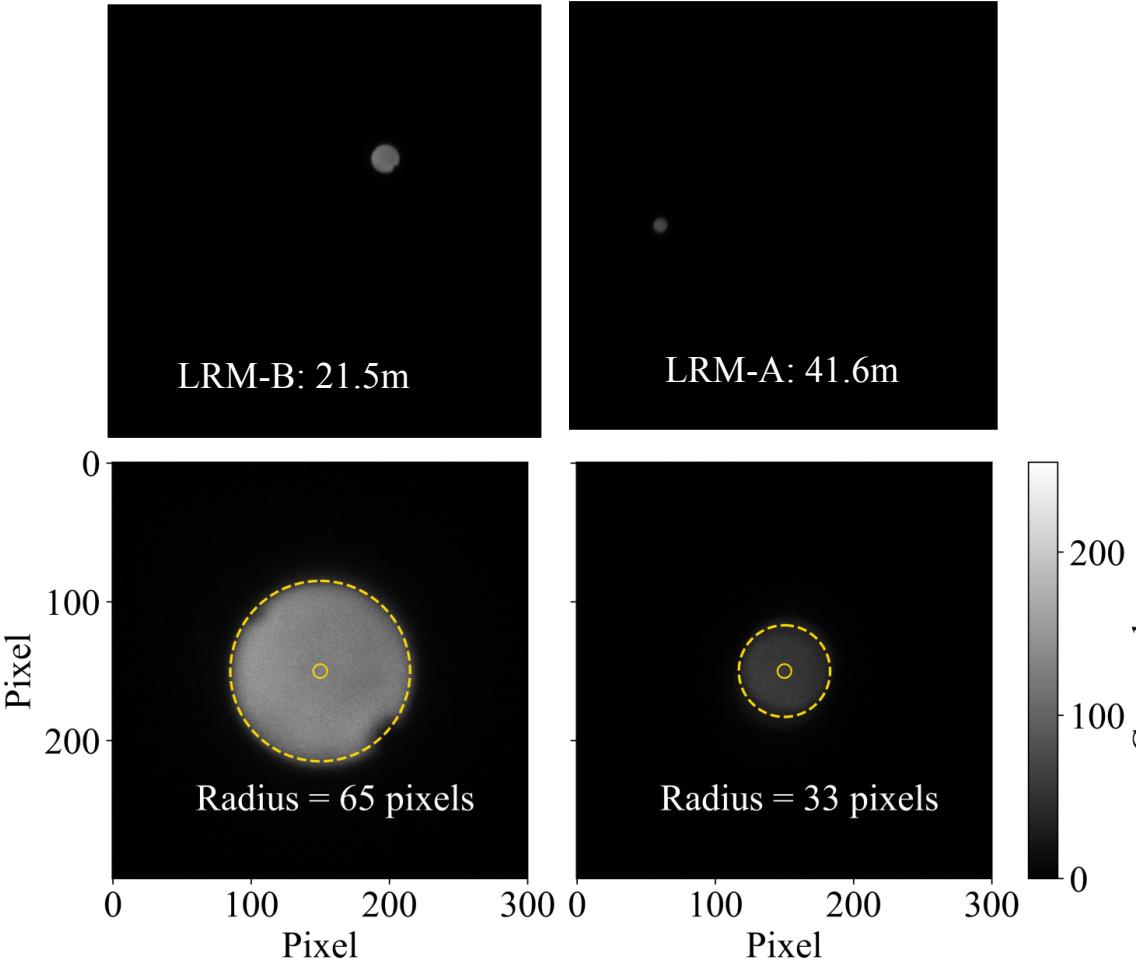
Remote operation of the camera system



❖ Deep sea operation:



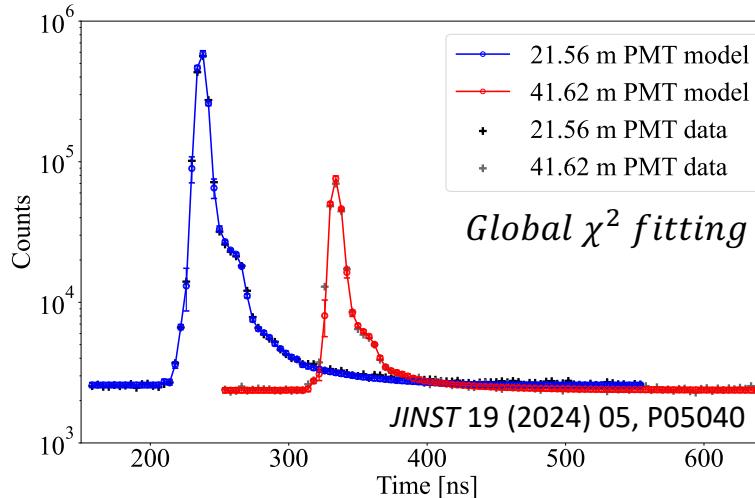
Depth 3420m, wavelength 460nm, 0.05s gain08



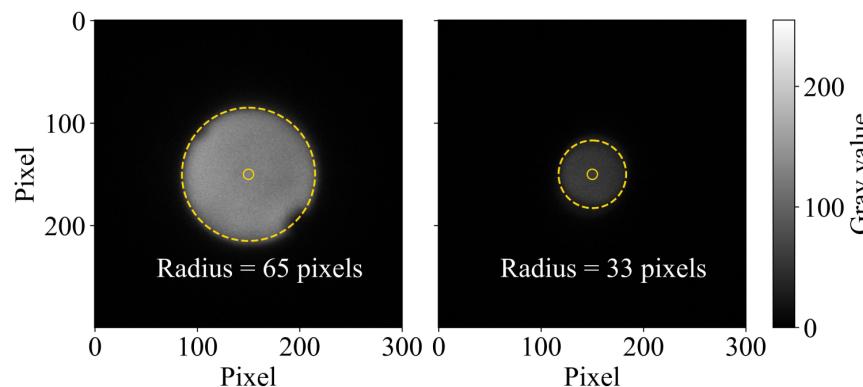
Optical measurement results from T-REX



T-REX PMT system



T-REX Camera system



Wei Tian (TDLI)

PMT (at ~ 450 nm, ~ 50 minutes)						
Method	λ_{abs} [m]	λ_{ray} [m]	λ_{mie} [m]	$\cos \theta_{\text{mie}}$	λ_{att} [m]	$\lambda_{\text{att,eff}}$ [m]
χ^2 fitting	$27.4^{+1.1}_{-0.9}$	200^{+13}_{-10}	84^{+12}_{-8}	$0.97^{+0.02}_{-0.02}$	$18.7^{+3.0}_{-2.1}$	25.2 ± 3.7
MCMC	$26.4^{+1.2}_{-1.0}$	203^{+15}_{-11}	64^{+12}_{-14}	$0.97^{+0.01}_{-0.01}$	$17.2^{+0.8}_{-1.3}$	
Camera (at ~ 460 nm, ~ 8 minutes)						
Method	λ_{abs} [m]	λ_{sca} [m]		λ_{att} [m]	$\lambda_{\text{att,eff}}$ [m]	
χ^2 fitting	26.5 ± 0.5	62.9 ± 3.7		18.7 ± 0.2	26.8 ± 2.8	
I_{center}	$\lambda_{\text{att}} = 19.3 \pm 1.3$					
Wavelengths [nm]			Depth [m]		λ_{att} [m]	
460			1221		17.8 ± 1.1	
460			2042		18.7 ± 1.2	
460			3420		19.3 ± 1.3	
525			3420		14.6 ± 0.7	
405			3420		13.7 ± 0.6	

Effective model: $I(L) \approx I_0 \cdot \frac{A}{4\pi L^2} \cdot e^{-L/\lambda_{\text{eff,att}}}$

Refined model: $I(L) = I_0 \cdot e^{-\frac{L}{\lambda_{\text{abs}}}}$, $L(L, \lambda_{\text{Mie}}, \lambda_{\text{Ray}}, \langle \theta_{\text{Mie}} \rangle)$
(TRIDENT camera: arXiv:2407.19111)

A preliminary timeline for TRIDENT



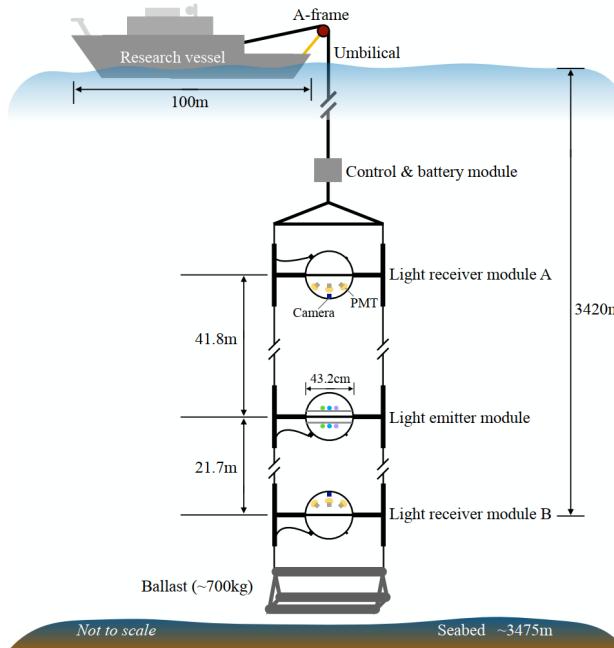
Pathfinder experiment (2021)

TRIDENT Phase-1 (~2026)

TRIDENT Phase-2 (~2030)

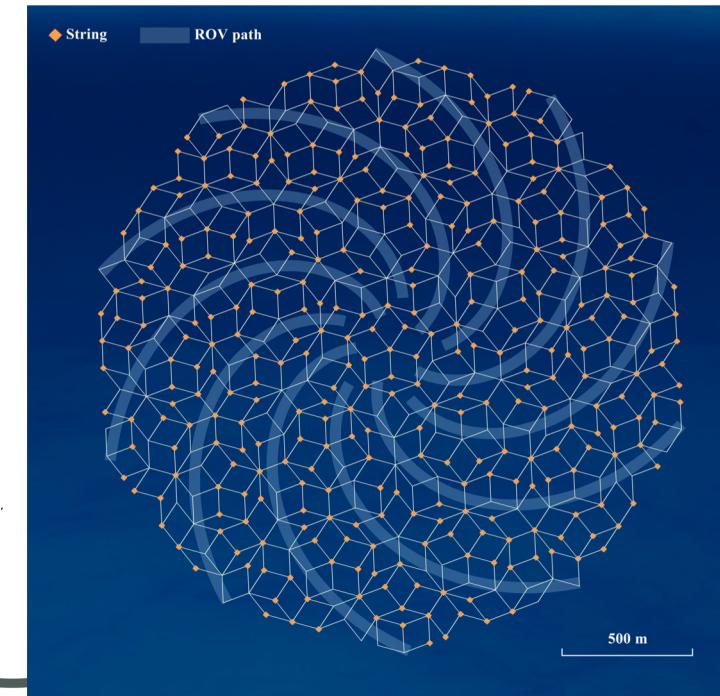
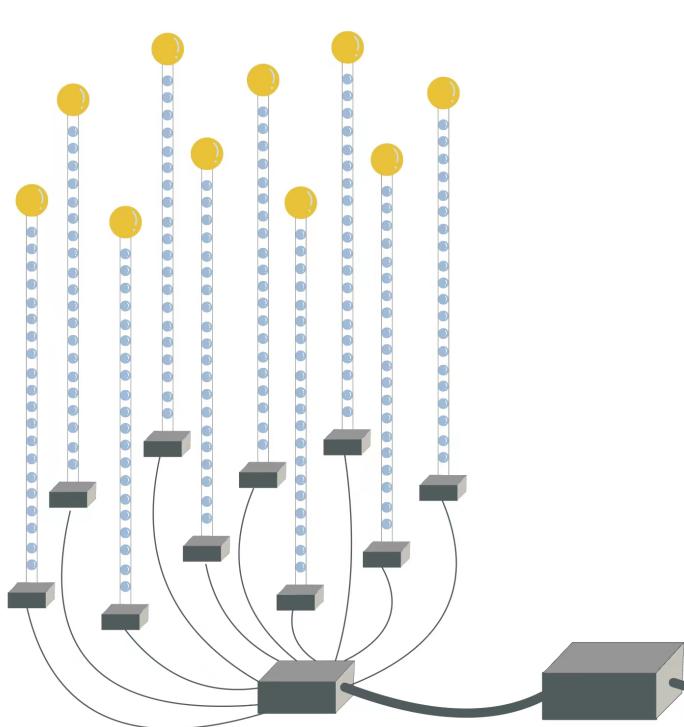
Done

Funded



T-REX

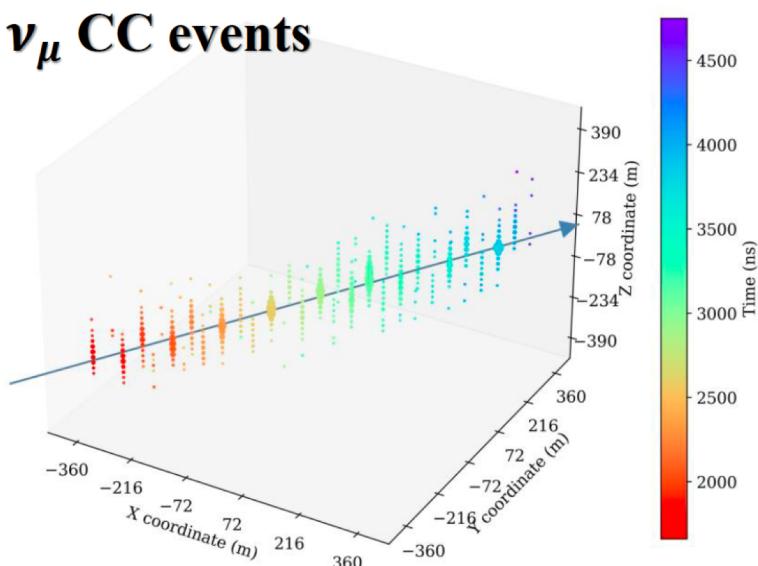
10 strings, 200 hDOMs
200km electric-optical cable



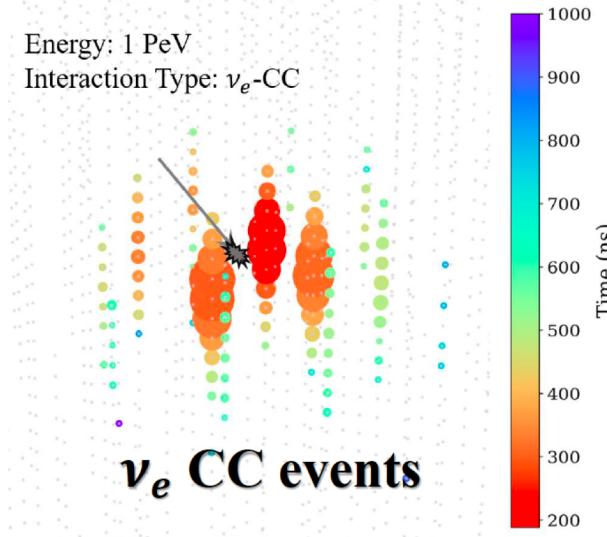
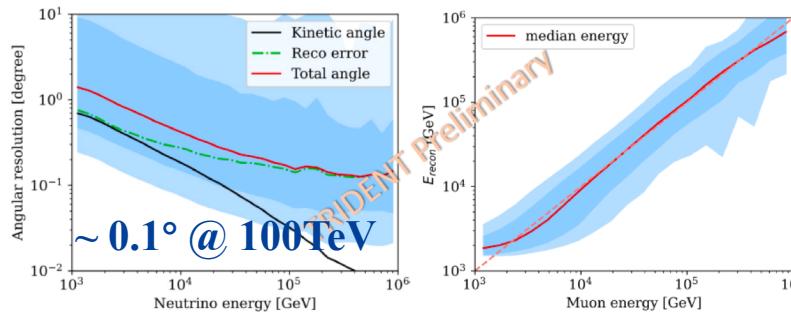
All-flavor neutrino detection in TRIDENT



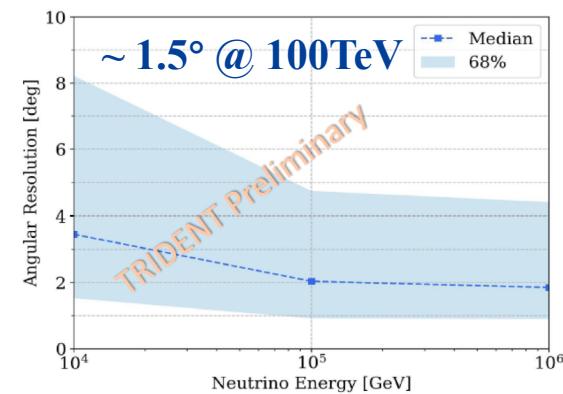
ν_μ CC events



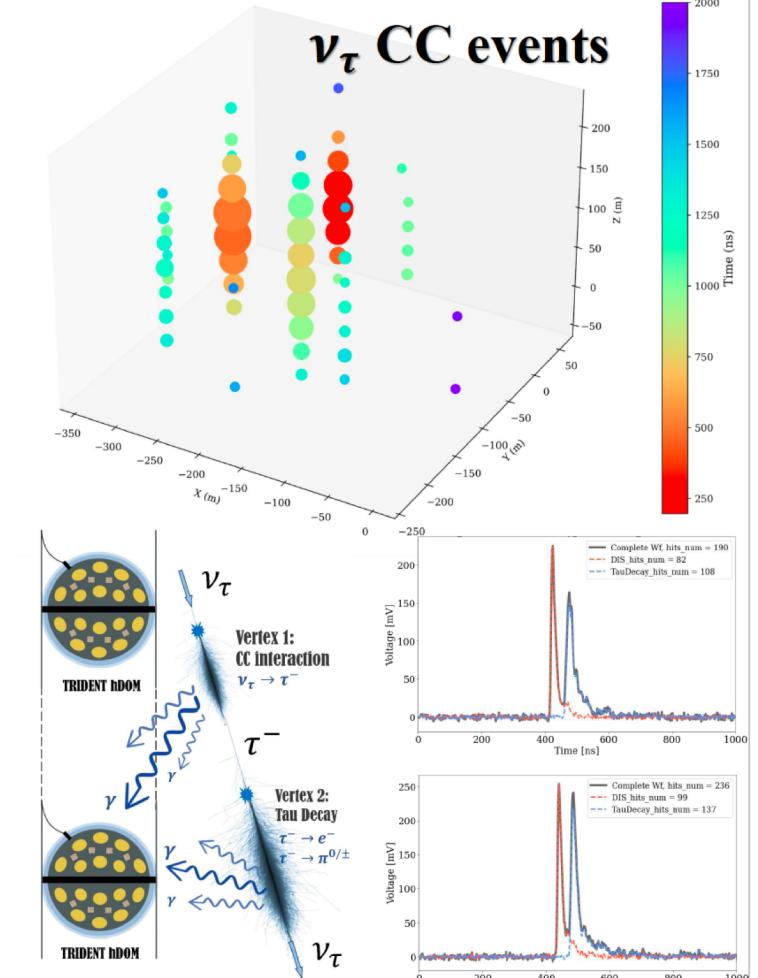
Angular Resolution & Energy Reconstruction



Median: $\sim 1.5^\circ$ @ 100 TeV & 1PeV



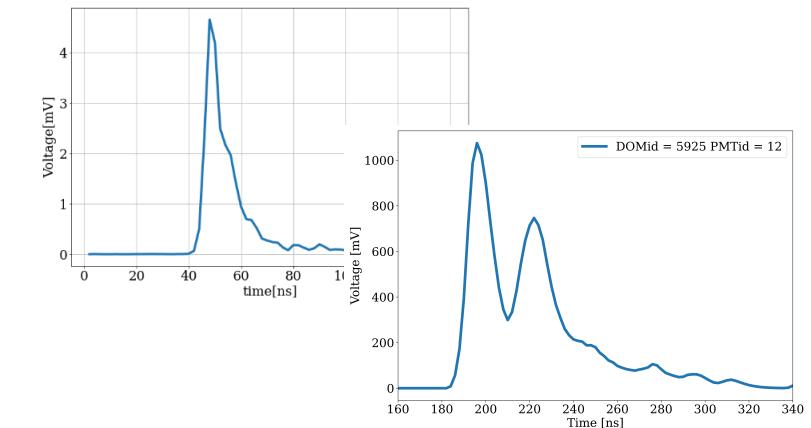
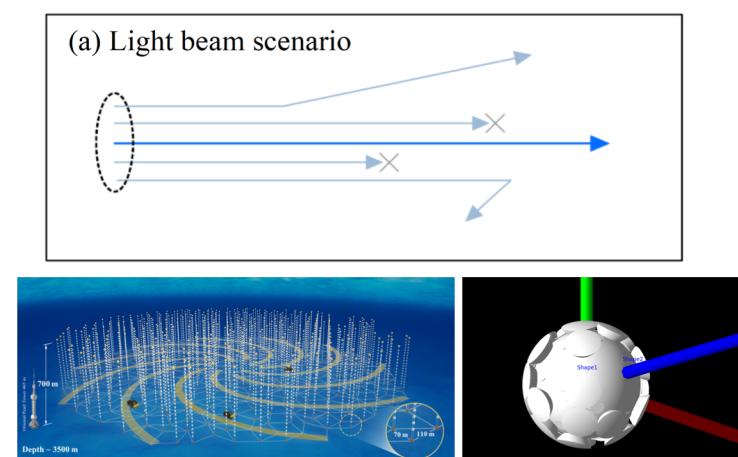
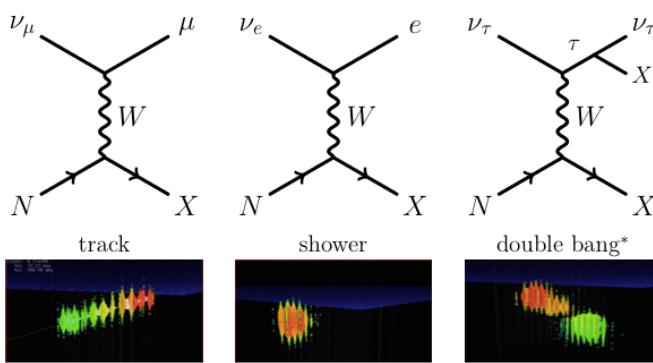
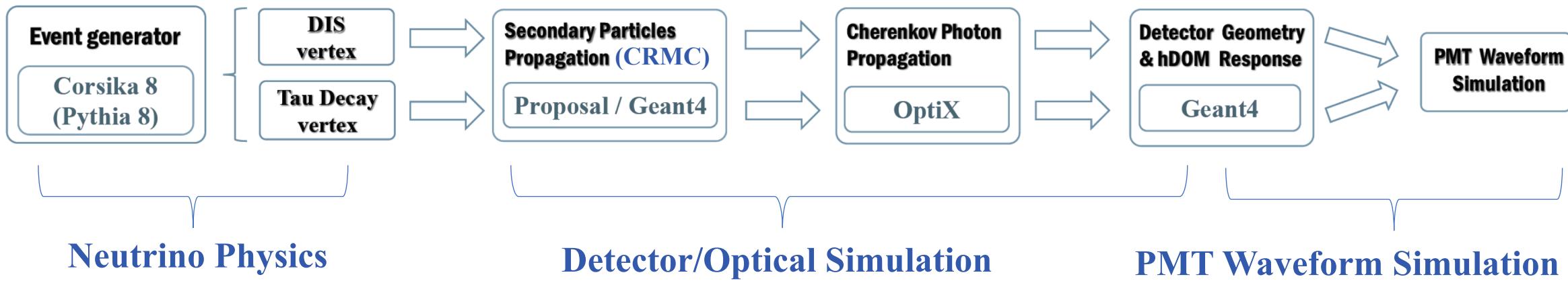
ν_τ CC events



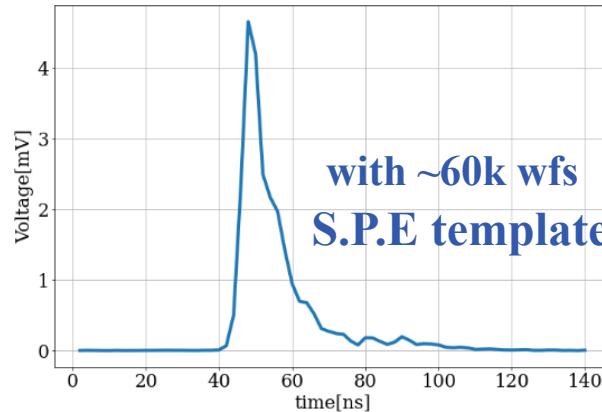
TRIDNET simulation pipeline



Tau neutrino simulation:



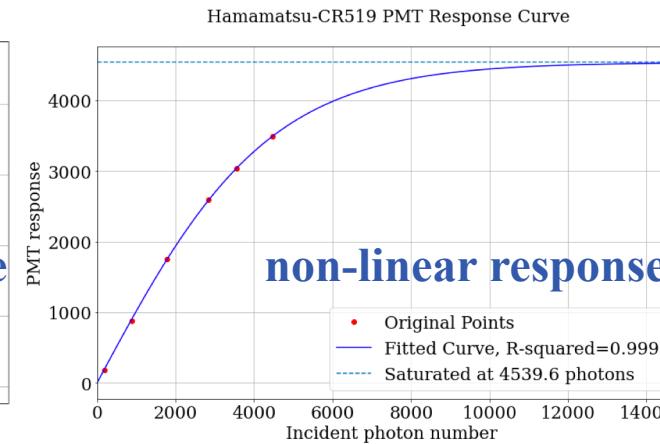
PMT characterization



SPE waveform template

Transit Time Spread (1.8 ns)

Quantum Efficiency (~28%)

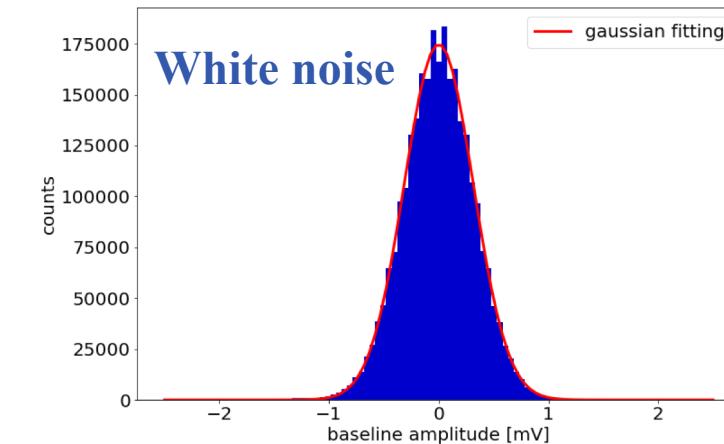


PMT non-linear response

After-pulsing rate (~1% in 1μs)

Dark Count Rate (~300Hz)

ADC characterization



ADC saturation (2.16V)

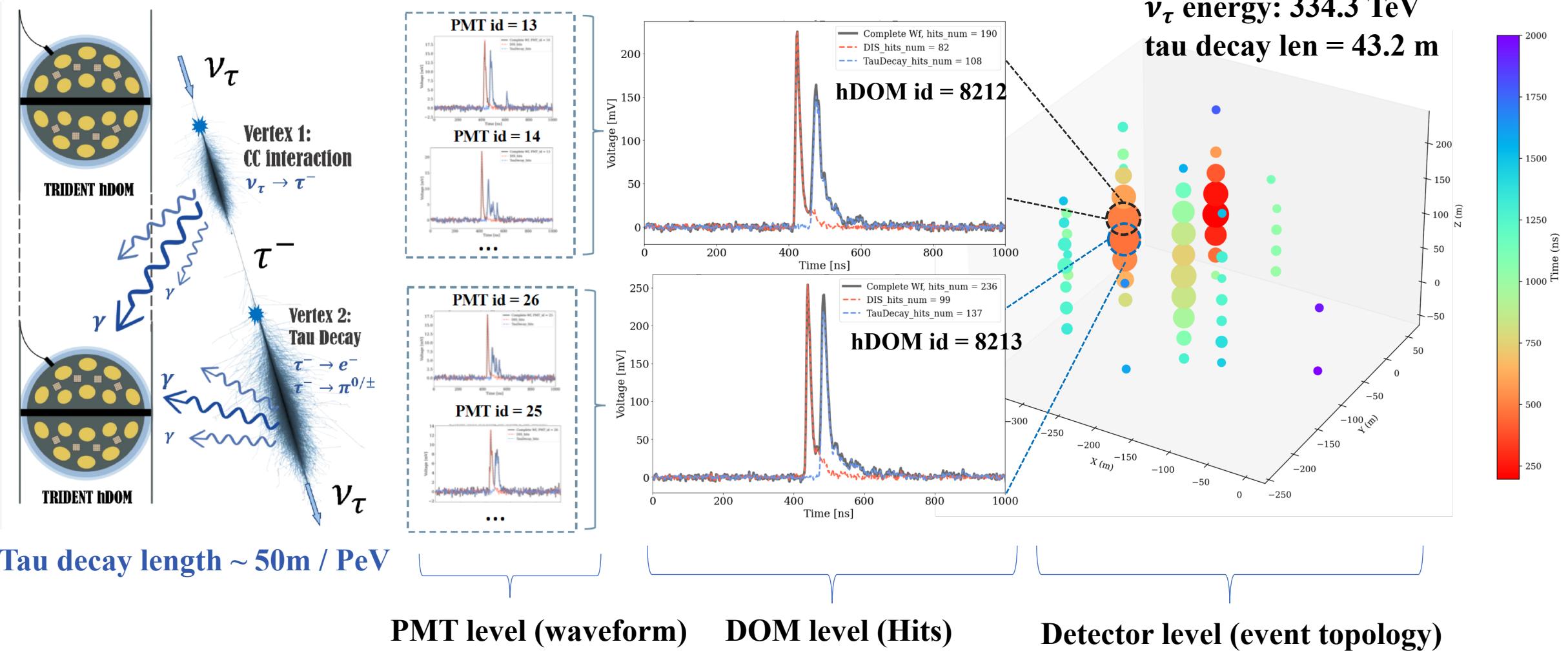
ADC sampling rate (125 MHz)

White noise ($\sigma=0.31\text{mV}$)

Time window (1000 ns)

Three levels for ν_τ identification

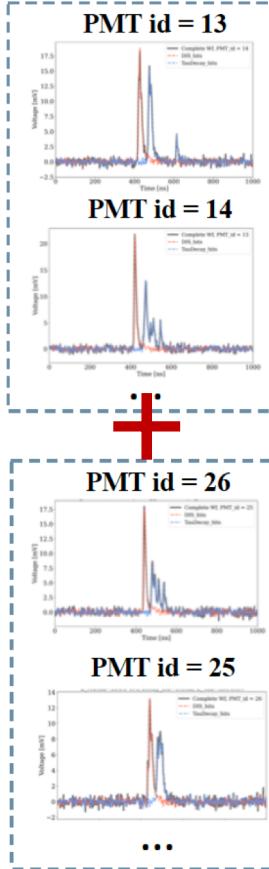
- ❖ A typical tau neutrino event (CC interaction) in TRIDENT:



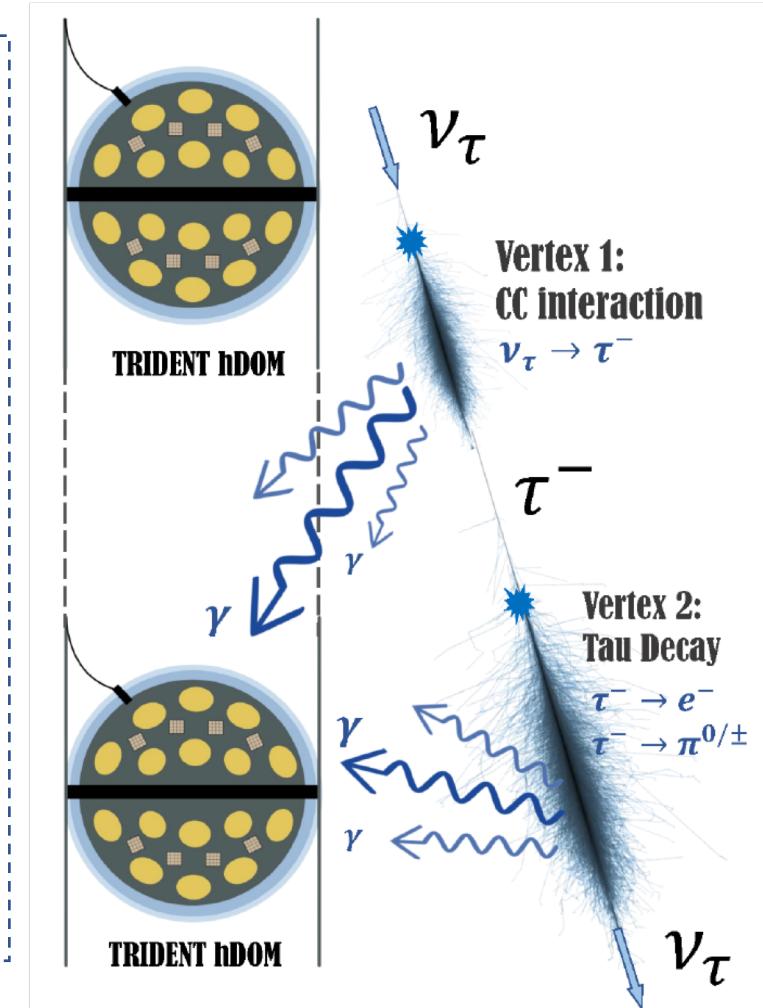
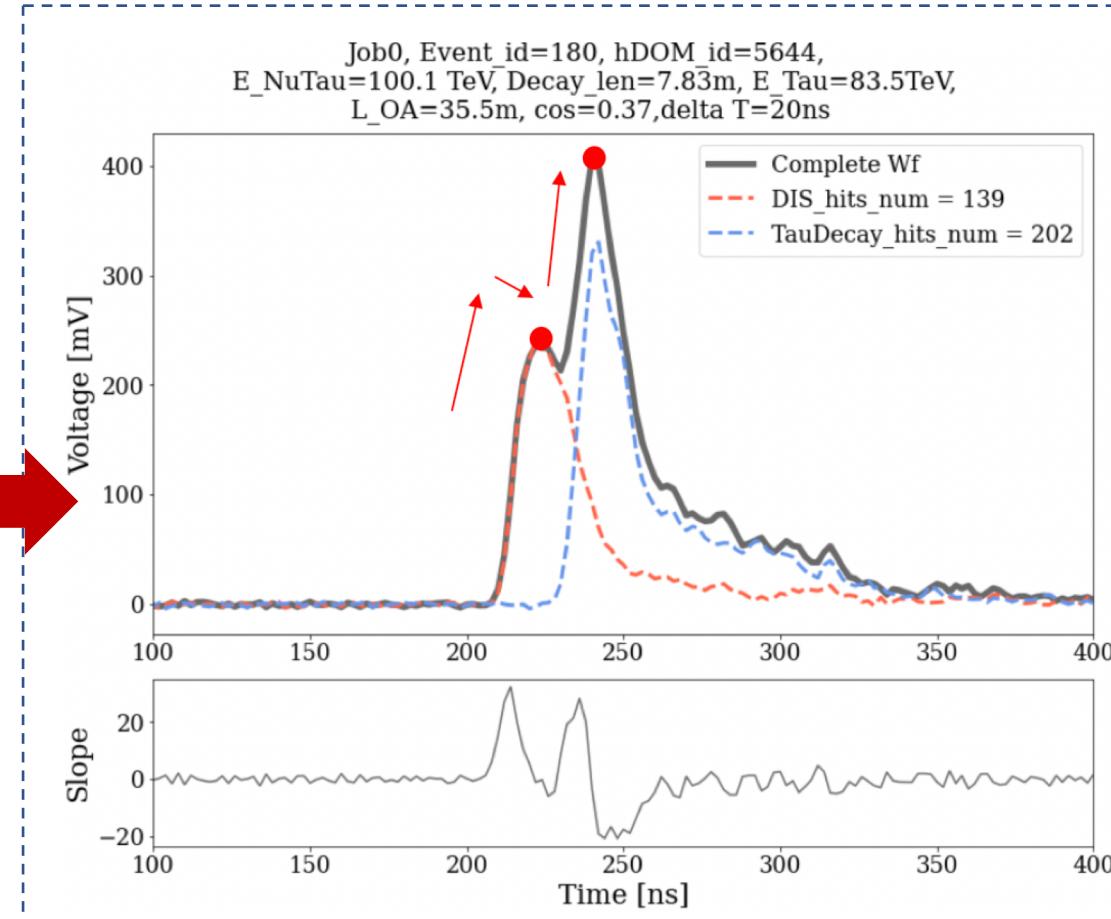
DOM-level waveforms & Double Pulse waveform



PMT stacking



DOM-level double pulse waveform from NuTau CC



Parameters in Double Pulse Algorithm (DPA)

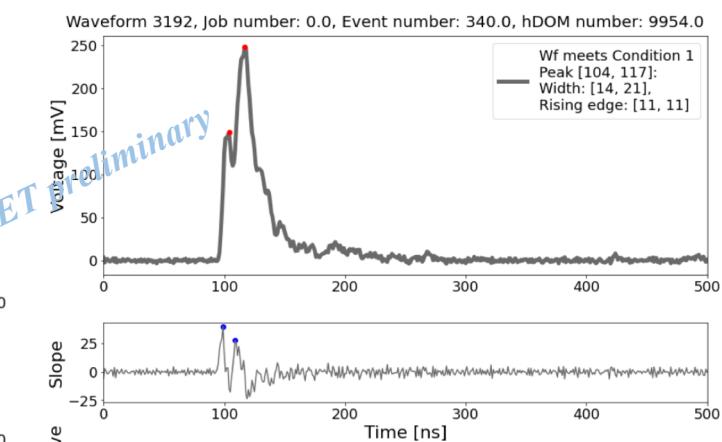
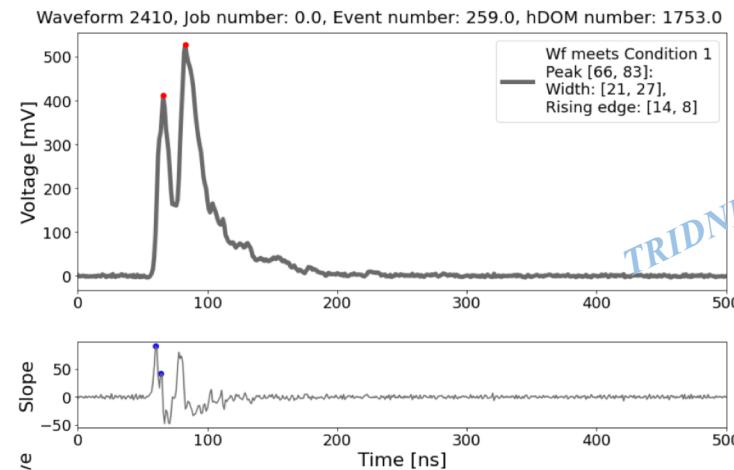
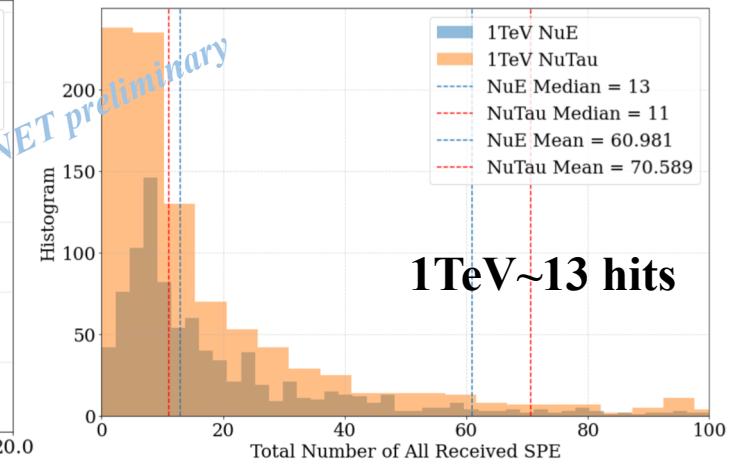
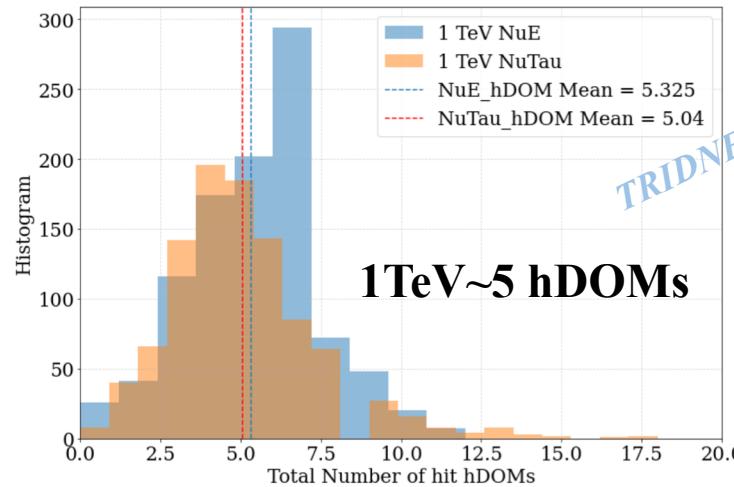


Level 1: Pre-cuts

1. Total hits number ≥ 50
2. Triggered DOM number ≥ 5

Level 2: Double Pulse Algorithm

1. Peak Voltage threshold ≥ 50 mV (~ 10 P.E)
2. Peak Width threshold ≥ 28 ns
3. Peak Rising-Edges ≥ 16 ns
4. Peak Falling-Edges ≥ 12 ns
5. Peak number ≥ 2
6. Time distance of two main peaks ≥ 16 ns
7. Voltage ratio of two main peaks < 3

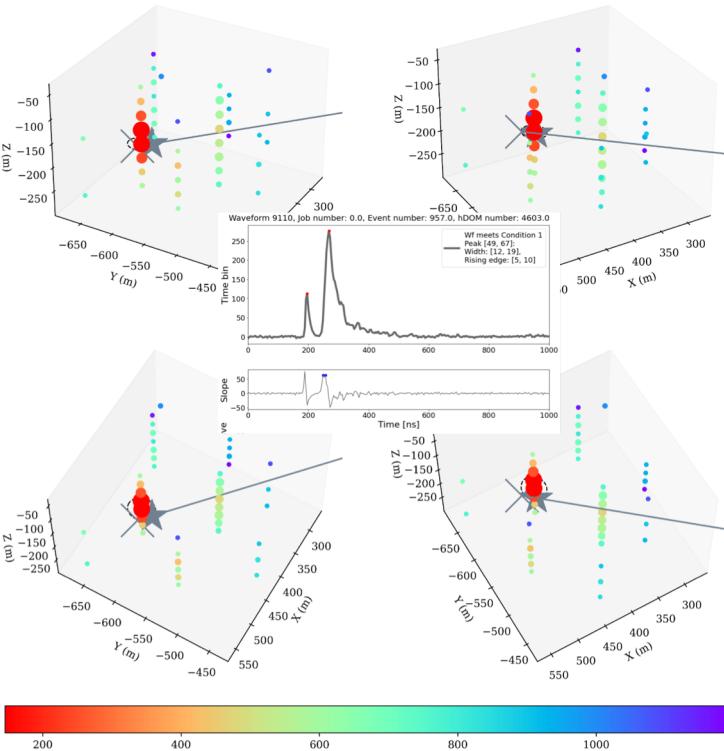


Double Pulse NuTau examples @ 100TeV



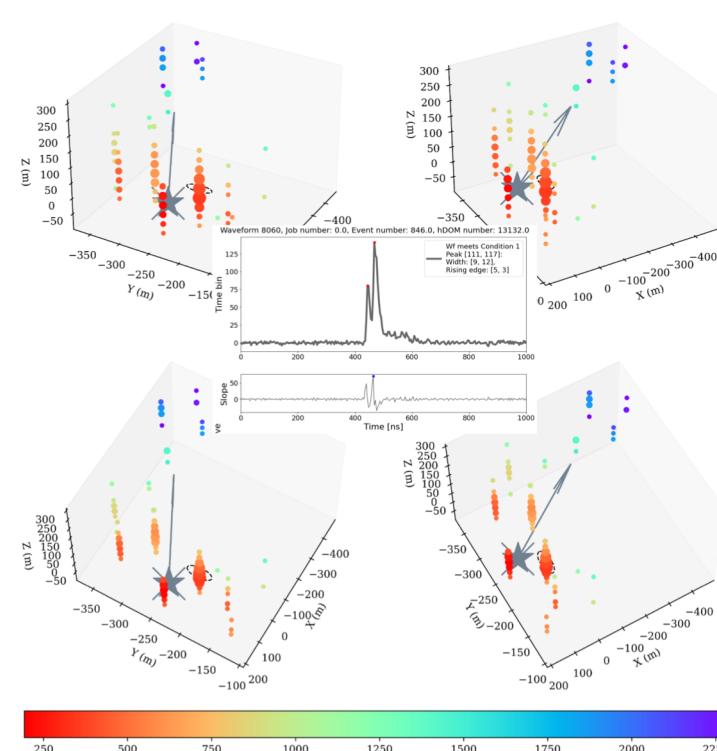
```

DOM-vertex distance is (m) 31.68998936015759
cos_theta is 0.5708957640611657
Tau_decay_len is (m) 22.853077741728573
Energy_asymmetry is (E1-E2)/(E1+E2) -0.929506172917025
Tau_decay_type is -211.0
Tau_energy is (TeV) 96.4937734375
NuTau_energy is (TeV) 100.0191393962952
DP_hDOM_id 4603
DP_hDOM_photons 330.0
  
```



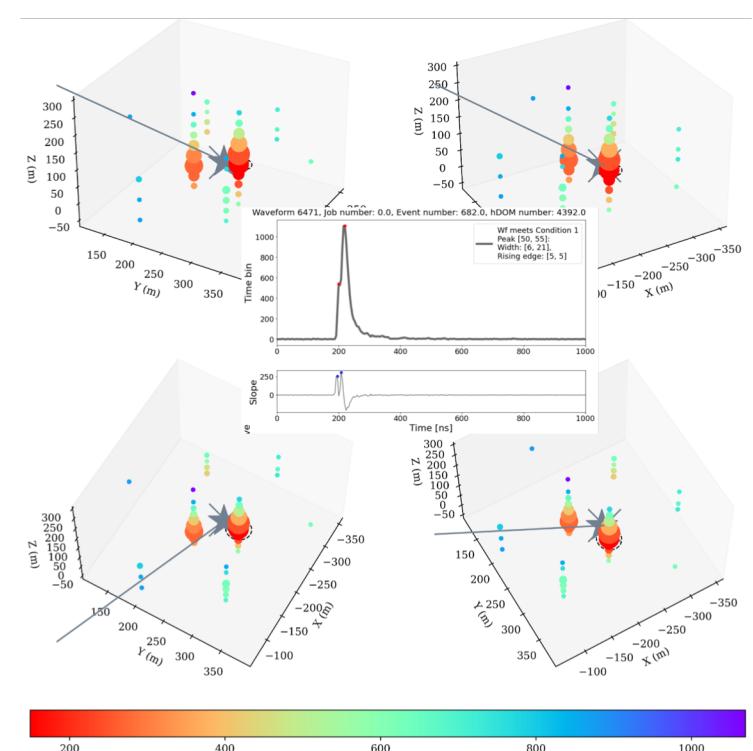
```

DOM-vertex distance is (m) 87.08125785363386
cos_theta is 0.8183215318472292
Tau_decay_len is (m) 1.7451686367946955
Energy_asymmetry is (E1-E2)/(E1+E2) -0.46891943538757885
Tau_decay_type is 13.0
Tau_energy is (TeV) 73.499921875
NuTau_energy is (TeV) 100.07345549976581
DP_hDOM_id 13132
DP_hDOM_photons 102.0
  
```



```

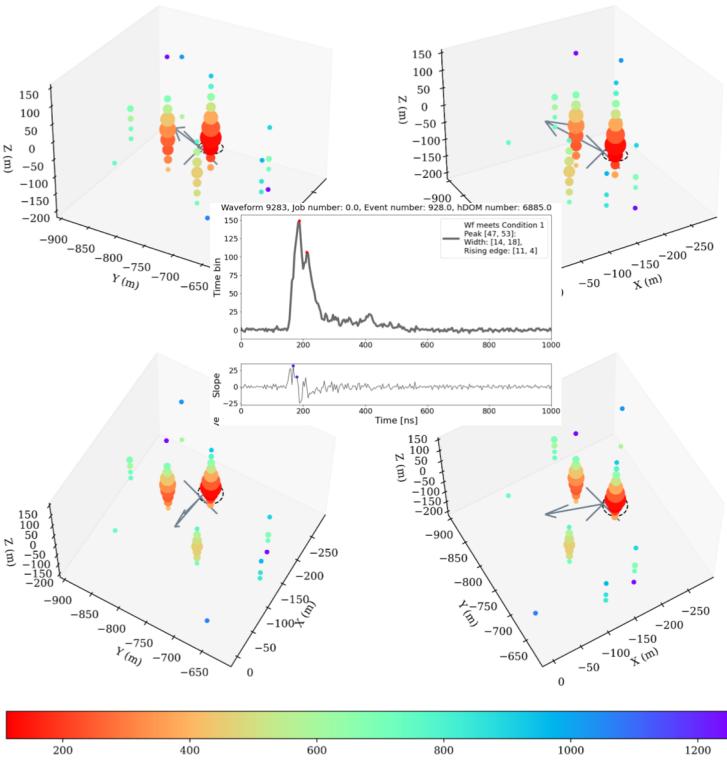
DOM-vertex distance is (m) 32.803740762943754
cos_theta is 0.5352488581447665
Tau_decay_len is (m) 7.8044109333218055
Energy_asymmetry is (E1-E2)/(E1+E2) -0.7935163101563747
Tau_decay_type is 310.0
Tau_energy is (TeV) 89.7155703125
NuTau_energy is (TeV) 100.04433169016211
DP_hDOM_id 4392
DP_hDOM_photons 819.0
  
```



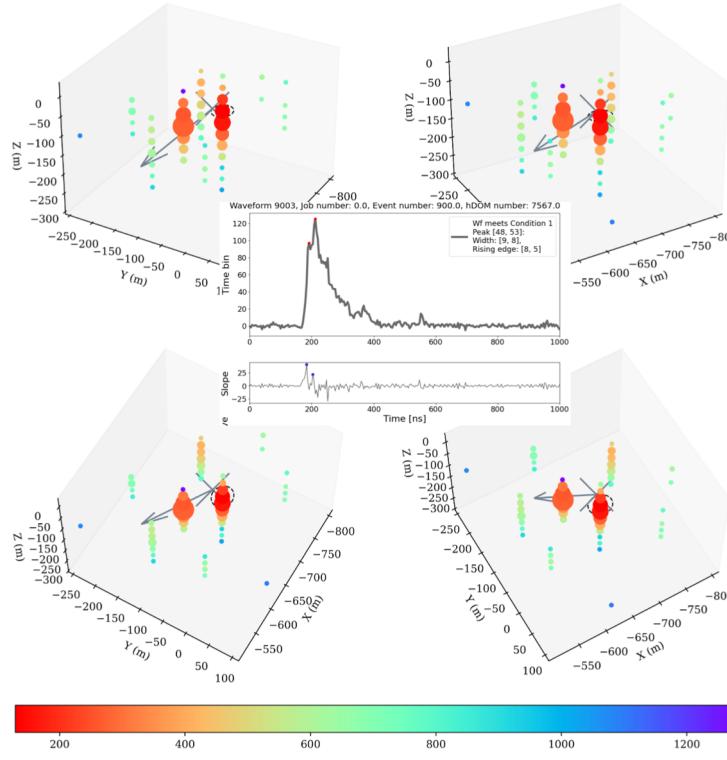
“Double Pulse” NuE examples @ 100TeV



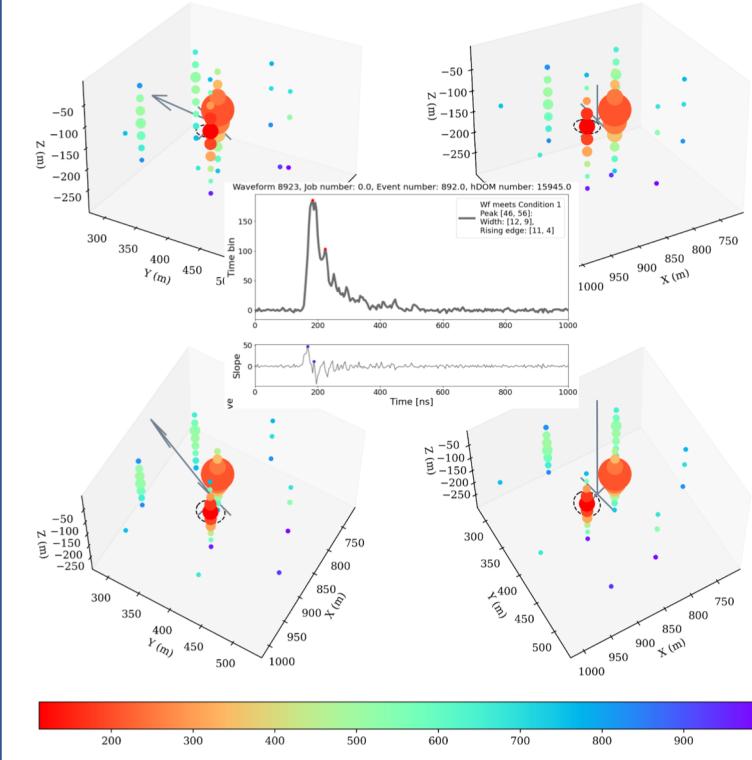
DOM-vertex distance is (m) 22.503307646104563
 cos_theta is -0.7964778843700554
 NuE_energy is (TeV) 100.08168891518315
 DP_hDOM_id 6885
 DP hdom photons: 221.0



DOM-vertex distance is (m) 25.794190719968654
 cos_theta is -0.653792703560148
 NuE_energy is (TeV) 100.05135916088857
 DP_hDOM_id 7567
 DP hdom photons: 205.0



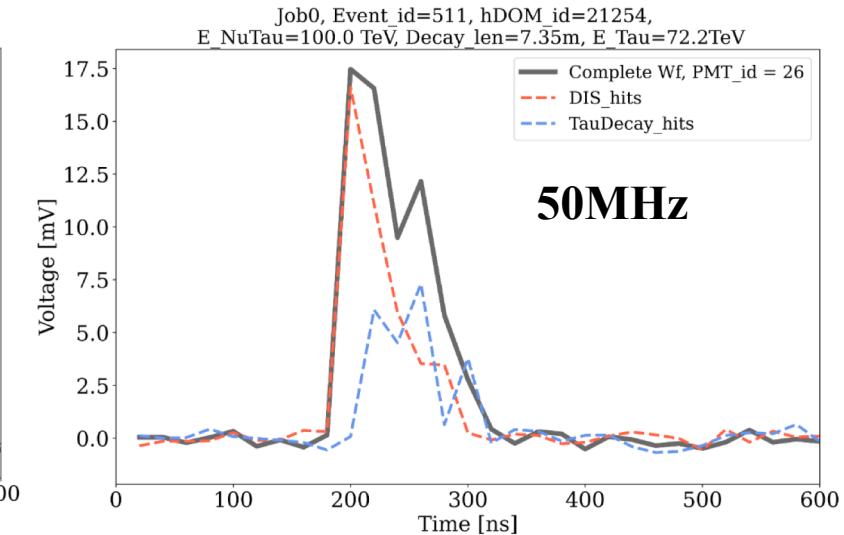
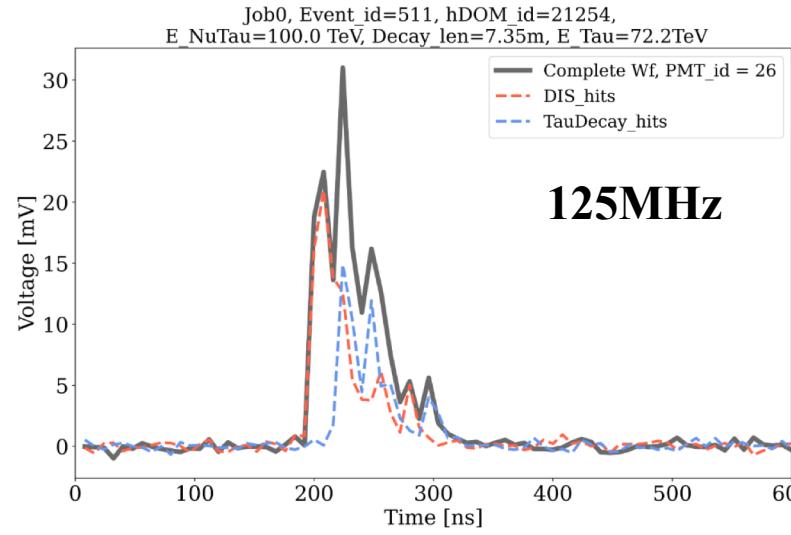
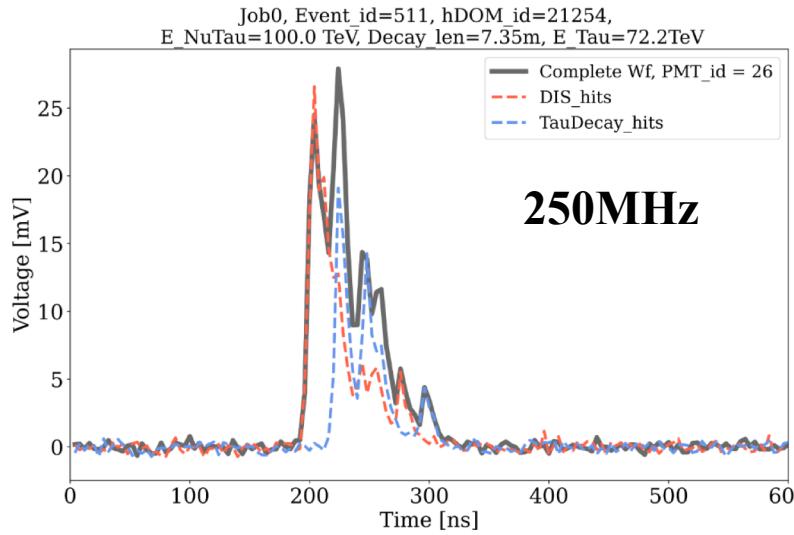
DOM-vertex distance is (m) 22.928976066429097
 cos_theta is -0.6359760188329503
 NuE_energy is (TeV) 100.0791925368603
 DP_hDOM_id 15945
 DP hdom photons: 271.0



DPA under various waveform sampling rates



Waveform examples under different ADC sampling rates:



For fixed 100TeV NuTau & NuE (10k events, fixed random seed)

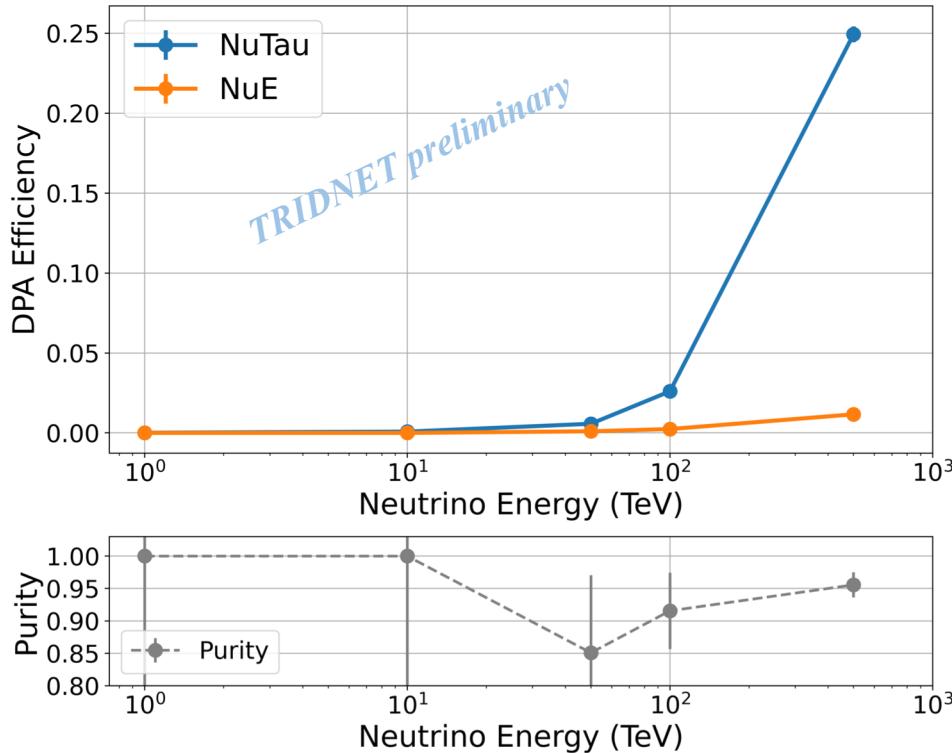
	500MHz	250MHz	125MHz	100MHz	50MHz
NuTau CC	140/10k	253/10k	260/10k	261/10k	134/10k
NuE CC	5/10k	22/10k	24/10k	25/10k	30/10k

DPA efficiency and expected event rate in TRIDENT



@125MHz	1TeV	10TeV	50TeV	100TeV	500TeV
NuTau CC	0/10k	8/10k	57/10k	260/10k	1247/5k
NuE CC	0/10k	0/10k	10/10k	24/10k	58/5k

1-100TeV	100TeV-1PeV
10/10k	1193/9k
4/10k	53/10k



Assumed an isotropic diffused flux : [IceCube: Arxiv 2402.18026]

$$\Phi_{\text{Astro}}^{\text{per-flavor}} = 1.68 \times \left(\frac{E_{\nu}}{100\text{TeV}} \right)^{-2.58} \times 3 \times 10^{-18} \text{GeV}^{-1}\text{s}^{-1}\text{cm}^{-2}\text{sr}^{-1}$$

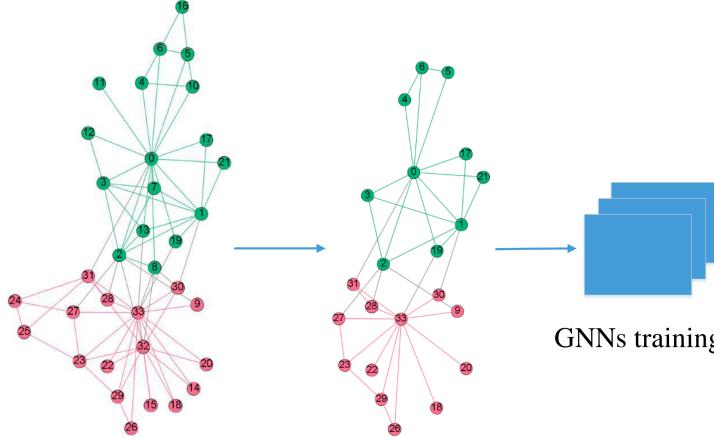
Expected double pulse events per year in TRIDENT :

	1-100TeV	100TeV-1PeV	>1PeV (not yet)
NuTau CC	0.60 ± 0.51	3.98 ± 0.15	(~0.75)
NuE CC	0.27 ± 0.21	0.12 ± 0.03	(~0)

Tau neutrino identification by Graph Neural Networks



❖ TRIDENT-Net: a GNN-based point cloud for event identification



GNN node: each hDOM

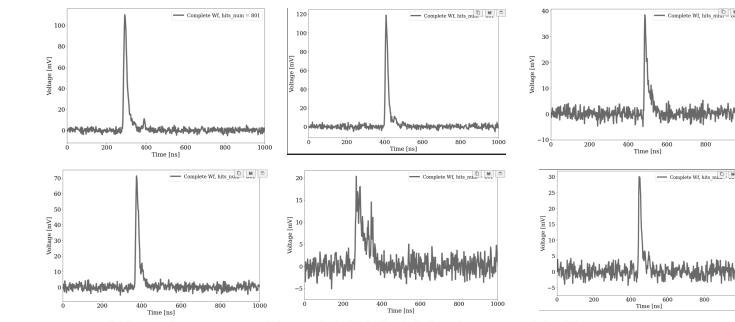
Connection: 10 brightest hDOMs

Feature: hDOM[x, y, z] + [waveform]

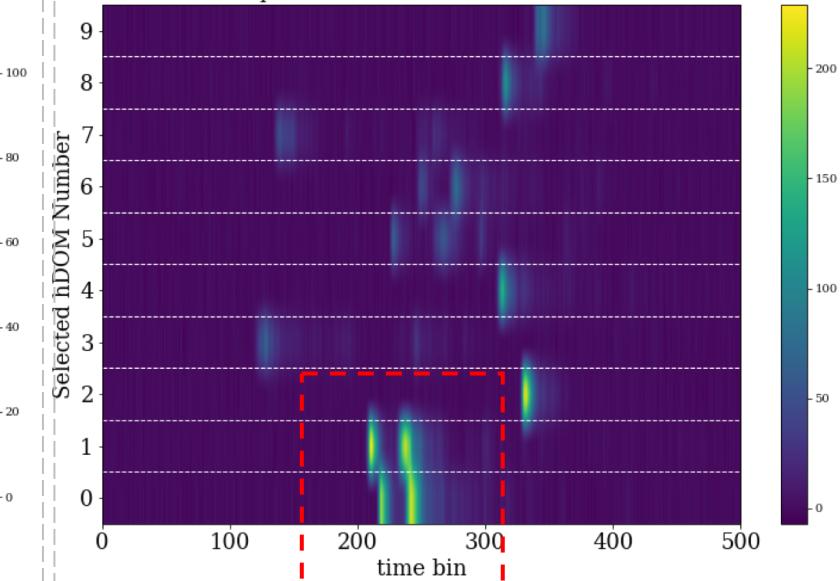
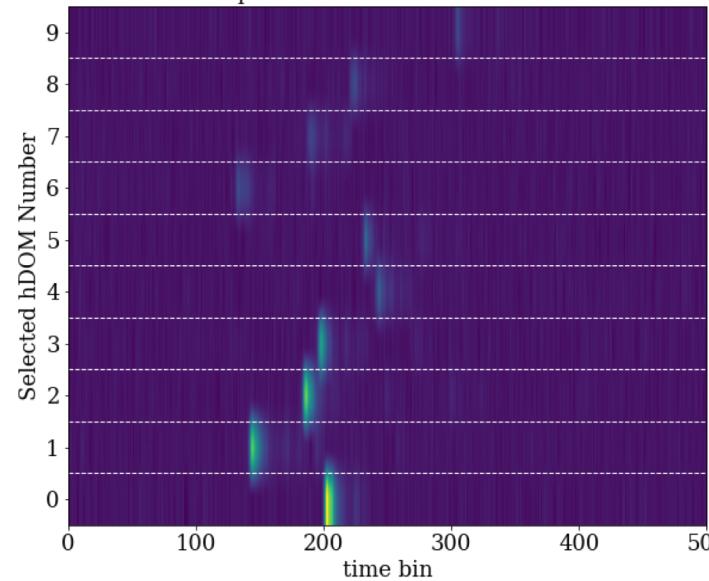
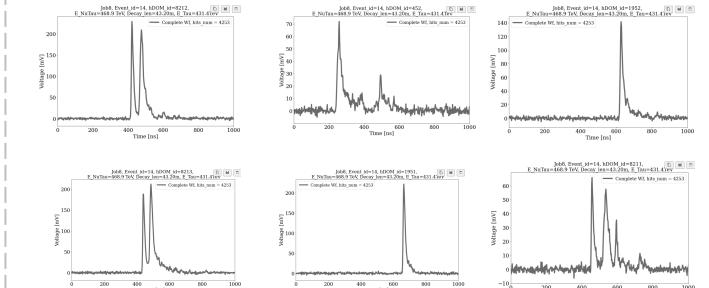
Label: ν_τ as 1, ν_μ/ν_e as 0

$$H(P^* | P) = - \sum_i \underbrace{P^*(i)}_{\text{TRUE CLASS DISTRIBUTION}} \log \underbrace{P(i)}_{\text{PREDICTED CLASS DISTRIBUTION}}$$

A typical NuE CC event:



A typical double pulse NuTau event



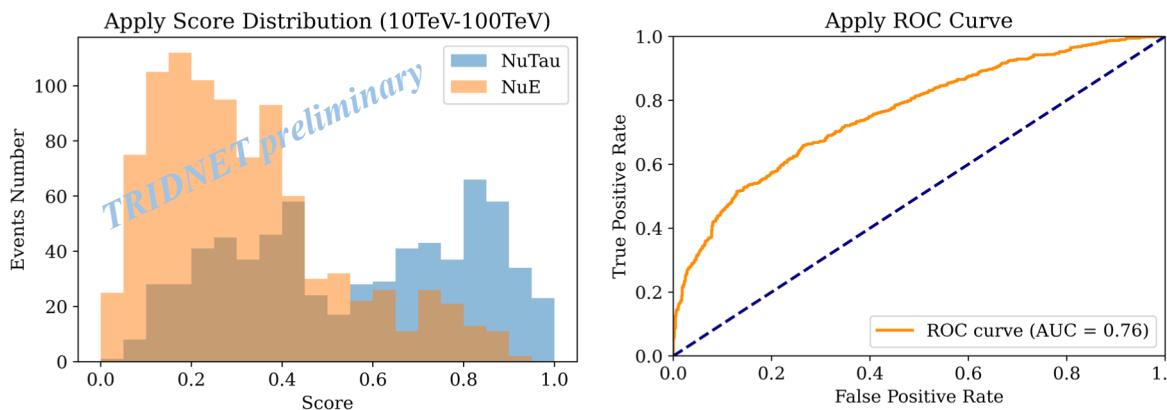
Two GNN models for different energy ranges



MC dataset: Training set (70%) + Test set (20%) + Apply set (10%)

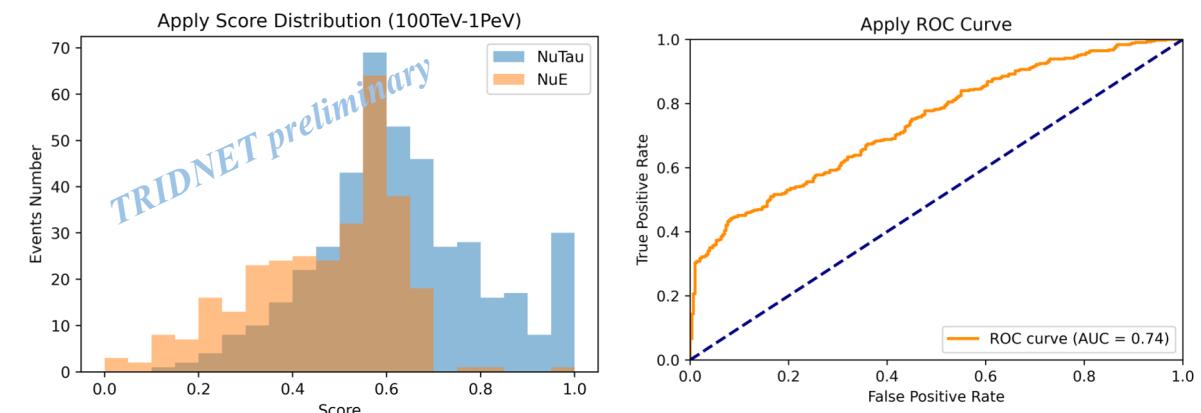
[10TeV, 100TeV] GNN model

Flavor	Injection Volume	Event Number
ν_τ CC	hh=200m, R=1000m	100k
ν_e CC	hh=200m, R=1000m	100k



[100TeV, 1PeV] GNN model

Flavor	Injection Volume	Event Number
ν_τ CC	hh=100m, R=500m	~20k
ν_e CC	hh=100m, R=500m	~20k



(Need further optimization & more MC data ...)

- **IceCube**'s observation leads the dawn of neutrino astronomy.
- **TRIDENT** is a 8km³ neutrino telescope with 1000 strings, 20,000 hDOMs.
- **TRIDENT Pathfinder experiment** was successfully conducted in 2021 for site selection
- The T-REX **Camera System** demonstrated a **Real-time Optical Calibration** tool in deep sea
- By using **Double Pulse Algorithms** for NuTau identification in TRIDENT, **~5 NuTau CC/year**
- We are also exploring using **GNN** for NuTau identification, need further optimization

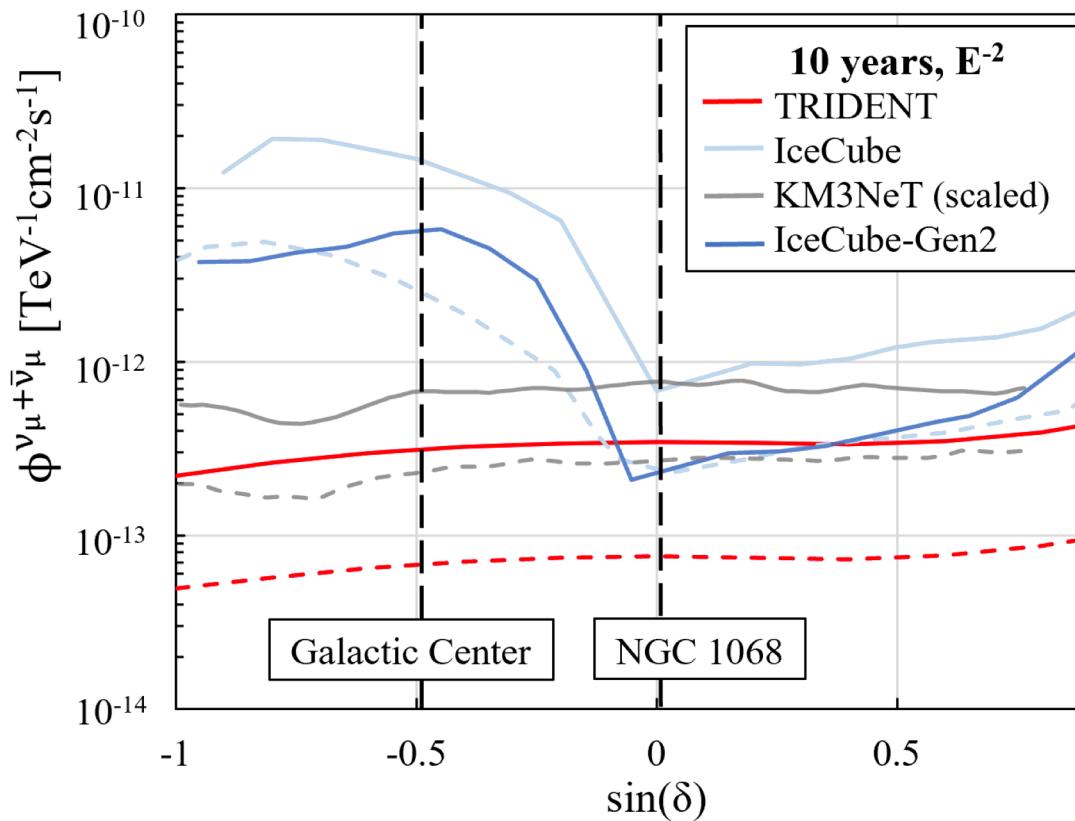


Thanks for listening!

Physics potential of TRIDENT by ν_μ events

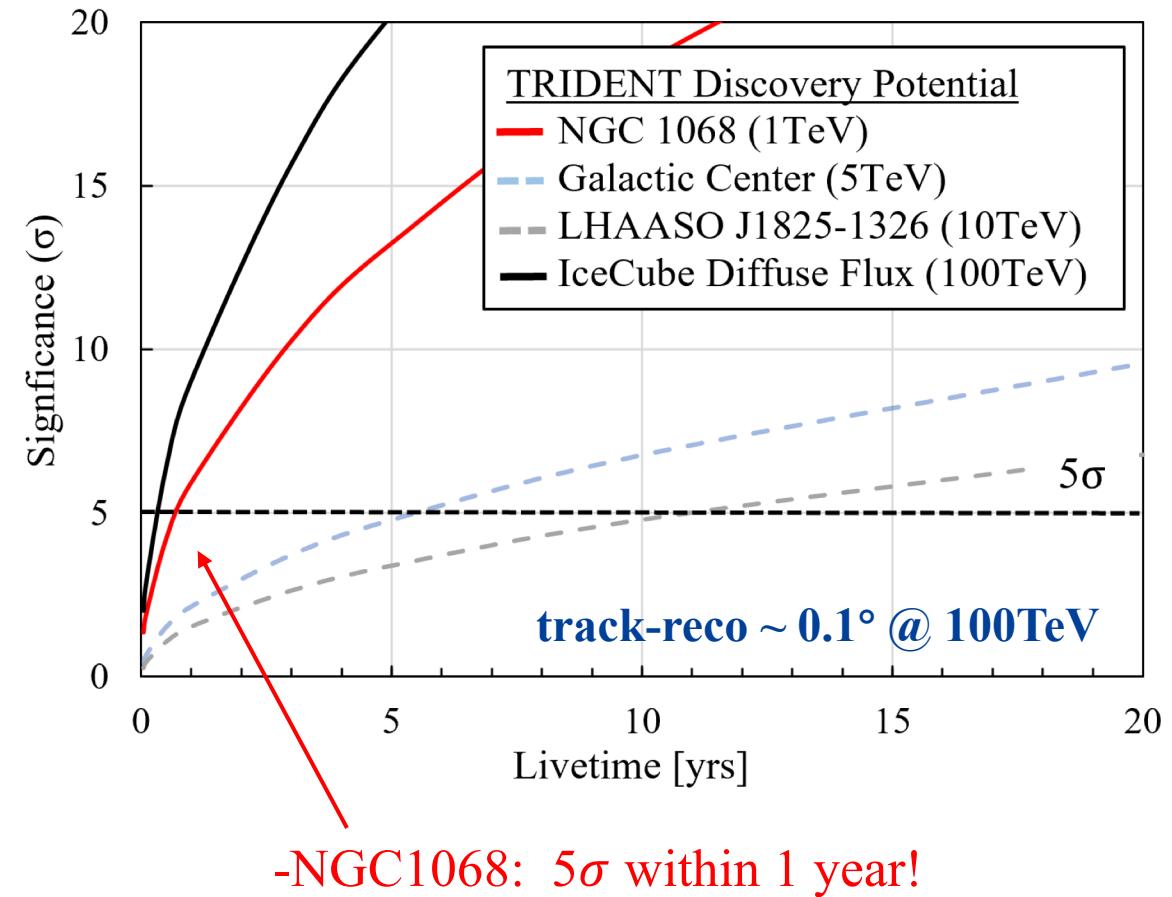


❖ Detection sensitivity of neutrino flux:

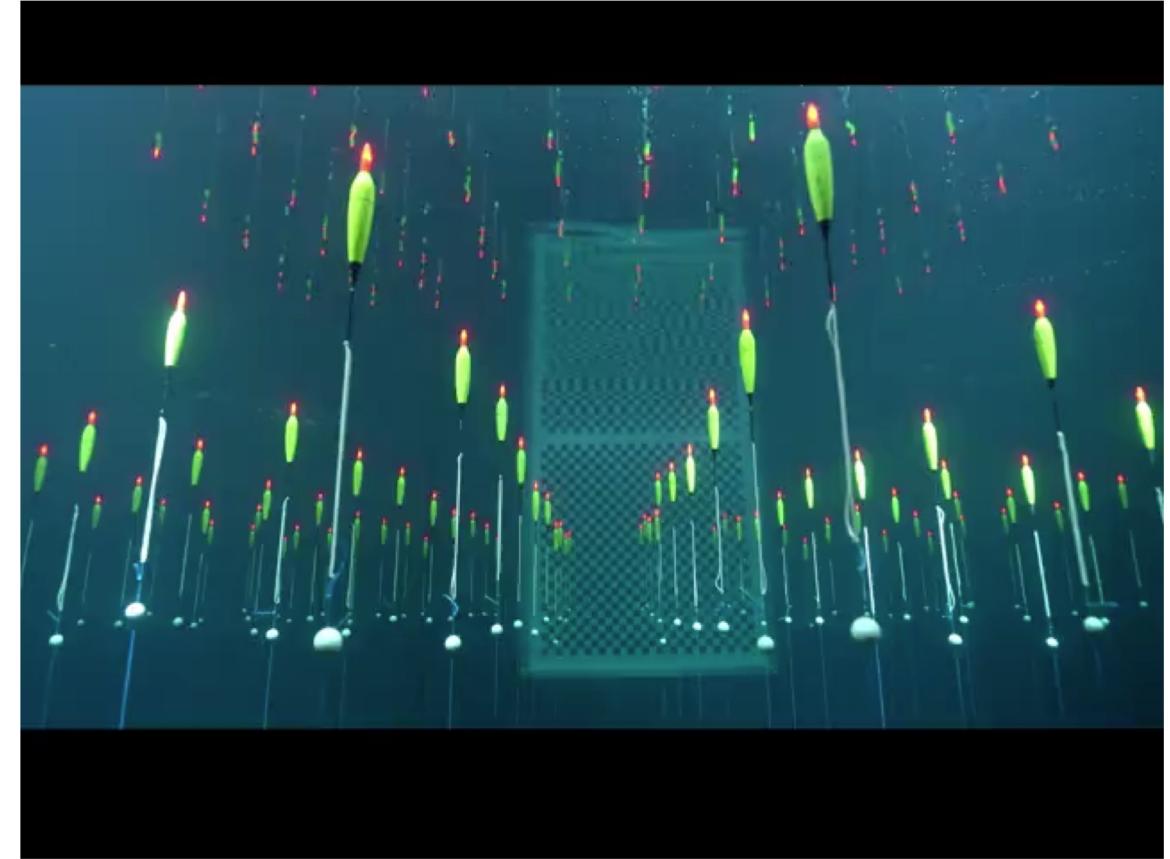
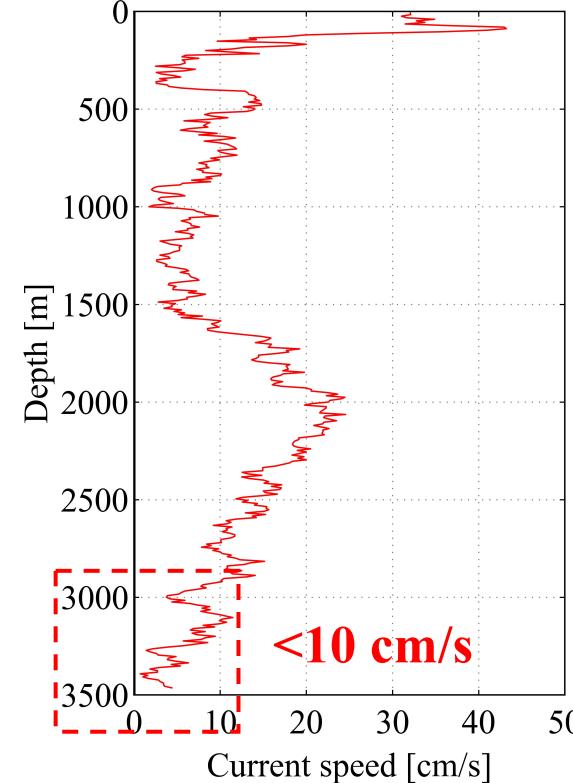
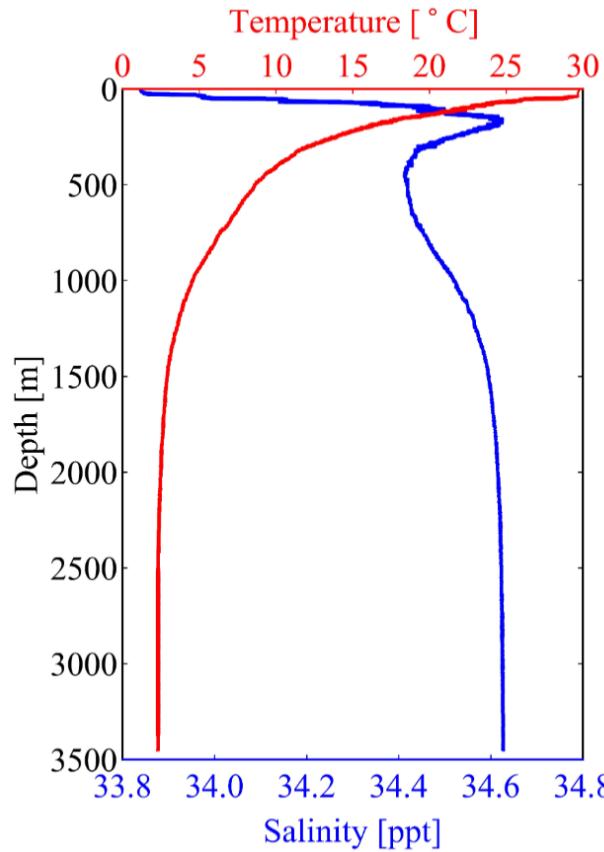


TRIDENT, Nature Astron. 7 (2023) 12, 1497-1505

❖ Discovery potential for different sources:



Oceanographic conditions



^{40}K decay activity : 11101 ± 119 Bq/m³

Ship towing tank experiment in SJTU

Radioactivity measurement



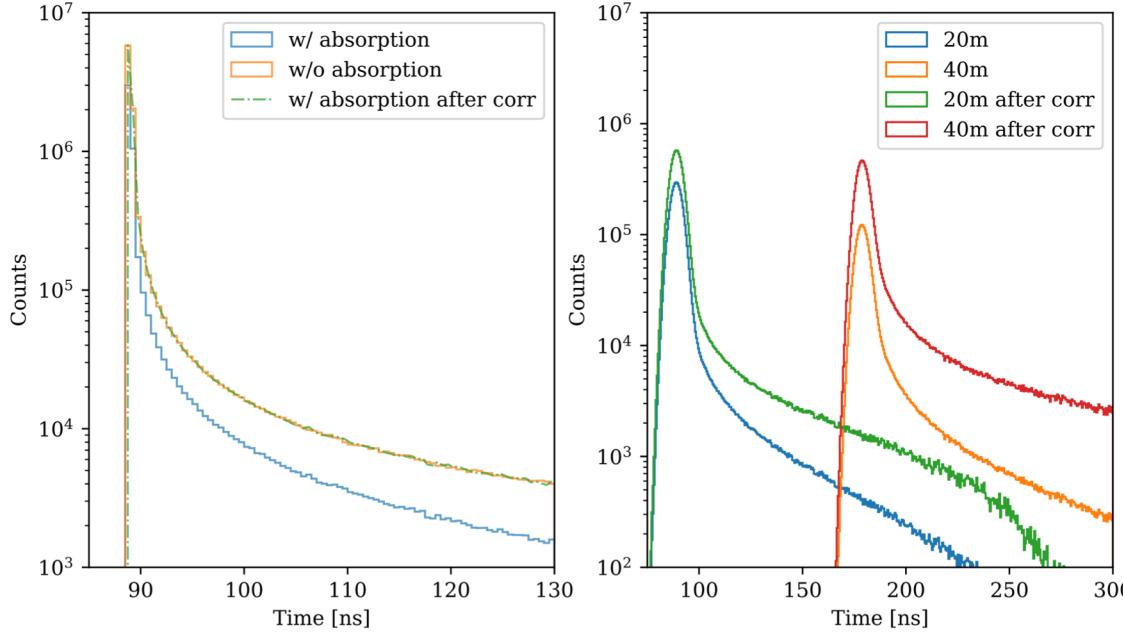
	West Pacific	Mediterinian	East Pacific
^{40}K radio acticity (Bq/m^3)	11101 ± 119	13700 ± 200	12526 ± 752
Experiment	TRIDENT	ANTARES	P-ONE

3. TRIDENT Pathfinder experiment



- ❖ PMT : quick measurement of λ_{abs} :

Data re-weight: $1/L^2 \cdot e^{-ct_i/\lambda_{abs}}$



- ❖ PMT: Global χ^2 fitting for all parameters:

$$\text{with Geant4: } \chi^2 = \sum_{i=1}^N \frac{[M_i - T_i(1 + \sum_{k=1}^K \epsilon_k)]^2}{\sigma_{Mi}^2 + \sigma_{Ti}^2} + \sum_{k=1}^K \frac{\epsilon_k^2}{\sigma_k^2}$$

