

**Studying  
Atmospheric Neutrino Oscillations  
with PINGU**

2014.09.11 at UW Madison

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Three neutrino model has 9 parameters:

3 masses  $m_1, m_2, m_3$   
3 angles  $\theta_{23}, \theta_{12}, \theta_{13}$   
3 phases  $\delta_{\text{MNS}}, \alpha_1, \alpha_2$

Neutrino oscillation experiments can measure 6 out of the 9 parameters:

2 mass-squared differences  $m_2^2 - m_1^2, m_3^2 - m_1^2$   
3 angles  $\theta_{23}, \theta_{12}, \theta_{13}$   
1 phase  $\delta_{\text{MNS}}$

Both mass-squared differences and **ALL** 3 angles have been measured. The tasks of the future neutrino oscillation experiments are to determine:

the mass hierarchy  $m_3^2 - m_1^2 > 0$  or  $m_3^2 - m_1^2 < 0$   
the octant degeneracy  $\theta_{23} < \pi/4$  or  $\theta_{23} > \pi/4$   
the CP phase  $\delta_{\text{MNS}}$

besides sharpening of the existing measurements and search for new physics.

Recent works of my colleagues on possible neutrino oscillation experiments in the near future include:

- **Reactor anti-neutrino** at **DayaBayII(JUNO)**, **RENO50**,

- medium baseline  $50 \text{ km} < L < 60 \text{ km}$
- lower energy  $1 \text{ MeV} < E < 8 \text{ MeV}$ .

S.F.Ge, KH, N.Okamura, Y.Takaesu, [arXiv:1210.8241] JHEP 1305(2013)131

- **Accelerator neutrino**

- long baselines:

**T2K(T2HK)** ( $L = 295 \text{ km}$ ), **Tokai-to-Oki** ( $L = 653 \text{ km}$ ),  
**NO $\nu$ A** ( $L = 812 \text{ km}$ ), **Tokai-to-Korea** ( $L = 1000 \text{ km}$ ),  
**LBNE** ( $L = 1300 \text{ km}$ ), **LBNO** ( $L = 2300 \text{ km}$ ), ...

- medium energy  $0.5 \text{ GeV} < E < 5 \text{ GeV}$ .

KH, T.Kiwanami, N.Okamura, K.Senda, [arXiv:1209.2763] JHEP 1306(2013)036

KH, P.Ko, N.Okamura, Y.Takaesu, in preparation.

- **Atmospheric neutrino** **SK(HK)**, **INO**, **PINGU**

- very long baseline a few  $\times 1000 \text{ km} < L < 13000 \text{ km}$
- high energies  $2 \text{ GeV} < E < 20 \text{ GeV}$ .

S.F.Ge, KH, C.Rott, [arXiv:1309.3176] JHEP 06(2014)150

S.F.Ge, KH, [arXiv:1312.0457] JHEP 09(2014)024

In this talk, I would like to show that dedicated studies on **Atmospheric Neutrino Oscillations** by a huge detector like **PINGU** in ICECUBE can determine the **neutrino mass hierarchy** and resolve the **octant degeneracy** in  $\theta_{23}$ , the two of the three remaining unknowns of the 3 neutrino model parameters. The measurement of the CP phase  $\delta$  is significantly more challenging.

The talk is based on the following two papers:

- *A Novel Approach to Study Atmospheric Neutrino Oscillation*  
S.F. Ge, KH, C. Rott, [arXiv:1309.3176v3] JHEP 06(2014)150
- *Physics Reach of Atmospheric Neutrino Measurements at PINGU*  
S.F. Ge and KH, [arXiv:1312.0457v3] JHEP 09(2014)024

In the first paper, a novel analysis framework of neutrino oscillation in the matter is proposed, in which the **earth matter effects** as well as the dependences on the mixing angles  $\theta_{12}$  and  $\theta_{13}$  of the oscillation probabilities are factorized into several coefficients of the **analytically known** dependences on  $\theta_{23}$  and  $\delta$ .

In the second paper, we applied the framework to conduct a semi-realistic simulation of **PINGU** measurements, by treating the **neutrino scattering kinematics** exactly for both **charged and neutral currents** and by adopting a primitive detector simulation with **energy and angular resolutions** of **muonic and cascade signals**. The robustness of the **PINGU** measurements in the presence of several **systematic errors** and their combinations has also been demonstrated in the second paper.

# A novel approach to study atmospheric neutrino oscillation

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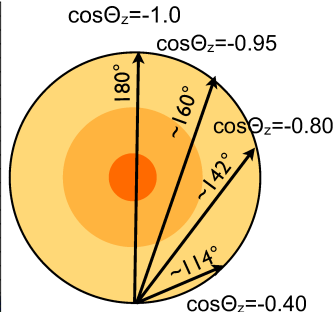
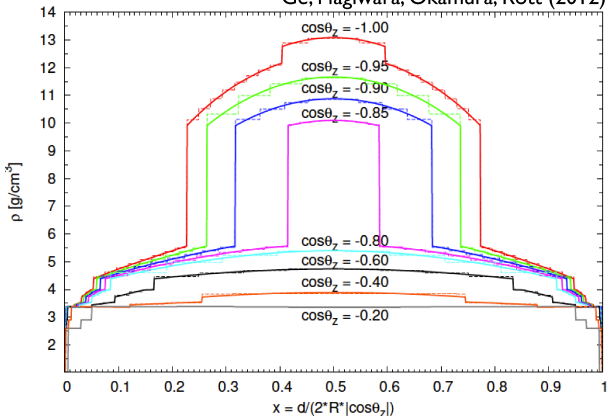
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**ABSTRACT:** We develop a general theoretical framework to analytically disentangle the contributions of the neutrino mass hierarchy, the atmospheric mixing angle, and the CP phase, in neutrino oscillations. To illustrate the usefulness of this framework, especially that it can serve as a complementary tool to neutrino oscillogram in the study of atmospheric neutrino oscillations, we take PINGU as an example and compute muon- and electron-like event rates with event cuts on neutrino energy and zenith angle. Under the assumption of exact measurement of neutrino momentum with a perfect  $e$ - $\mu$  identification and no background, we find that the PINGU experiment has the potential of resolving the neutrino mass hierarchy and the octant degeneracies within 1-year run, while the measurement of the CP phase is significantly more challenging. Our observation merits a serious study of the detector capability of estimating the neutrino momentum for both muon- and electron-like events.

# PREM

Ge, Hagiwara, Okamura, Rott (2012)



- The PREM - Preliminary Reference Earth Model is based on a paper by Dziewonski and Anderson in 1981. It still represents the standard framework for interpretation of seismological data

### 3.1. Propagation Basis

$$\mathcal{H} = \frac{1}{2E_\nu} \left[ U \begin{pmatrix} 0 & & \\ & \delta m_s^2 & \\ & & \delta m_a^2 \end{pmatrix} U^\dagger + \begin{pmatrix} a(x) & & \\ & 0 & \\ & & 0 \end{pmatrix} \right], \quad (3.1)$$

where  $a(x) \equiv 2E_\nu V(x) = 2\sqrt{2}E_\nu G_F N_e(x)$  characterizes the matter effect,  $\delta m_s^2 \equiv \delta m_{12}^2$  &  $\delta m_a^2 \equiv \delta m_{13}^2$ ,

$$U \equiv O_{23}(\theta_a)P_\delta O_{13}(\theta_r)P_\delta^\dagger O_{12}(\theta_s) = \begin{pmatrix} 1 & & \\ & c_a & s_a \\ & -s_a & c_a \end{pmatrix} \begin{pmatrix} 1 & & \\ & 1 & \\ & & e^{i\delta} \end{pmatrix} \begin{pmatrix} c_r & s_r \\ & 1 \\ -s_r & c_r \end{pmatrix} \begin{pmatrix} 1 & & \\ & 1 & \\ & & e^{-i\delta} \end{pmatrix} \begin{pmatrix} c_s & s_s \\ -s_s & c_s \\ & & 1 \end{pmatrix},$$

where  $c_\alpha \equiv \cos\theta_\alpha$  and  $s_\alpha \equiv \sin\theta_\alpha$  with  $(s, a, r) \equiv (12, 23, 13)$ . Note that in this basis  $O_{23}$  and  $P_\delta$  can be extracted out as overall matrices [42],

$$\mathcal{H} = \frac{1}{2E_\nu} (O_{23}P_\delta) \left[ (O_{13}O_{12}) \begin{pmatrix} 0 & & \\ & \delta m_s^2 & \\ & & \delta m_a^2 \end{pmatrix} (O_{13}O_{12})^\dagger + \begin{pmatrix} a(x) & & \\ & 0 & \\ & & 0 \end{pmatrix} \right] (O_{23}P_\delta)^\dagger. \quad (3.2)$$

This is a huge simplification,

$$\mathcal{H}' = \frac{1}{2E_\nu} \left[ (O_{13}O_{12}) \begin{pmatrix} 0 & & \\ & \delta m_s^2 & \\ & & \delta m_a^2 \end{pmatrix} (O_{13}O_{12})^\dagger + \begin{pmatrix} a(x) & & \\ & 0 & \\ & & 0 \end{pmatrix} \right] = (O_{23}P_\delta)^\dagger \mathcal{H} (O_{23}P_\delta). \quad (3.3)$$

#### Propagation Basis

$$\nu_\alpha = [O_{23}(\theta_a)P_\delta]_{\alpha i} \nu'_i. \quad (3.4)$$

$$S = (O_{23}P_\delta) S' (O_{23}P_\delta)^\dagger \equiv (O_{23}P_\delta) \begin{pmatrix} S'_{11} & S'_{12} & S'_{13} \\ S'_{21} & S'_{22} & S'_{23} \\ S'_{31} & S'_{32} & S'_{33} \end{pmatrix} (O_{23}P_\delta)^\dagger, \quad (3.5)$$

with  $S'_{ij} \equiv \langle \nu'_j | S' | \nu'_i \rangle$  and  $S_{\beta\alpha} \equiv \langle \nu_\beta | S | \nu_\alpha \rangle$ .

## 3.2. Oscillation Probabilities

$$S_{ee} = S'_{11}, \quad (3.14a)$$

$$S_{e\mu} = c_a S'_{12} + s_a e^{-i\delta} S'_{13}, \quad (3.14b)$$

$$S_{\mu e} = c_a S'_{21} + s_a e^{+i\delta} S'_{31}, \quad (3.14c)$$

$$S_{\mu\mu} = c_a^2 S'_{22} + c_a s_a (e^{-i\delta} S'_{23} + e^{+i\delta} S'_{32}) + s_a^2 S'_{33}. \quad (3.14d)$$

Note that only the elements among  $e$  and  $\mu$  flavors are shown since they are sufficient to derive all the flavor basis oscillation probabilities,  $P_{\alpha\beta} \equiv P(\nu_\alpha \rightarrow \nu_\beta) = |\langle \nu_\beta | S | \nu_\alpha \rangle|^2 = |S_{\beta\alpha}|^2$ ,

$$P_{ee} \equiv |S_{ee}|^2 = |S'_{11}|^2, \quad (3.15a)$$

$$P_{e\mu} \equiv |S_{e\mu}|^2 = c_a^2 |S'_{12}|^2 + s_a^2 |S'_{13}|^2 + 2c_a s_a (\cos \delta \Re + \sin \delta \Im)(S'_{12} S'_{13}^*), \quad (3.15b)$$

$$P_{\mu e} \equiv |S_{\mu e}|^2 = c_a^2 |S'_{21}|^2 + s_a^2 |S'_{31}|^2 + 2c_a s_a (\cos \delta \Re - \sin \delta \Im)(S'_{21} S'_{31}^*), \quad (3.15c)$$

$$\begin{aligned} P_{\mu\mu} \equiv |S_{\mu\mu}|^2 &= c_a^4 |S'_{22}|^2 + s_a^4 |S'_{33}|^2 + 4c_a^2 s_a^2 \Re(S'_{22} S'_{33}^*) \\ &+ c_a^2 s_a^2 [|S'_{23}|^2 + 2(\cos 2\delta \Re + \sin 2\delta \Im)(S'_{23} S'_{32}^*) + |S'_{32}|^2] \\ &+ 2c_a s_a \cos \delta \Re[(c_a^2 S'_{22} + s_a^2 S'_{33})(S'_{23} + S'_{32})^*] \\ &+ 2c_a s_a \sin \delta \Im[(c_a^2 S'_{22} + s_a^2 S'_{33})(S'_{32} - S'_{23})^*], \end{aligned} \quad (3.15d)$$

where  $\Re$  and  $\Im$  gives the real and imaginary parts, respectively. The transition probability into  $\nu_\tau$  are then obtained by unitarity conditions,  $P_{e\tau} = 1 - P_{ee} - P_{e\mu}$ ,  $P_{\mu\tau} = 1 - P_{\mu e} - P_{\mu\mu}$ ,  $\bar{P}_{\alpha\beta} \equiv P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = P_{\alpha\beta}(a(x) \rightarrow -a(x), \delta \rightarrow -\delta)$ ,

## 3.3. Simplifications with Symmetric Matter Profile

The oscillation amplitude matrix after experiencing a reversible matter profile is symmetric in the absence of CP violation [45].

$$S'_{ij} = S'_{ji}. \quad (3.16)$$



## 2.4. Expansion of Oscillation Probabilities with respect to $x_a = \cos 2\theta_a$ and $\delta m_s^2$

The deviation of  $\theta_a$  from its maximal value  $\theta_a = \frac{\pi}{4}$  can be explored analytically,

$$c_a^2 = \frac{1}{2}(1 + x_a), \quad s_a^2 = \frac{1}{2}(1 - x_a), \quad c_a^2 s_a^2 = \frac{1}{4}(1 - x_a^2). \quad (2.22)$$

Then,

$$P_{\alpha\beta} \equiv P_{\alpha\beta}^{(0)} + P_{\alpha\beta}^{(1)} x_a + P_{\alpha\beta}^{(2)} \cos \delta' + P_{\alpha\beta}^{(3)} \sin \delta' + P_{\alpha\beta}^{(4)} x_a \cos \delta' + P_{\alpha\beta}^{(5)} x_a^2 + P_{\alpha\beta}^{(6)} \cos^2 \delta', \quad (2.23a)$$

$$\bar{P}_{\alpha\beta} \equiv \bar{P}_{\alpha\beta}^{(0)} + \bar{P}_{\alpha\beta}^{(1)} x_a + \bar{P}_{\alpha\beta}^{(2)} \cos \delta' + \bar{P}_{\alpha\beta}^{(3)} \sin \delta' + \bar{P}_{\alpha\beta}^{(4)} x_a \cos \delta' + \bar{P}_{\alpha\beta}^{(5)} x_a^2 + \bar{P}_{\alpha\beta}^{(6)} \cos^2 \delta', \quad (2.23b)$$

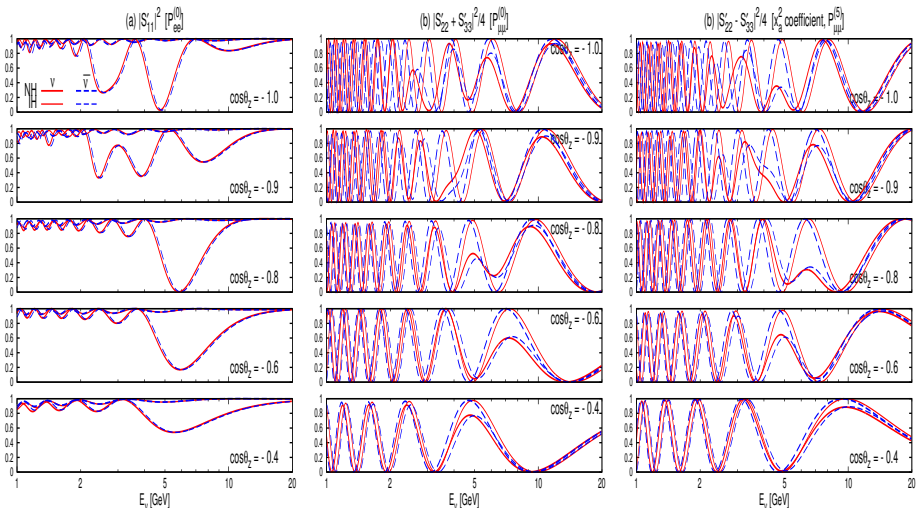
with  $\cos \delta' \equiv 2c_a s_a \cos \delta = \sqrt{1 - x_a^2} \cos \delta$ ,  $\sin \delta' \equiv 2c_a s_a \sin \delta = \sqrt{1 - x_a^2} \sin \delta$ .

	$P_{ee}^{(k)}$	$P_{e\mu}^{(k)}$	$P_{\mu e}^{(k)}$	$P_{\mu\mu}^{(k)}$
(0)	$ S'_{11} ^2$	$\frac{1}{2}(1 -  S'_{11} ^2)$	$\frac{1}{2}(1 -  S'_{11} ^2)$	$\frac{1}{4} S'_{22} + S'_{33} ^2$
(1)	0	$\frac{1}{2}( S'_{12} ^2 -  S'_{13} ^2)$	$\frac{1}{2}( S'_{12} ^2 -  S'_{13} ^2)$	$\frac{1}{2}( S'_{22} ^2 -  S'_{33} ^2)$
(2)	0	$\Re(S'_{12}S'_{13}^*)$	$\Re(S'_{12}S'_{13}^*)$	$\Re[S'_{23}(S'_{22} + S'_{33})^*]$
(3)	0	$\Im(S'_{12}S'_{13}^*)$	$-\Im(S'_{12}S'_{13}^*)$	0
(4)	0	0	0	$\Re[S'_{23}(S'_{22} - S'_{33})^*]$
(5)	0	0	0	$\frac{1}{4} S'_{22} - S'_{33} ^2$
(6)	0	0	0	$ S'_{23} ^2$

(2.24)

$$S'_{12} \sim S'_{23} \sim \delta m_s^2 / \delta m_a^2 \sim 3\%$$

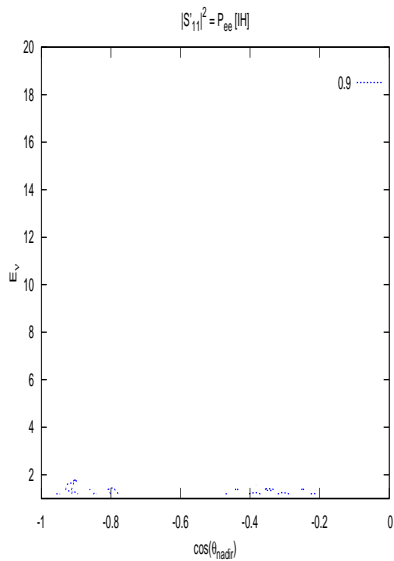
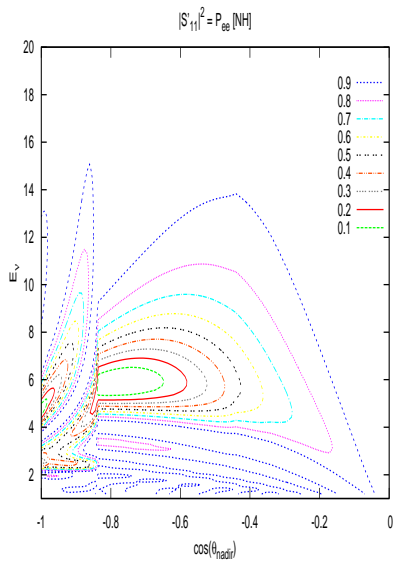
$$\mathcal{H}' = \frac{1}{2E\nu} \left[ (O_{13}O_{12}) \begin{pmatrix} 0 & & \\ & \delta m_s^2 & \\ & & \delta m_a^2 \end{pmatrix} (O_{13}O_{12})^\dagger + \begin{pmatrix} a(x) & & \\ & 0 & \\ & & 0 \end{pmatrix} \right] = (O_{23}P_\delta)^\dagger \mathcal{H} (O_{23}P_\delta). \quad (3.4)$$

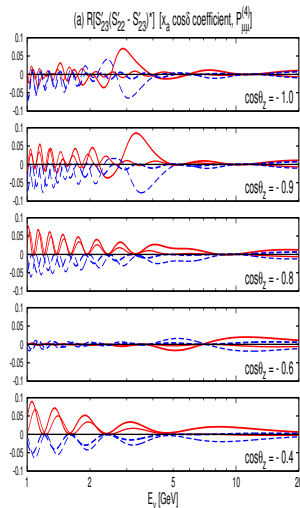
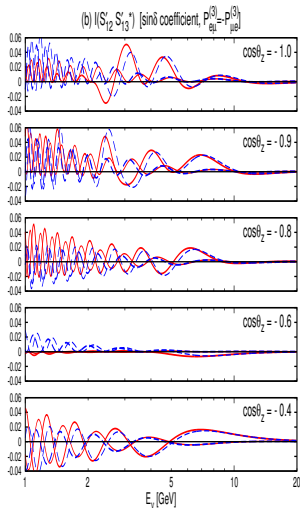
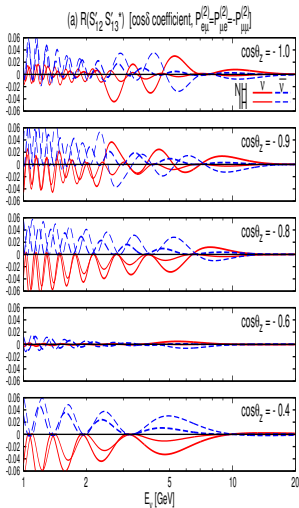


	$P_{ee}^{(k)}$	$P_{e\mu}^{(k)}$	$P_{\mu e}^{(k)}$	$P_{\mu\mu}^{(k)}$
(0)	$ S'_{11} ^2$	$\frac{1}{2}(1 -  S'_{11} ^2)$	$\frac{1}{2}(1 -  S'_{11} ^2)$	$\frac{1}{4} S'_{22} + S'_{33} ^2$
(1) $x_a$	0	$-\frac{1}{2}(1 -  S'_{11} ^2)$	$-\frac{1}{2}(1 -  S'_{11} ^2)$	$\frac{1}{2}(1 -  S'_{11} ^2)$
(5) $x_a^2$	0	0	0	$\frac{1}{4} S'_{22} - S'_{33} ^2$

(2.32)

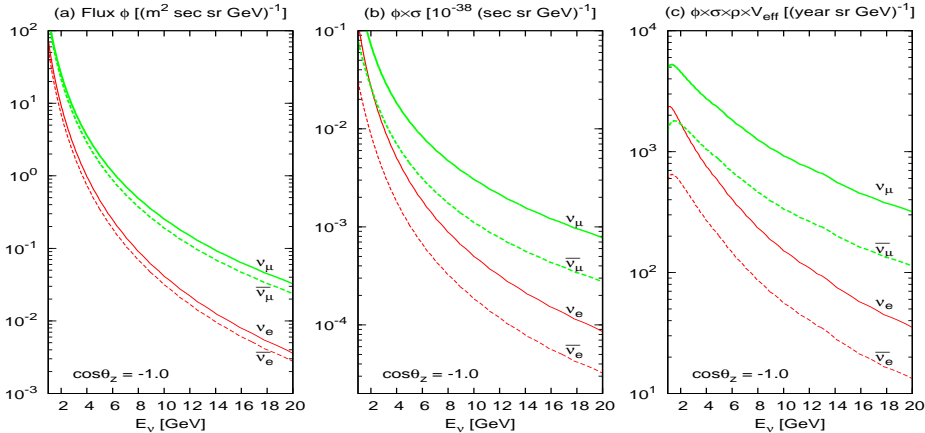
# Oscillogram





	$P_{ee}^{(k)}$	$P_{e\mu}^{(k)}$	$P_{\mu e}^{(k)}$	$P_{\mu\mu}^{(k)}$
(2) $\cos\delta'$	0	$\text{R}(S'_{12} S'_{13}^*)$	$\text{R}(S'_{12} S'_{13}^*)$	$-\text{R}(S'_{12} S'_{13}^*)$
(3) $\sin\delta'$	0	$\text{I}(S'_{12} S'_{13}^*)$	$-\text{I}(S'_{12} S'_{13}^*)$	0
(4) $x_a \cos\delta'$	0	0	0	$\text{R}[S'_{23}(S'_{22} - S'_{23})^*]$

(2.32)

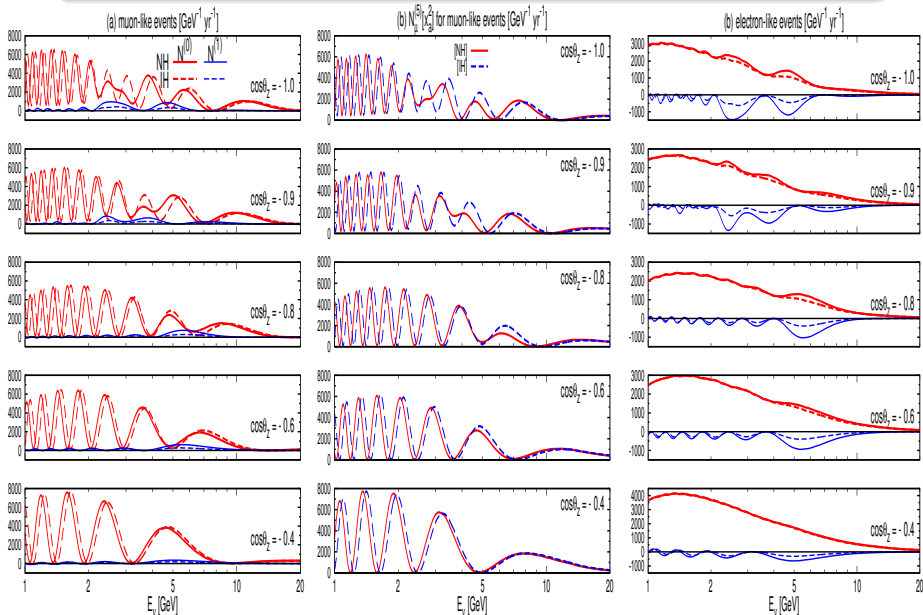


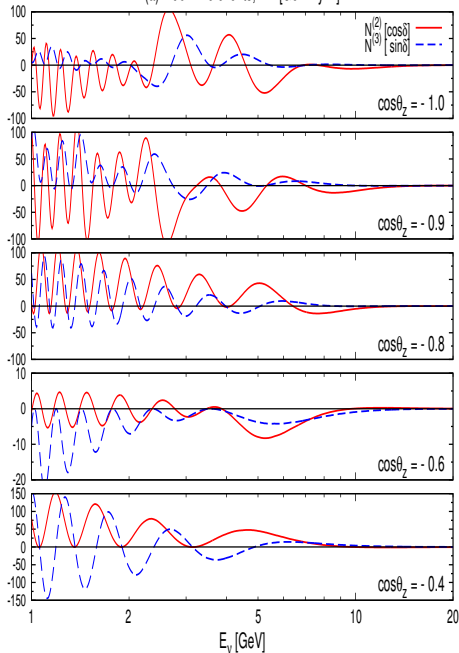
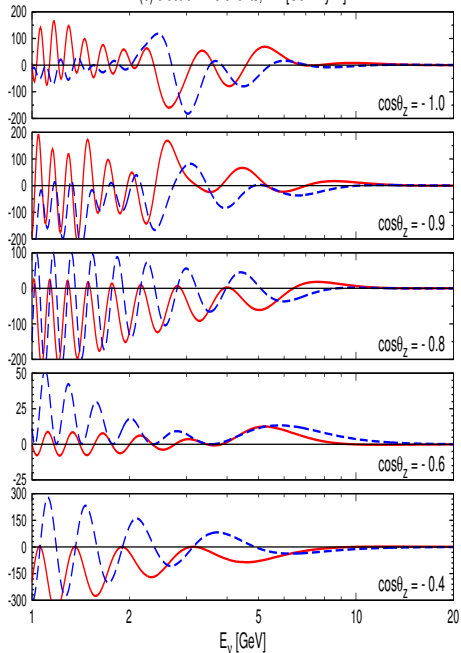
$$\frac{dN_e}{dE_\nu d\cos\theta_z} = \{[\phi_{\nu_e}(E_\nu, \cos\theta_z)P_{ee}(E_\nu, \cos\theta_z) + \phi_{\nu_\mu}(E_\nu, \cos\theta_z)P_{\mu e}(E_\nu, \cos\theta_z)]\sigma_{\nu_e}(E_\nu) + [\phi_{\bar{\nu}_e}(E_\nu, \cos\theta_z)\bar{P}_{ee}(E_\nu, \cos\theta_z) + \phi_{\bar{\nu}_\mu}(E_\nu, \cos\theta_z)\bar{P}_{\mu e}(E_\nu, \cos\theta_z)]\sigma_{\bar{\nu}_e}(E_\nu)\} \rho V_{\text{eff}}(E_\nu), \quad (3.1a)$$

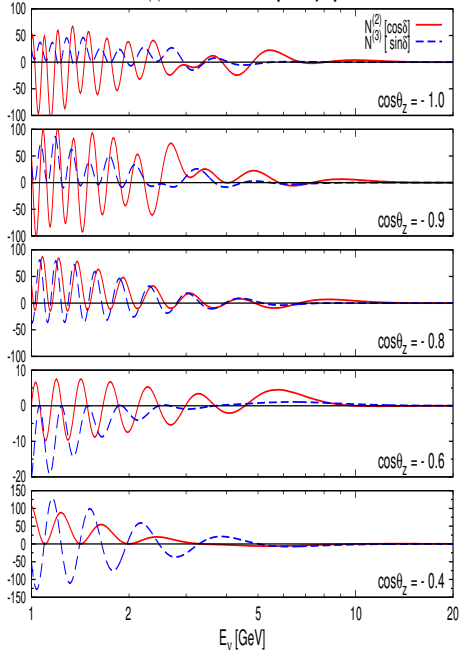
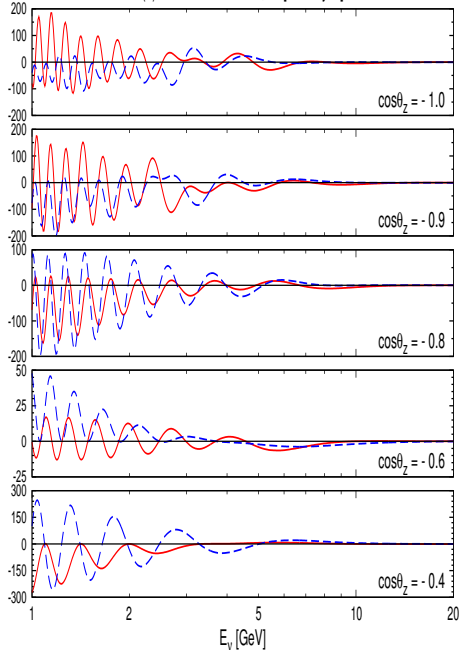
$$\frac{dN_\mu}{dE_\nu d\cos\theta_z} = \{[\phi_{\nu_e}(E_\nu, \cos\theta_z)P_{e\mu}(E_\nu, \cos\theta_z) + \phi_{\nu_\mu}(E_\nu, \cos\theta_z)P_{\mu\mu}(E_\nu, \cos\theta_z)]\sigma_{\nu_\mu}(E_\nu) + [\phi_{\bar{\nu}_e}(E_\nu, \cos\theta_z)\bar{P}_{e\mu}(E_\nu, \cos\theta_z) + \phi_{\bar{\nu}_\mu}(E_\nu, \cos\theta_z)\bar{P}_{\mu\mu}(E_\nu, \cos\theta_z)]\sigma_{\bar{\nu}_\mu}(E_\nu)\} \rho V_{\text{eff}}(E_\nu), \quad (3.1b)$$

Since the event rates depend on the oscillation probabilities linearly, they can also be decomposed accordingly,

$$\frac{dN_\alpha}{dE_\nu d\cos\theta_z} \equiv N_\alpha^{(0)} + N_\alpha^{(1)}x_a + N_\alpha^{(2)}\cos\delta' + N_\alpha^{(3)}\sin\delta' + N_\alpha^{(4)}x_a\cos\delta' + N_\alpha^{(5)}x_a^2 + N_\alpha^{(6)}\cos^2\delta'. \quad (3.2)$$



(a) muon-like events, NH [GeV<sup>-1</sup> yr<sup>-1</sup>](b) electron-like events, NH [GeV<sup>-1</sup> yr<sup>-1</sup>]

(a) muon-like events, IH [ $\text{GeV}^{-1} \text{yr}^{-1}$ ](b) electron-like events, IH [ $\text{GeV}^{-1} \text{yr}^{-1}$ ]



# Physics reach of atmospheric neutrino measurements at PINGU

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Shao-Feng Ge<sup>a</sup> and Kaoru Hagiwara<sup>b</sup>

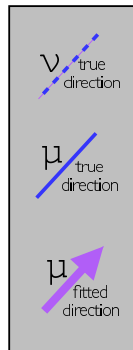
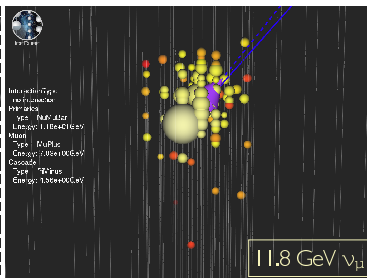
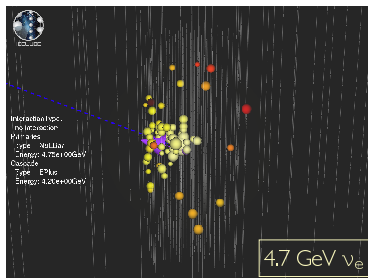
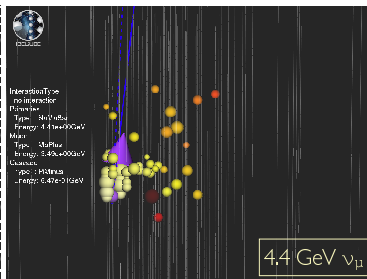
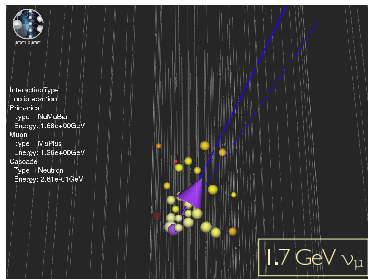
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**ABSTRACT:** The sensitivity of a huge underground water/ice Cherenkov detector, such as PINGU in IceCube, to the neutrino mass hierarchy, the atmospheric mixing angle and its octant, is studied in detail. Based on the event rate decomposition in the propagation basis, we illustrate the smearing effects from the neutrino scattering, the visible energy and zenith angle reconstruction procedures, the energy and angular resolutions, and the muon mis-identification rate, as well as the impacts of systematic errors in the detector resolutions, the muon mis-identification rate, and the overall normalization. The sensitivity, especially the mass hierarchy sensitivity, can be enhanced by splitting the muon-like events into two channels, according to the event inelasticity. We also show that including the cascade events can improve and stabilize the sensitivity of the measurements.

# Sample Reconstructed Events



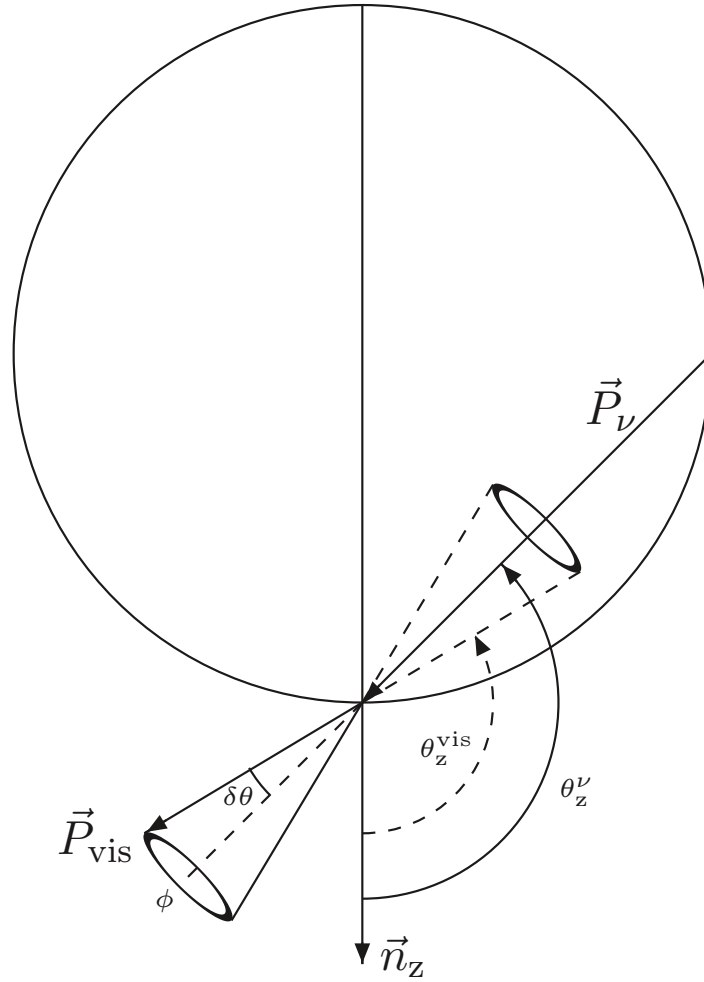
Size of circles:  $N_\gamma$   
 Color:  $t_\gamma$

$$E_{\text{cas}} \equiv 0.8 \times (E_\nu - E_{\nu'} - E_\ell) + E_e, \quad (3.4)$$

where  $E_\nu$  and  $E_{\nu'}$  are the energies of the incident and the final-state neutrinos, respectively. For  $\mu$ -CC and e-CC,  $E_{\nu'} = 0$  and it can be large for NC and  $\tau$ -CC events. For  $\mu$ -CC events,  $E_\ell = E_\mu$  and  $E_e = 0$ , while for e-CC events,  $E_\ell = E_e$ .

There are two typical topologies on PINGU. Muon with large enough energy,  $E_\mu > 1$  GeV, leaves a clear track due to its long lifetime while the cascade produced by other particles has a spherical structure. Because of this difference, the energies of muon and cascade can be reconstructed independently. This distinguishability makes estimating the muon inelasticity possible, as defined in (3.3). On the other hand, the electron shower cannot be distinguished from cascade, hence, its energy cannot be measured separately. For muon with small energy,  $E_\mu < 1$  GeV, the track may not be long and clear enough to be identified, and it is counted as cascade events. In addition, 10% of the energetic muons,  $E_\mu > 1$  GeV, are assumed to be mis-identified as cascade events [43]. For  $\tau$ , it decays very quickly into muon, electron, or hadrons. For all these cases, the visible energy can then be estimated as,

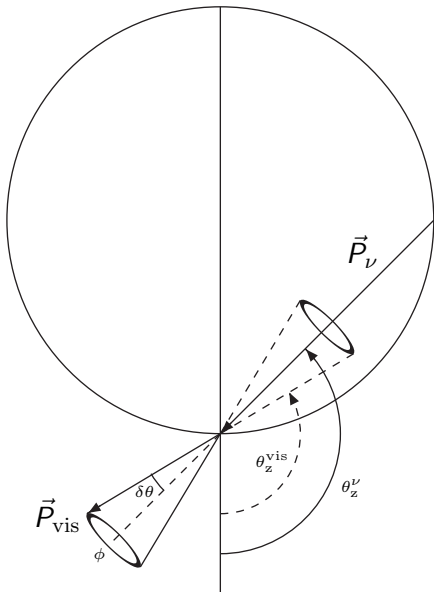
$$E_{\text{vis}} \equiv E_\mu + \frac{E_{\text{cas}}}{0.8} = \begin{cases} E_\nu & \mu - CC (E_\mu > 1 \text{ GeV with } 90\% \mu\text{-ID}), \\ E_\nu + 0.25E_{\ell'} & \begin{cases} e - CC, \\ \mu - CC (E_\mu < 1 \text{ GeV}, E_\mu > 1 \text{ GeV with } 10\% \mu\text{-misID}), \end{cases} \\ E_\nu - E_{\nu'} & \begin{cases} \tau - CC \text{ with } \tau \rightarrow \mu (E_\mu > 1 \text{ GeV with } 90\% \mu\text{-ID}), \\ \tau - CC \text{ with } \tau \rightarrow \text{hadrons}, \\ NC, \end{cases} \\ E_\nu - E_{\nu'} + 0.25E_{\ell'} & \tau - CC \begin{cases} \tau \rightarrow e, \\ \tau \rightarrow \mu (E_\mu < 1 \text{ GeV}, E_\mu > 1 \text{ GeV with } 10\% \mu\text{-misID}), \end{cases} \end{cases} \quad (3.5)$$



**Figure 3.** Kinematics of neutrino scattering.

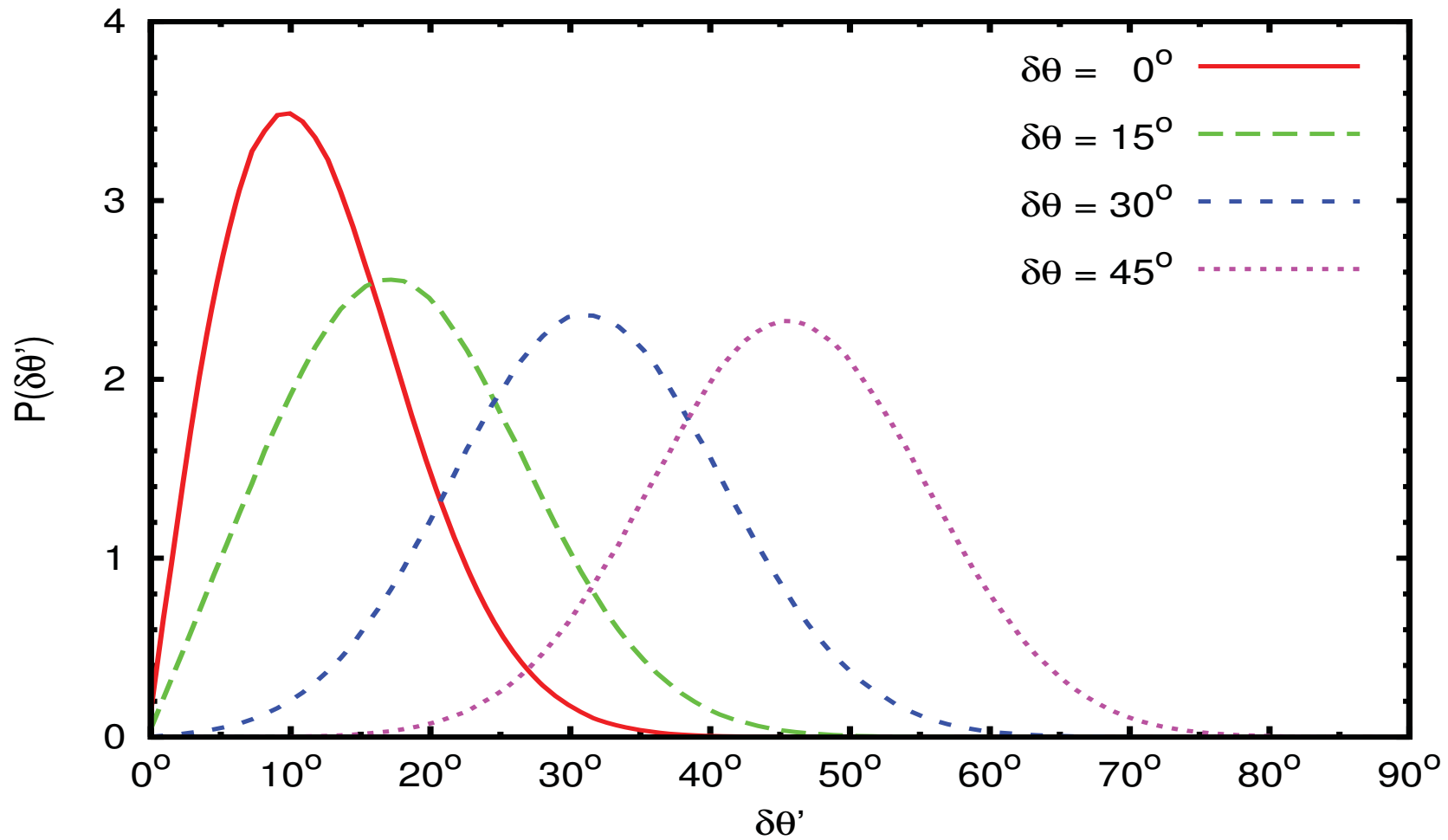
expressed as,

$$\vec{P}_{\text{vis}} = \begin{cases} \vec{P}_\ell & CC (E_\ell > 1 \text{ GeV}), \\ \vec{P}_\nu - \vec{P}_{\nu'} & \begin{cases} CC (E_\ell < 1 \text{ GeV}), \\ NC, \end{cases} \end{cases} \quad (3.6)$$



$$\cos \theta_z^{\text{vis}} = \cos \theta_z^\nu \cos \delta\theta - \sin \theta_z^\nu \sin \delta\theta \cos \phi,$$

$$\min(0, \theta_z^\nu - \delta\theta) \leq \theta_z^{\text{vis}} \leq \max(\pi, \theta_z^\nu + \delta\theta).$$



**Figure 6.** Illustration of transferring angular resolution with  $\sigma_\theta = 15^\circ$  from the  $\vec{P}_{\text{vis}}$  frame to the  $\vec{P}_\nu$  frame.

$$\sigma_\theta = \begin{cases} 1.0 \times 15^\circ \times (E_\mu/\text{GeV})^{-0.6}, & E_\mu > 1 \text{ GeV}, 1 - y_\mu > 0.2 \\ 1.5 \times 15^\circ \times (E_\mu/\text{GeV})^{-0.6}, & E_\mu > 1 \text{ GeV}, 1 - y_\mu < 0.2 \\ 2.0 \times 15^\circ \times (E_e/\text{GeV})^{-0.6}, & E_e > 1 \text{ GeV}, 1 - y_e > 0.2 \\ 3.0 \times 15^\circ \times (E_e/\text{GeV})^{-0.6}, & E_e > 1 \text{ GeV}, 1 - y_e < 0.2 \\ \mathbb{P}(\theta_z^\ell)|_{E_\ell < 1 \text{ GeV}}, & E_\ell < 1 \text{ GeV}, NC, \tau - CC \text{ with } \tau \rightarrow \text{hadrons}. \end{cases} \quad (4.3)$$

The kinematics of the smearing from angular resolution takes exactly the same form as the kinematics of the smearing from neutrino scattering, shown in figure 3. The only modification is  $\vec{P}_\nu \rightarrow \vec{P}_{\text{vis}}$  and  $\vec{P}_{\text{vis}} \rightarrow \vec{P}'_{\text{vis}}$  where  $P'_{\text{vis}}$  is the actually measured visible momentum. They can be parametrized as,

$$-\vec{P}_{\text{vis}} \equiv |\vec{P}_{\text{vis}}| \begin{pmatrix} \sin \delta\theta \\ 0 \\ \cos \delta\theta \end{pmatrix}, \quad -\vec{P}'_{\text{vis}} \equiv |\vec{P}'_{\text{vis}}| \begin{pmatrix} \sin \delta\theta' \cos \phi'_{\text{vis}} \\ \sin \delta\theta' \sin \phi'_{\text{vis}} \\ \cos \delta\theta' \end{pmatrix}, \quad (4.4)$$

in the neutrino frame where  $\vec{P}_\nu$  aligns with the  $z$ -axis. Note that  $\delta\theta$  is the opening angle between  $\vec{P}_\nu$  and  $\vec{P}_{\text{vis}}$ , determined by the neutrino scattering. Here, the opening angle  $\delta\Theta$  between  $\vec{P}_{\text{vis}}$  and  $\vec{P}'_{\text{vis}}$  distributes according to detector resolution,

$$\mathbb{P}(\delta\Theta) = \frac{\sin \delta\Theta}{N(\sigma_\theta)} \exp \left[ -\frac{1}{2} \left( \frac{\delta\Theta}{\sigma_\theta} \right)^2 \right], \quad (4.5)$$

## • Energy

$$\bullet \mathbf{E}_{\text{vis}} = \begin{cases} E_{\mu} + E_{\text{cas}} & \mu(E_{\mu} > 1\text{GeV} \ \& \ 1 - y \equiv \frac{E_{\mu}}{E_{\text{vis}}} > 0.2) \\ \frac{E_{\ell}}{0.8} + E_{\text{cas}} & \mu(E_{\mu} < 1\text{GeV} \ \text{or} \ 1 - y < 0.2), \text{ e \& NC} \end{cases}$$

$$\bullet \mathbf{\Delta E} = 0.2\sqrt{E} \text{ for } E_{\ell} \ \& \ E_{\text{cas}} (\equiv E_{\nu} - E_{\ell} - E_{\nu'}).$$

## • Zenith Angle

$$\bullet \mathbf{\vec{P}}_{\text{vis}} = \begin{cases} \vec{P}_{\ell}, & E_{\ell} > 1\text{GeV} \\ \vec{P}_{\nu} - \vec{P}_{\nu'}, & E_{\ell} < 1\text{GeV} \ \& \ \text{NC} \end{cases} \equiv |P_{\text{vis}}| \begin{pmatrix} \sin \theta_{\ell} \cos \phi_{\ell} \\ \sin \theta_{\ell} \sin \phi_{\ell} \\ \cos \theta_{\ell} \end{pmatrix}$$

$$\bullet \mathbf{\Delta \theta} = \begin{cases} 1.0 \times 15^{\circ} E_{\mu}^{-0.6}, & \mu \text{ with } E_{\mu} > 1\text{GeV}, 1 - y > 0.2 \\ 1.5 \times 15^{\circ} E_{\mu}^{-0.6}, & \mu \text{ with } E_{\mu} > 1\text{GeV}, 1 - y < 0.2 \\ 2.0 \times 15^{\circ} E_e^{-0.6}, & \text{e with } E_e > 1\text{GeV}, 1 - y > 0.4 \\ 3.0 \times 15^{\circ} E_e^{-0.6}, & \text{e with } E_e > 1\text{GeV}, 1 - y < 0.4 \\ P(\theta_{\ell})|_{E_{\ell} < 1\text{GeV}}, & E_{\ell} < 1\text{GeV} \ \& \ \text{NC} \end{cases}$$

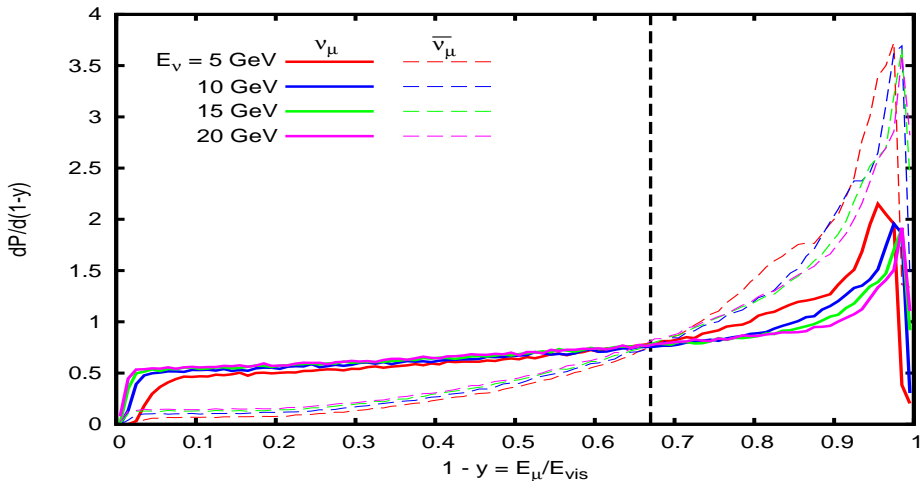


# Enhancing the **MH sensitivity** by splitting the $\mu$ events

$$\frac{d\sigma_{\nu}^{CC}}{d(1-y)} = \left[ 0.72 + 0.06(1-y)^2 \right] 10^{-38} \text{cm}^2 \frac{E_{\nu}}{1\text{GeV}}$$

$$\frac{d\sigma_{\bar{\nu}}^{CC}}{d(1-y)} = \left[ 0.09 + 0.69(1-y)^2 \right] 10^{-38} \text{cm}^2 \frac{E_{\bar{\nu}}}{1\text{GeV}}$$

1303.0758



## ● HK

1109.3262

- $\mu$  – NO
- e (multi-GeV, non-QE) – YES
  - $$\left\{ \begin{array}{l} \text{single-ring} \left\{ \begin{array}{l} \nu_e \rightarrow e^- + (\pi^+ \rightarrow \mu^+ \rightarrow e^+, \text{delayed signal}) \\ \bar{\nu}_e \rightarrow e^+ + (\pi^- \text{ absorbed by water}) \end{array} \right. \\ \text{multi-ring} \left\{ \begin{array}{l} \nu_e \text{ flat distribution of } \mathbf{1-y} \\ \bar{\nu}_e \text{ tend to have large } \mathbf{1-y} \end{array} \right. \end{array} \right.$$

## ● INO

1212.1305, 1303.2534, 1306.1423

- $\mu$  – YES, by **Magnetic Field**
- e – NO

## ● Liquid Argon

hep-ph/0510131

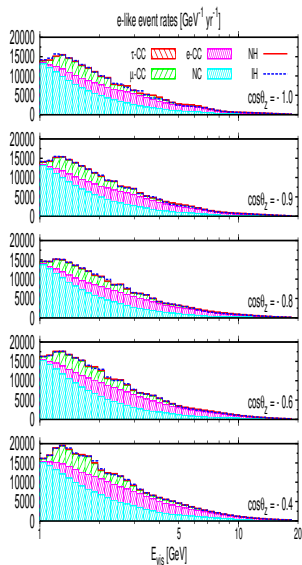
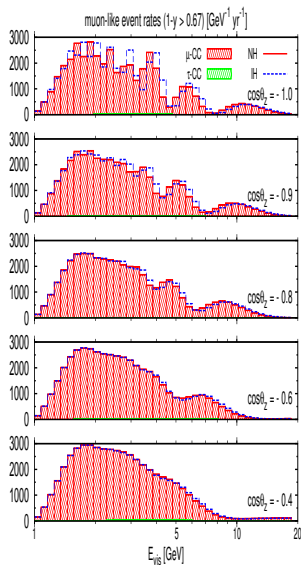
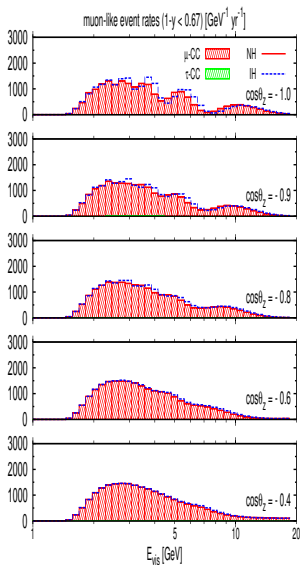
- $\mu$  – YES, by **Magnetic Field**
- e – YES, like HK (?)

## ● PINGU

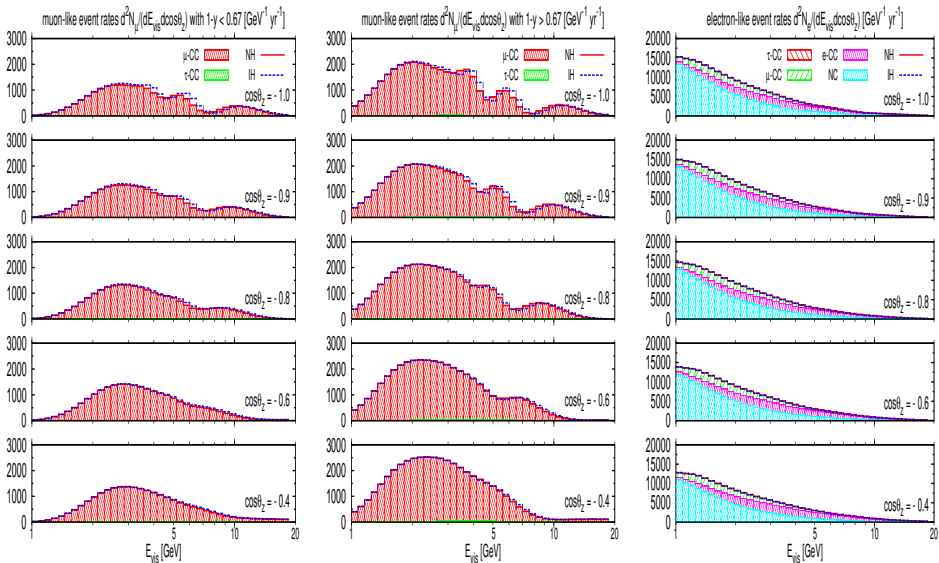
1205.4965, 1303.0758

- $\mu$  – YES  $\left\{ \begin{array}{l} \nu_\mu \text{ flat distribution of } \mathbf{1-y} \\ \bar{\nu}_\mu \text{ tend to have large } \mathbf{1-y} \end{array} \right.$
- e – NO

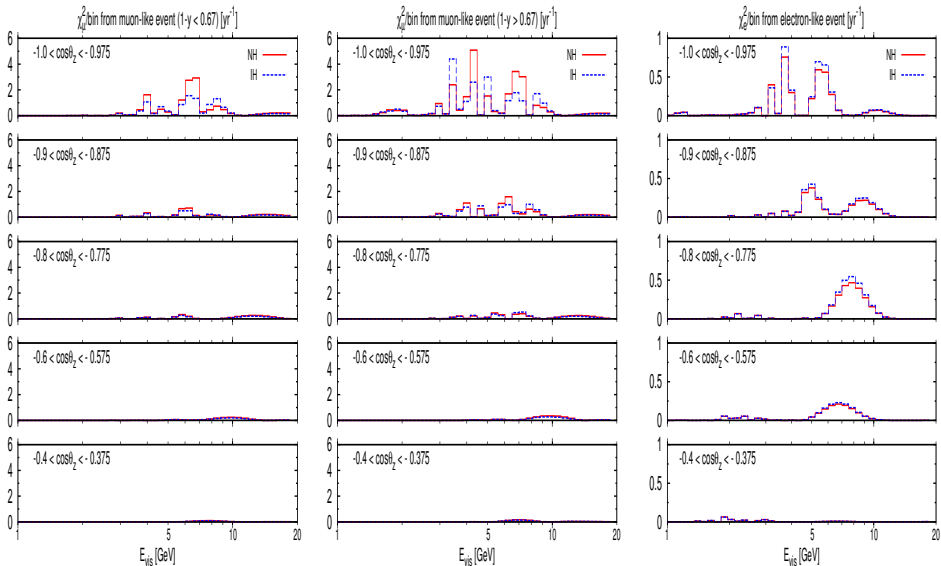
# Events Rates with Neutrino Scattering



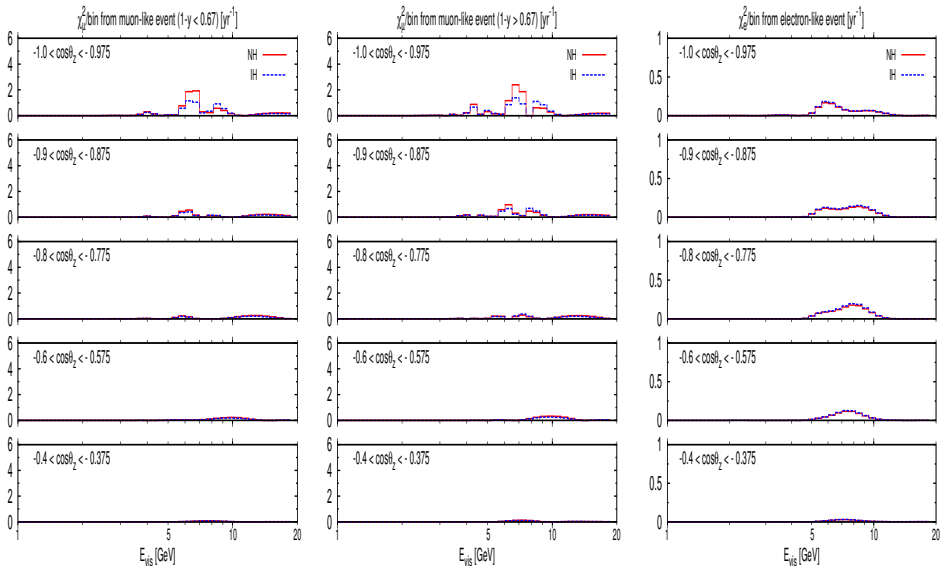
# Events Rates with **Detector Resolution** (full Smearing)



# MH Sensitivity Distribution with Neutrino Scattering



# MH Sensitivity Distribution with **Detector Resolution**



### 4.3. $\chi^2$ Function

The  $\chi^2$  function receives contribution from each  $E_\nu$  and  $\cos\theta_z$  bin as

$$\chi^2 \equiv \sum_{\alpha} \int dE_{\nu} d\cos\theta_z \left[ \frac{\left( \frac{dN_{\alpha}}{dE_{\nu} d\cos\theta_z} \right)^{th} - \left( \frac{dN_{\alpha}}{dE_{\nu} d\cos\theta_z} \right)^{obs}}{\sqrt{\left( \frac{dN_{\alpha}}{dE_{\nu} d\cos\theta_z} \right)^{obs}}} \right]^2 + \chi_{para}^2, \quad (4.5)$$

where  $\chi_{para}^2$  is the contribution from parameters,

$$\begin{aligned} \chi_{para}^2 &= \left[ \frac{(\delta m_a^2)^{fit} - \delta m_a^2}{\Delta \delta m_a^2} \right]^2 + \left[ \frac{(\delta m_s^2)^{fit} - \delta m_s^2}{\Delta \delta m_s^2} \right]^2 \\ &+ \left[ \frac{(\sin^2 2\theta_r)^{fit} - \sin^2 2\theta_r}{\Delta \sin^2 2\theta_r} \right]^2 + \left[ \frac{(\sin^2 2\theta_s)^{fit} - \sin^2 2\theta_s}{\Delta \sin^2 2\theta_s} \right]^2 + \left[ \frac{(\sin^2 2\theta_a)^{fit} - \sin^2 2\theta_a}{\Delta \sin^2 2\theta_a} \right]^2, \end{aligned} \quad (4.6)$$

with the current central values and expected uncertainties in the near future [41, 42, 43],

$$\delta m_a^2 = 2.35 \pm 0.1 \times 10^{-3} \text{eV}^2, \quad \delta m_s^2 = 7.50 \pm 0.2 \times 10^{-5} \text{eV}^2, \quad \sin^2 2\theta_s = 0.857 \pm 0.024, \quad (4.7a)$$

$$\sin^2 2\theta_r = 0.098 \pm 0.005, \quad \sin^2 2\theta_a = 0.957 \pm 0.030, \quad (4.7b)$$

MH Sensitivity with  $\mu$  or  $\mu+e$  ( $E > 4\text{GeV}$  &  $\cos\theta_z < -0.4$ ) ▶ Rates

$\Delta\chi_{\text{MH}}^2$	NH (true)			IH (true)			
	$\bar{x}_a$ (true)	-0.2	0	+0.2	-0.2	0	+0.2
$\nu$		163.0 252.9	174.9 215.3	141.8 168.9	100.7 143.5	109.7 140.7	96.7 120.1
Scattering		26.4 67.3	13.2 32.2	10.2 21.3	14.9 21.2	12.7 17.9	10.1 15.4
Resolution		22.9 44.1	10.2 17.4	7.1 9.2	9.9 14.8	8.7 13.8	7.0 10.0
$\mu$ mis-ID		20.8 40.5	9.3 16.0	6.5 8.5	9.1 14.0	8.0 12.9	6.4 9.3
Split $\mu$ ( $1 - y \gtrsim 0.67$ )		27.1 46.9	12.6 19.5	8.1 9.9	12.8 16.8	10.9 15.9	7.9 10.8
$\sigma_E = (0.2 \pm 0.03) \times \sqrt{E}$		26.1 42.3	11.2 18.0	7.7 8.6	11.5 16.0	10.0 14.8	7.1 9.9
$\sigma_\theta = (15^\circ \pm 3^\circ) \times E^{-0.6}$		26.3 43.1	11.5 18.2	7.9 9.0	11.8 16.1	9.9 15.1	7.6 10.0
$\mu$ mis-ID ( $10\% \pm 2\%$ )		19.0 42.1	8.4 17.1	6.1 8.5	8.8 15.3	7.2 13.3	5.3 9.2
Normalization ( $1 \pm 0.05$ )		16.8 43.6	7.9 16.9	6.6 8.7	8.6 14.0	6.9 13.8	5.0 8.7
Combined		12.6 41.6	7.5 16.1	5.9 8.3	7.5 13.7	6.2 12.2	4.8 7.7



$x_a$  Uncertainty with  $\mu$  or  $\mu+e$  ( $E > 4\text{GeV}$  &  $\cos\theta_z < -0.4$ )

$\Delta(x_a)$	NH (true)			IH (true)		
	$\bar{x}_a$ (true)	-0.2	0	+0.2	-0.2	0
$\nu$	0.014	0.036	0.011	0.014	0.046	0.011
	0.012	0.025	0.010	0.012	0.035	0.011
Scattering	0.025	0.051	0.015	0.024	0.073	0.017
	0.020	0.039	0.014	0.022	0.061	0.016
Resolution	0.027	0.055	0.016	0.025	0.077	0.018
	0.021	0.043	0.016	0.024	0.067	0.018
$\mu$ mis-ID	0.028	0.059	0.017	0.026	0.078	0.019
	0.022	0.045	0.017	0.025	0.070	0.019
Split $\mu$ ( $1 - y \geq 0.67$ )	0.027	0.057	0.017	0.026	0.077	0.019
	0.022	0.045	0.016	0.024	0.068	0.019
$\sigma_E = (0.2 \pm 0.03) \times \sqrt{E}$	0.027	0.057	0.017	0.026	0.077	0.019
	0.025	0.045	0.016	0.025	0.068	0.019
$\sigma_\theta = (15^\circ \pm 3^\circ) \times E^{-0.6}$	0.028	0.057	0.017	0.026	0.078	0.019
	0.023	0.045	0.017	0.024	0.069	0.019
$\mu$ mis-ID ( $10\% \pm 2\%$ )	0.028	0.069	0.021	0.028	0.083	0.024
	0.022	0.052	0.020	0.026	0.078	0.020
Normalization ( $1 \pm 0.05$ )	0.033	0.081	0.025	0.031	0.090	0.030
	0.023	0.055	0.022	0.027	0.078	0.021
Combined	0.034	0.083	0.028	0.033	0.099	0.032
	0.026	0.058	0.024	0.029	0.081	0.023

Octant Sensitivity with  $\mu$  or  $\mu+e$  ( $E > 4\text{GeV}$  &  $\cos\theta_z < -0.4$ )

$\Delta\chi^2_{\text{Octant}}$	NH (true)				IH (true)				
	$\bar{x}_a$ (true)	-0.2	-0.1	+0.1	+0.2	-0.2	-0.1	+0.1	+0.2
$\nu$		41.5	6.7	20.6	64.1	10.3	2.9	4.1	12.9
		84.8	15.1	29.3	162.6	32.5	8.0	12.0	46.0
Scattering		24.4	3.1	9.6	47.7	7.5	1.8	2.8	9.9
		36.9	6.2	13.2	103.0	15.6	3.3	5.5	21.4
Resolution		20.4	2.6	8.3	43.4	6.3	1.4	2.5	8.8
		27.9	4.4	10.2	76.2	11.1	2.3	4.1	15.4
$\mu$ mis-ID		18.6	2.4	7.5	39.2	5.7	1.3	2.2	7.9
		25.9	4.1	9.2	69.9	10.3	2.1	3.7	14.3
Split $\mu$ ( $1-y \geq 0.67$ )		19.6	2.5	7.8	40.4	6.6	1.5	2.5	8.7
		26.9	4.2	9.5	70.9	11.2	2.3	4.0	15.1
$\sigma_E = (0.2 \pm 0.03) \times \sqrt{E}$		19.4	2.5	7.7	40.0	6.5	1.5	2.5	8.7
		26.3	4.1	9.1	65.8	10.8	2.3	3.8	14.3
$\sigma_\theta = (15^\circ \pm 3^\circ) \times E^{-0.6}$		19.3	2.5	7.7	40.2	6.6	1.5	2.5	8.7
		26.7	4.2	9.1	66.8	11.0	2.3	3.8	14.5
$\mu$ mis-ID (10% $\pm$ 2%)		19.1	2.2	5.2	39.2	4.5	1.3	1.9	8.3
		26.5	4.0	8.2	66.1	10.8	2.0	2.7	13.8
Normalization (1 $\pm$ 0.05)		10.5	1.8	3.1	13.1	3.5	0.9	0.9	3.6
		26.5	4.2	9.2	70.6	11.1	2.1	3.7	14.8
Combined		9.3	1.7	2.8	12.4	3.4	0.8	0.8	3.5
		20.0	3.2	7.6	33.9	8.6	1.9	1.9	9.1

## Conclusions:

- **Atmospheric neutrinos** produced in the other side of the earth can be studied in detail at a huge underground detector such as **PINGU** in **ICECUBE**, which can
  - (1) determine the **mass hierarchy**
  - (2) resolve the **octant**  $x_a = \cos^2 \theta_{23} - \sin^2 \theta_{23} > 0$  vs  $< 0$
  - (3) but to measure  $\delta_{\text{MNS}}$  may be challenging.
- By adopting the **Propagation Basis** the earth matter effects can be treated efficiently, exactly and systematically, allowing us to perform the full parameter scan including systematic uncertainties.
- By defining our estimates for the neutrino energy  $E_\nu$  and its momentum direction  $\theta_\nu$  for all types of events (CC, NC and  $\tau$  events), we should treat the neutrino scattering effects exactly by using event generators, such as Genie, Nuance, Neut, etc.
- Finite resolution of the detector can then be studied quantitatively for  $E_\nu$  and  $\theta_\nu$ , which depend on the type of neutrino interactions.

## Conclusions (continued):

- We study the following systematic effects:
  - (1) 15% error in  $0.2/\sqrt{E_\nu}$  resolution of  $E_\nu$
  - (2) 20% error in  $15^\circ/E^{-0.6}$  resolution of  $\theta_\nu$
  - (3) 20% error in  $\mu$ -miss ID probability of 0.1
  - (4) 5% error in the overall normalization of flux  $\times \sigma_\nu$and find that our conclusions hold even after all the four uncertainties are simultaneously taken account of.
- After all the above systematic uncertainties are accounted for, our full parameter scan (within the 3-neutrino model) gives:
  - (1) The neutrino **mass hierarchy** can be determined with **6, 4, 3** $\sigma$  for  $x_a = -0.2, 0, 0.2$ , for NH, and with **3.7, 3.5, 2.8** $\sigma$  for IH.
  - (2) The error of  $x_a = \cos^2 \theta_{23} - \sin^2 \theta_{23}$  is **0.026, 0.058, 0.024** for  $x_a = -0.2, 0, 0.2$ , for NH, while it is **0.029, 0.081, 0.023** for IH.
  - (3) The **octant** ( $x_a > 0 (\theta_{23} < \pi/4)$  **or**  $x_a < 0 (\theta_{23} > \pi/4)$ ) can be resolved with **4.5, 5.8** $\sigma$  for  $x_a = -0.2, 0.2$  for NH, and with **2.9, 3.0** $\sigma$  for IH.
  - (4) No appreciable sensitivity to the CP phase  $\delta_{MNS}$  is found.  
after **1 year running of PINGU**.